

Notes

Chapter 1

1. For empirical rules, see Purves and Lotto (2002). For an elementaristic approach, see Mausfeld (1998). For relativized conditions, see McLaughlin (2002). On the change in appearance of objects, consider the works of Impressionist painters such as those of Monet who distinctly varied the coloration of his Haystack series and views of the Cathedral of Rouen depending on time of day and/or weather.
2. On the ordinal order of personal spaces, it is well known that only a small percentage of color normal observers perform error-free in the Farnsworth-Munsell 100-hue test where colored caps with small differences in hue must be sorted in correct sequence.
3. For unique hue variation, see Kuehni (2001a). In the *Munsell Book of Colors* the complete hue circle has been divided into 40 perceptually equally different hue steps.
4. On the four cone types in women, tetrachromacy is well established in the animal kingdom. The possibility of tetrachromacy in human females was raised by Jordan and Mollon (1993). Jameson et al. (2001) have investigated the color experiences of females with the genetic potential for having four cone types. The estimate is from Neitz et al. (1998).
5. On adaptation, see, for example, Fairchild (1998). Current usage of the term in the vision science community is limited to processes beginning in the retinal layer. On retinal illumination, actual levels of illumination at the retina are extremely difficult to determine. Instead values based on luminance arriving at the eye, assumed pupil size as well as other assumptions are calculated. The unit of retinal illuminance is the troland.

6. Lux is the photometric unit of illuminance, $1\text{ lux} = 1\text{ lumen per m}^2$; lumen is the unit of luminous flux. A lumen is equal to the flux emitted in a unit-solid angle from a uniform point source of one (standard) candle.
7. CIE is the French acronym for International Commission on Illumination, an international body concerned with technical aspects of lighting and color. For more details on the Munsell color system, see Chapters 2 and 7. The Munsell system is perhaps the best-known color appearance system.
8. The Nickerson-Newhall psychological color solid models are located at the Hagley Museum and Library in Wilmington, DE. The models have been manufactured by Nickerson's assistant K. F. Stultz.
9. CIELAB is a color space and difference formula recommended by the CIE; see Chapter 6.
10. On Schönfelder's law, see Schönfelder 1933.
11. The broad use of the word *sensation* in the historical psychophysical literature makes it impossible to use uniform terminology for these two terms.

Chapter 2

1. A review of color appearance spaces was provided by G. Wyszecki in 1960 and G. Derefeldt in 1991. Information is also provided on the Web site www.colorsysten.com.
2. Xenophanes as quoted in Freeman (1957).
3. Pythagoras as quoted in Mansfeld (1986), translation by the author.
4. Quote from Empedocles in Mansfeld (1986), translation by the author.
5. Quote from Democritus in Mansfeld (1986), translation by the author.
6. Photius as quoted in Gage (1993).
7. Pliny as quoted by Heinrich Meyer in Goethe, *Geschichte der Farbenlehre*, 1810, translation by the author.
8. Ancient Greek sacral colors, *Oxford Classical Dictionary*, 3d ed. New York: Oxford University Press 1996.
9. On Avicenna scales, see Gage 1993.
10. On Eraclius, see Merrifield 1967.
11. On Urso de Salerno, see Gage (1993).
12. Albertus Magnus quoted in Gage (1993).
13. For an analysis of Bacon's work on color, see Parkhurst (1990).
14. For Cennini quote, see Cennini (1933).
15. Leonardo, Codex Urbinas latinus 1270, see McMahon (1966).
16. For Ficino list, see Barasch (1978).
17. For Telesio list, see Goethe (1810), translation by the author.
18. For Cardanus list, see Barasch (1978).
19. Forsius translation and figures from Feller and Stenius (1970) and Parkhurst and Feller (1982).
20. Boethius and figure, see Murdoch (1984).

21. On Oresme, see Murdoch (1984).
22. On Fludd, see Parkhurst and Feller (1982).
23. Newton was not explicit about how he arrived at seven primary colors. It is possible that he saw seven major hues in the spectrum. It is somewhat surprising that turquoise or sea blue is not one of his primary colors, given its prominence in the spectrum. On the other hand, seven was a classical number, and there is the connection to the musical scale that Newton referred to. Perhaps he was consciously or unconsciously influenced by such associations. However, in a recent paper Jameson et al. (2001) show that 52 trichromats, when viewing the spectrum with both eyes, delineate it on average into 7.4 hue ranges.
24. Descartes's figure in Gage (1993).
25. Diderot quoted from Diderot (1798).
26. On C.B., see Parkhurst and Feller (1982) and Gage (1993).
27. On Castel, see Gage (1993) and Schwarz (1999).
28. On color of urine diagrams, see Gage (1993).
29. For a German translation of Mayer's paper, see Lang (1980). For an English translation, see Fiorentini and Lee (2000).
30. The name *gamboge* is from the word Cambodia, it is a yellow-colored gum resin from trees of the genus *Garcinia*, growing in southeast Asia.
31. For more on Frisch, see Schwarz (1999).
32. The English glassmaker George Palmer (1740–1795) had an interest in color and was active at times in France. In 1777 he published in English a pamphlet titled *Theory of Colours and Vision*. It was translated in the same year into French. Walls (1956) considers Palmer's theory "just as complete as Young's, and nowise inferior to it. . ." Palmer's theory was reviewed in *Lichtenberg's Magazin* in Göttingen, Germany, in 1781. Thomas Young was a medical student in Göttingen from 1795–96 and knew Lichtenberg who had considerable interest in vision. It is not known if Young learned of Palmer via Lichtenberg. In 1786 Palmer also published (in French) an account of color vision deficiencies.
33. Runge translations by the author.
34. On Matthias Klotz, see Schwarz (1999).
35. On early development of psychophysics, see Boring (1929).
36. Chevreul translation from the English edition of 1854.
37. On Doppler, see Schwarz (1992).
38. Aubert quote from Aubert (1876).
39. See Donders (1881). See also Turner 1994.
40. On further development of psychophysics, see Boring (1929).
41. On Munsell system development, see Munsell (1918) and Kuehni (2002a).

Chapter 3

1. On linking propositions, see, for example, Teller and Pugh (1983).
2. For the nine-dimensional universe, see, for example, Greene (1999).

Chapter 4

1. Runge translations by the author.
2. Grassmann translation by the author.
3. Helmholtz (1909, Vol II, p. 130).
4. Hering definition of constant veiling from Hering (1964, pp. 51–52).
5. *Chromo-luminarisme*, a term invented by the French painter George Seurat (1859–1891) to designate his early style of neoimpressionist painting. The term *chromolithographe* was first mentioned in French literature in 1837.
6. On Ostwald's view of Helmholtz's brightness definition, see Schwarz (1995).
7. Godlove formula from Judd (1969).
8. Pieter van Musschenbroek (1692–1761) was the inventor of the Leyden flask, a form of electrical capacitor. The law of disk mixture was developed by Plateau in 1853 and the technique perfected by Maxwell (Boring, 1942).

Chapter 5

1. For a short history of photometry, see Walsh (1958).
2. On the Hefner lamp, see Walsh (1958).
3. Lambert comment in Lambert (1760).
4. On Treviranus and Boll, see Polyak (1957).
5. For a lively description on the CIE standard observer development, see Wright (1996).
6. The density and distribution of cone types varies throughout the retina. The macular spot has an irregular distribution and is absent in the central area of focus of the normal eyes optics. To account for the average observer, for these differences two different standard observers have been specified by the CIE, one applying to a visual field subtending 2° and the other 10°.
7. For a trenchant critique of the CIE colorimetric system, see Cohen 2001. For a jab see the comment by the eminent visual physiologist W. A. H. Rushton: “The CIE triangle is brilliantly ingenious as an aid to the calculation of chromaticities which can be upheld in a court of law where colour specification is in dispute. But the triangle is monstrous as an indication of what is going on in the mechanism of vision. It displays all colours as a mixture of three primary lights, none of which have an existence that can be easily imagined. One of the three primaries is bright: it is pure green from which is subtracted a lot of red, which it does not contain. The other primaries are quite dark; they have strong colour but zero luminance. These do not seem to me ingredients that lead to clarity in our conception of colour mechanisms and I am astonished that some physiologists and many psychologists employ them to instruct the young and bewilder the old.” (*Journal of Physiology* 1972; 220:178)
8. Many people have contributed to the elucidation of the genetic basis of color vision. Among the pioneers were J. Nathans, R. and S. Yokoyama, and others. For a succinct history, see Sharpe et al. (1999).
9. On Granit and Svaetichin, see Polyak (1957).

10. The notion of a central fovea free of S cones was first proposed by Artur König in 1894. Since then it was confirmed in some experiments but remains controversial.
11. The effect of field size on observed appearance in unpublished results by the author.

Chapter 6

1. For an extended discussion on the concept of line element, see Wyszecki and Stiles (1982, p. 654ff). See MacAdam (1981) on Schrödinger's and Stiles's line elements.
2. The terms NBS unit or judd have not gained widespread use.

Chapter 7

1. On Munsell system development, see Munsell (1918) and Kuehni (2002a).
2. The supplier of the Munsell *Book of Colors* is GretagMacbeth LLC, New Windsor, NY.
3. On the committee experiments, see Judd and Nickerson (1967) and Judd (1955, 1957, 1965, 1967).
4. This was a forced choice experiment in which the observer could only answer in one of two ways, “larger” or “smaller.”
5. MacAdam revision of OSA-UCS, personal communication by J. T. Luke.
6. Hering translations by Hurvich and Jameson; see Hering (1905–1911).
7. On the “beauty test for acceptance,” see Hård et al. (1996a).

Chapter 8

1. MacAdam's ellipse 1 applies to a highly saturated reddish blue. It is more highly saturated than any other color used in any color-matching error experiment. The implicit S cone absorption value is very high and not in agreement with that of all other ellipses. It has been left out of the analysis for that reason.

Glossary

Acceptability Judgment of the perceived size of a color difference against an internal standard of acceptability as a color match; used in color quality control.

Achromatic color A perceived color without hue: white, gray, black.

Adaptation, visual Modification of the visual response to stimuli due to the effects of the immediate surround and the total visual field of simultaneous or preceding stimuli. There is brightness as well as chromatic adaptation.

Additive Produced by addition; specifically that the physical sum of two visual stimuli is seen as the psychological sum in the sense of matching color perceptions.

Aim color A color specification that is the target to be achieved by a color chip, typically in a systematic collection.

Antagonistic Opposition in physiological action, specifically referring to neurons with opponent color character.

Attribute An inherent characteristic; there are two sets of widely accepted primary color attributes for object colors: (1) Hue, chroma, and lightness; (2) hue, whiteness, and blackness.

Attribute measurement Process of assigning numbers or other symbols to things in a manner that their relationship reflects the relationships of the attribute being measured.

Bezold-Brücke effect A sensory effect named after German scientists, according to which the hue sensation caused under normalized viewing conditions by light of all but three wavelengths changes with changing intensity.

Blackness Degree of resemblance of a visual field to the fundamental color contrast perception of black. A fundamental color attribute in the Hering system.

Brightness Attribute of a visual perception according to which an area appears to emit, or reflect, more or less light. Differences in brightness range from bright to dim.

Chroma The attribute of a visual sensation permitting the judgment of the degree to which a chromatic, related color differs from the achromatic color of the same lightness.

Chromaticness Attribute of a visual sensation according to which the perceived color of an area appears to be more or less chromatic.

Chromatic plane A plane in which all color perceptions, systematically ordered, of colors seen as equally bright or light are located.

Chromaticity diagram A two-dimensional diagram in which colors can be plotted according to their chromaticity coordinates, resulting in different locations for colors of different hue and chromaticness.

CIE colorimetric system A color specification system developed by the International Commission on Illumination (the acronym is derived from the organization's French name Commission Internationale de l'Éclairage).

Cleavage plane Cleavage is the tendency of crystalline materials to break under strain along defined lines. A cleavage plane is a surface in a crystalline structure revealed after an actual or imagined break. In a color solid it contains colors that stand in simple mathematically definable relationship to each other.

Coefficient of variation A measure of the change in data; the standard deviation of the data expressed as a percentage of the data mean.

Colorant A material that changes the absorption characteristics of other materials: dyes or pigments, certain metal salts.

Color atlas A systematically arranged collection of colored chips that are symbols of the colors of a color solid. The chips only illustrate the intended space when viewed under prescribed conditions by an average color normal observer.

Color appearance Appearance is the sense impression or aspect of a thing; color appearance is the aspect of a colored field that distinguishes it from the comparable aspect of another field that has a different color appearance. Visual appearance includes visual aspects other than color, such as glossiness, transparency, and opacity.

Color appearance models Mathematical models attempting to describe the color appearance of objects as seen by the average observer under different illumination and in different surrounds.

Color circle A circular arrangement of hues in their spectral order, with nonspectral purple colors connecting the shortwave and the long

wave ends of the spectrum; usually illustrated with high chroma pigment colorations.

Colorimeter Optical instrument for the investigation of color vision; in technology also an instrument that measures the reflectance of materials through three filters duplicating the color-matching functions of a standard observer.

Colorimetry The branch of color science concerned with the numerical specification of color stimuli.

Colorimetric purity A measure of saturation related to color stimuli and expressed in the CIE chromaticity diagram. Its relationship to perceived saturation in some standard conditions is complex.

Color difference The perceived difference between two non-identical fields of color.

Color difference formula A mathematical formula that allows the calculation from stimuli of the difference between two color fields in a given surround, as perceived by an average observer.

Color, full Translation of Hering's term *Vollfarbe*, the mental image of a color at its highest chromaticness; the color with a particular hue at the highest level of chroma on the MacAdam limit.

Color harmony The combination of color elements in objects of art or craft so that the effect is perceived as harmonious, in concord.

Color-matching error Stimulus variability in repeated matches of a standard color.

Color-matching functions Three spectral functions describing the amounts of three primary lights required to result in color perceptions matching those obtained from spectral lights.

Color metric A metric describes the mathematical structure of a geometrical space; a color metric applies to a color space, specifically a uniform color space.

Color order Systematic arrangement of color perceptions in terms of attributes and geometrical or mathematical models thereof.

Color, primary Colloquial term used in different circumstances: (1) One of three lights whose color appearance cannot be matched by the other two used with the other two to match the appearance of any other light; (2) one of three colorants used in color order systems or in color reproduction, such as yellow, red, and blue or yellow, magenta, and cyan; (3) one of the four Hering *Urfarben* or fundamental hue perceptions of yellow, red, blue, and green.

Color, related Color perception caused by light reflected from an object in the presence of other objects. The perceived color depends on the perceived color of surrounding objects.

Color, unrelated Color perceived to belong to an area seen in isolation from other areas.

Color solid Subset of color space containing, in a given experimental situation, all possible color experiences of the observer under consideration.

Color space Three-dimensional coordinate system within which color experiences can be represented as points with unique positions. The term color space should be limited to psychological spaces or psychophysical spaces based on cone sensitivity or color-matching functions.

Color stimulus A stimulus is something that excites an organism, or one of its components to functional activity. An external color stimulus normally consists of light of one or more wavelengths, viewed against a surround of different spectral composition.

Color zone theory Originally the merger of the Young-Helmholtz and the Hering theory of color vision; more generally any model of color vision consisting of two or more stages of processing.

Cones Cone-shaped light-sensitive cells in the retina. There are three types of cones differing in spectral sensitivity in the normal human retina.

Cone sensitivity functions Spectral functions that describe the response of the three cone types to light energy arriving at the surface of the retina.

Cone contrast diagram A diagram for illustrating the results of contrast experiments in terms of cone activation, such as $\Delta M/M$ versus $\Delta L/L$.

Contrast The difference between things having similar nature; specifically, the degree of difference between two adjacent fields of color. Perceptually contrast is expressed in terms of perceived difference, psychophysically in cone activation (in a cone contrast diagram) or in colorimetric terms.

Correlation coefficient A number indicating the degree of association between two sets of data.

Crispening Describes the fact that smallest increments in stimuli are necessary for a criterion perceptual difference response if the surround color is intermediate to the colors of the two fields compared, both in luminance and chromaticity.

Criterion response Perceptual response at the level of the selected criterion; the criterion is a standard on which the judgment is based.

Detection Discovery or determination of the existence or presence of something; specifically, for example, the determination of presence of redness in a perceived color.

DeVries-Rose behavior Increase of the Weber fraction with the square root of luminance instead of being constant.

Diapason The entire compass of musical notes.

Discrimination The process by which two stimuli differing in some aspect result in different responses of some sort.

Dominant wavelength (of a color stimulus) Wavelength of the monochromatic stimulus that, when additively mixed with the appropriate amount of achromatic stimulus, results in a color match with the test stimulus.

Empirical Originating in observation or experience.

Equal energy light source A theoretical light source that has a relative spectral power distribution of 1.0 across the spectrum.

Euclidean Relating to, or based on the geometry of the Greek mathematician Euclid; specifically that the three color attribute differences in a complex difference sum as the square root of the sum of the squares of the individual attribute differences.

Field of view The size of the retinal image expressed in solid angle. The CIE has specified a 2° and a 10° standard observer.

Flicker Variation in brightness or hue perceived upon stimulation by intermittent or temporally nonuniform light.

Fluorent Appearance of chromatic fields when their luminance or luminous reflectance is higher than that of the surround, but not as much as to make them appear luminous.

Fluorescence A form of luminescence, property of certain inorganic and organic molecules to reemit absorbed ultraviolet or visible light energy in the visible region of the spectrum.

Ganzfeld A situation in which the entire visual field is identical in composition. There are different degrees of *ganzfeld* mentioned in literature. In one situation, the observer has her head in a uniformly light-emitting sphere. It is still possible to see the nose and other facial features and contrast is thereby possible. In another case, the observer has, say, identical colored ping-pong balls with a section removed taped to her eyelids so that no facial or other contrasting feature can be seen. Here the *ganzfeld* is complete.

Geodesic The shortest line between two points on a given surface. The curvature of the line depends on the geometry of the space.

Gestalt psychology The study of perception and behavior based on the individual's response to configurational wholes, stressing the uniformity of the psychological events and rejecting analysis into discrete aspect.

Grade A position in a scale of ranks or qualities; specifically a fixed point in a color scale.

Gray scale A series of grades representing an achromatic color scale, usually with visually equidistant steps between neighboring grades.

Helmholtz-Kohlrausch effect Describes the fact of heterochromatic brightness matching that chromatic colors are perceived as brighter than achromatic colors of the same luminance. The effect is dependent on the dominant wavelength of the color.

Heterochromatic Of mixed chromatic appearance.

Hue Attribute of a visual perception according to which an area appears to be similar to one of the colors yellow, red, blue, or green or to a combination of adjacent pairs of these colors considered in a closed ring.

Hues, unique The four hues of the color circle that can not be matched with colors other than themselves; the psychological primary hues yellow, red, blue, and green. Unique red is a red hue that is neither yellowish nor bluish, for example.

Hue superimportance Refers to the fact that a smaller stimulus increment is required for a criterion difference response if it represents a hue difference than if it represents a chroma or saturation difference of the same perceived magnitude.

Illuminant An illuminating device; technically a set of numbers representing the spectral power distribution of a light source.

Isomorphism A one-to-one correspondence between mathematical sets; specifically, mapping of objects of color experience to objects in a geometrical space so that a one-to-one correspondence is obtained.

Just noticeable difference (JND) Threshold difference; the initial perceptual difference that can be seen when one of two originally identical fields of color changes in any given direction.

Lateral geniculate nucleus A mass of cells in the brain along the visual passageway between the retina and the visual area at the back of the brain.

Lattice A regular geometrical arrangement of points over an area or in a space; specifically related to the arrangement of colors in a color space.

Lightness Perceptual attribute of related colors according to which a color field appears to emit equal or less light compared to a white field. Lightness can be understood as relative brightness.

Line element The first fundamental form of a regular surface. It is defined by the Riemannian metric. In connection with color the term is used to describe a certain kind of color space defined by (weighted) increments of color fundamentals.

Linear model Of the first degree with respect to variables; having a graph that is a straight line.

Linear regression A functional relationship between two or more variables in which the variables are linearly related.

Linking proposition Postulated link between two sets of facts that are only indirectly related.

Luminance Luminous flux of a light beam emanating from a surface in a given direction, per unit solid angle.

Luminous reflectance Luminance of the surface of an object compared to the luminance of the surface of a perfectly reflecting diffuser, illuminated with the same light source and viewed at the same angle. Also known as luminance factor Y .

Macula “Yellow spot,” an irregularly formed ring-like area of yellowish pigment in the central region of the retina. The fovea is located in the central area of the macular ring, free of macular pigment.

Magnitude, sensory A numerical or symbolic quantitative measure of the result of a sensory perception.

Magnocellular Relates to layers in the lateral geniculate nucleus in which relatively large cells are located believed to relay information necessary for motion perception.

Masking The reduction or suppression of one percept by the presence of another.

Maximal color See full color.

Metamers Two or more differing spectral power distributions resulting in identical color perceptions for an observer. Also used for objects with different reflectance functions seen as having identical color when viewed in standard conditions under a given light source.

Monochromatic Light of a single wavelength or a very narrow band of wavelengths seen as having identical color.

Monolexemic Describes a word consisting of a single meaningful linguistic unit.

Neuron Cell in the nervous system specialized in the transmission of electrical signals.

Neurophysiology Organic processes and phenomena of the nervous system.

Object color Apparent color of an object. The color of an object can vary depending on the surround and contextual conditions in which it is viewed.

Opponent color theory A theory according to which color perception is based on unique hues forming opposing pairs: red-green, yellow-blue, as well as the non-hued pair black and white.

Orthogonal To intersect or lie at right angles.

Parabolic Refers to a type of curved line resulting from slicing a cone at a certain range of angles.

Parvocellular Relates to layers in the lateral geniculate nucleus in which relatively small cells are located believed to relay information necessary for brightness and color perception.

Perception The subjective, conscious awareness of any aspect of the external or internal environment.

Photometry The measurement of light as related to the average human observer.

Power law A mathematical, exponential relationship between two variables; specifically between a physical stimulus and the perception resulting from it.

Psychometric function Plots the relative frequency of judgments “smaller than,” “equal,” and “larger than” relative to the magnitude of the stimulus.

Psychophysics The study of mental processes by quantitative methods; specifically the reports of human subjects of the perceptions resulting from carefully measured light stimuli.

Reflection The process by which a smooth surface returns electromagnetic radiation, specifically light. In reflection the radiation is returned by a simple optical law: the angle of reflection equals the angle of incidence.

Relativize To treat or describe as not absolute or independent.

Retina A layer coating the inside of the camera type eye, containing the light-sensitive rod and cone cells and cells connected to them. The retina is continuous with the optical nerve.

Riemannian geometry Non-euclidean geometry with positive curvature in which the parallel line postulate is replaced by the postulate that every pair of straight lines intersects.

Rods Rod-shaped light-sensitive cells in the retina, specialized to operate primarily at low light levels resulting in brightness perception only.

Root mean square error The square root of the arithmetic mean of the squares of the deviations of the various items from the arithmetic mean of the whole; also termed standard deviation.

Saturation Attribute of a visual perception which permits a judgment to be made of the degree to which a chromatic stimulus differs in appearance from that of an achromatic stimulus, regardless of their brightness.

Sensation Mental process due to bodily stimulation, now distinguished from awareness of the result of the process.

Spectral spaces Spaces created from reflectance or spectral power distribution data by dimension reduction techniques other than those involving color matching or cone sensitivity functions.

Spectrophotometer An instrument for measuring the relative intensities of light in different spectral regions.

Stimulus An agent that directly influences the activity of a living organism or one of its parts; specifically electromagnetic radiation within the visible band.

Suprathreshold Exceeding the threshold; specifically a difference that is larger than a threshold difference.

Symbolic A formal system of notation representing relationships.

Tetraectys The pythagorean name for the sum of the first four integers regarded as the source of all things.

Threshold Visual, the lowest level or increment of stimulus resulting in a visual perception or a difference perception.

Trichromacy Relates to the theory that human color vision is based on the activity of three cone types.

Tristimulus values The scalar values of the amounts of three primary lights required to match a given light. The CIE tristimulus values X , Y , and Z refer to non-real lights \mathbf{X} , \mathbf{Y} , and \mathbf{Z} .

Tone A tint or shade of color, typically achieved by adding white and/or black pigments to highly chromatic pigments.

Value Munsell's term for the grades of a perceptually uniform gray scale.

Vision Process by which the extended visual system extracts information from light energy to help generate appropriate response behavior.

Weber fraction Proportionality constant between the stimulus increment and the absolute value of the stimulus.

Weighting To apply a statistically or otherwise determined weighting factor to a variable.

Whiteness Attribute of a diffusing surface permitting, when viewed under a standard light source, the judgment of similarity to a standard white surface viewed in the same light.

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