## CHAPTER

# User-Defined Functions

In Chapter 3, we learned the importance of good program design. The basic technique that we employed was **top-down design**. In top-down design, the programmer starts with a statement of the problem to be solved and the required inputs and outputs. Next, he or she describes the algorithm to be implemented by the program in broad outline, and applies *decomposition* to break the algorithm down into logical subdivisions called sub-tasks. Then, the programmer breaks down each sub-task until he or she winds up with many small pieces, each of which does a simple, clearly understandable job. Finally, the individual pieces are turned into MATLAB code.

Although we have followed this design process in our examples, the results have been somewhat restricted, because we have had to combine the final MATLAB code generated for each sub-task into a single large program. There has been no way to code, verify, and test each sub-task independently before combining them into the final program.

Fortunately, MATLAB has a special mechanism designed to make sub-tasks easy to develop and debug independently before building the final program. It is possible to code each sub-task as a separate function, and each function can be tested and debugged independently of all of the other sub-tasks in the program.

Well-designed functions enormously reduce the effort required on a large programming project. Their benefits include:

 Independent testing of sub-tasks. Each sub-task can be written as an independent unit. The sub-task can be tested separately to ensure that it performs properly by itself before combining it into the larger program. This step is known as unit testing. It eliminates a major source of problems before the final program is even built.

- 2. Reusable code. In many cases, the same basic sub-task is needed in many parts of a program. For example, it may be necessary to sort a list of values into ascending order many different times within a program or even in other programs. It is possible to design, code, test, and debug a single function to do the sorting and then to reuse that function whenever sorting is required. This reusable code has two major advantages: it reduces the total programming effort required, and it simplifies debugging, since the sorting function needs to be debugged only once.
- 3. Isolation from unintended side effects. Functions receive input data from the program that invokes them through a list of variables called an input argument list, and return results to the program through an output argument list. Each function has its own workspace with its own variables, independent of all other functions and of the calling program. The only variables in the calling program that can be seen by the function are those in the input argument list, and the only variables in the function that can be seen by the function that can be seen by the calling program are those in the output argument list. This is very important, because accidental programming mistakes within a function can affect only the variables within function in which the mistake occurred.

Once a large program has been written and released, it must be maintained. Program maintenance involves fixing bugs and modifying the program to handle new and unforeseen circumstances. The programmer who modifies a program during maintenance is often not the person who originally wrote it. In poorly written programs, it is common for the programmer modifying the program to make a change in one region of the code, and to have that change cause unintended side effects in a totally different part of the program. This happens because variable names are reused in different portions of the program. When the programmer changes the values left behind in some of the variables, those values are accidentally picked up and used in other portions of the code.

The use of well-designed functions minimizes this problem by **data hiding**. The variables in the main program are not visible to the function (except for those in the input argument list), and the variables in the main program cannot be accidentally modified by anything occurring in the function. Therefore, mistakes or changes in the function's variables cannot accidentally cause unintended side effects in the other parts of the program.

## Good Programming Practice

Break large program tasks into functions whenever practical to achieve the important benefits of independent component testing, reusability, and isolation from undesired side effects.

# 5. Introduction to MATLAB Functions

All of the M-files that we have seen so far have been script files. Script files are just collections of MATLAB statements that are stored in a file. When a script file is executed, the result is the same as it would be if all of the commands had been typed directly into the Command Window. Script files share the Command Window's workspace, so any variables that were defined before the script file starts are visible to the script file, and any variables created by the script file remain in the workspace after the script file finishes executing. A script file has no input arguments and returns no results, but script files can communicate with other script files through the data left behind in the workspace.

In contrast, a MATLAB function is a special type of M-file that runs in its own independent workspace. It receives input data through an **input argument** list and returns results to the caller through an **output argument** list. The general form of a MATLAB function is

```
function [outarg1, outarg2, ...] = fname(inarg1, inarg2, ...)
% H1 comment line
% Other comment lines
```

```
(Executable code)
```

(return) (end)

The function statement marks the beginning of the function. It specifies the name of the function and the input and output argument lists. The input argument list appears in parentheses after the function name, and the output argument list appears in brackets to the left of the equal sign. (If there is only one output argument, the brackets can be dropped.)

Each ordinary MATLAB function should be placed in a file with the same name (including capitalization) as the function, and the file extent ".m". For example, if a function is named My\_fun, then that function should be placed in a file named My\_fun.m.

The input argument list is a list of names representing values that will be passed from the caller to the function. These names are called **dummy arguments**. They are just placeholders for actual values that are passed from the caller when the function is invoked. Similarly, the output argument list contains a list of dummy arguments that are placeholders for the values returned to the caller when the function finishes executing.

A function is invoked by naming it in an expression together with a list of **actual arguments**. A function may be invoked by typing its name directly in the Command Window or by including it in a script file or another function. The name in the calling program must *exactly match* the function name (including

capitalization).<sup>1</sup> When the function is invoked, the value of the first actual argument is used in place of the first dummy argument, and so forth for each other actual argument/dummy argument pair.

Execution begins at the top of the function and ends when either a return statement, an end statement, or the end of the function is reached. Because execution stops at the end of a function anyway, the return statement is not actually required in most functions and is rarely used. Each item in the output argument list must appear on the left side of at least one assignment statement in the function. When the function returns, the values stored in the output argument list are returned to the caller and may be used in further calculations.

The use of an end statement to terminate a function is a new feature of MATLAB 7.0. In earlier versions of MATLAB, the end statement was only used to terminate structures such as if, for, while, etc. It is optional in MATLAB 7 unless a file includes nested functions, which are covered later in this chapter. In this book, we will always terminate functions with an end statement, and we will include a comment on each end statement naming the function that it is associated with.<sup>2</sup> MATLAB doesn't use the comment, but it is helpful to a programmer trying to read your code at a later date.

## 3 Good Programming Practice

Always terminate your functions with an end statement, and include a comment on the statement indicating which function the end statement is associated with.

The initial comment lines in a function serve a special purpose. The first comment line after the function statement is called the **H1 comment line**. It should always contain a one-line summary of the purpose of the function. The special significance of this line is that it is searched and displayed by the lookfor command. The remaining comment lines from the H1 line until the first blank line or the first executable statement are displayed by the help command. They should contain a brief summary of how to use the function.

A simple example of a user-defined function is shown below. Function dist2 calculates the distance between points  $(x_1, y_1)$  and  $(x_2, y_2)$  in a Cartesian coordinate system.

For example, suppose that a function has been declared with the name My\_Fun and placed in file My\_Fun.m. Then this function should be called with the name My\_Fun, not my\_fun or MY\_FUN. If the capitalization fails to match, this will produce an error on Linux, Unix, and Macintosh computers, and a warning on Windows-based computers.

<sup>&</sup>lt;sup>2</sup>The end statements at the end of a function will cause a warning if the function is executed on versions of MATLAB that preceded MATLAB 7.0.

```
function distance = dist2 (x1, v1, x2, v2)
    %DIST2 Calculate the distance between two points
    & Function DIST2 calculates the distance between
    % two points (x1,v1) and (x2,v2) in a Cartesian
    % coordinate system.
    8
    % Calling sequence:
        distance = dist2(x1, y1, x2, y2)
    8
    % Define variables:
    8
       v1
               -- x-position of point 1
    8
               -- y-position of point 1
       v1
               -- x-position of point 2
    8
       x2
    8 v2
               -- v-position of point 2
      distance -- Distance between points
    8
      Record of revisions:
    $
    8
                    Programmer
                                        Description of change
         Date
    *
         ====
                    =========
                                        S. J. Chapman
    8
       01/12/04
                                        Original code
    % Calculate distance.
    distance = sort((x2-x1).^2 + (v2-v1).^2);
    end % function distance
       This function has four input arguments and one output argument. A simple script
       file using this function is shown below.
% Script file: test_dist2.m
% Purpose:
8
    This program tests function dist2.
% Record of revisions:
      Date
                 Programmer
                                      Description of change
      ----
                  _____
                                      _____
    01/12/04
                S. J. Chapman
                                      Original code
% Define variables:
8
            -- x-position of point a
   ax
8
            -- y-position of point a
   ay
8
  bx
            -- x-position of point b
8
   by
           -- y-position of point b
8
   result -- Distance between the points
% Get input data.
disp('Calculate the distance between two points:');
```

8

8

8

8

8

8

```
ax = input('Enter x value of point a: ');
ay = input('Enter y value of point a: ');
bx = input('Enter x value of point b: ');
by = input('Enter y value of point b: ');
```

% Evaluate function
result = dist2 (ax, ay, bx, by);

% Write out result.
fprintf('The distance between points a and b is %f\n',result);

When this script file is executed, the results are:

#### » test\_dist2

Calculate the distance between two points: Enter x value of point a: 1 Enter y value of point a: 1 Enter x value of point b: 4 Enter y value of point b: 5 The distance between points a and b is 5.000000

These results are correct, as we can verify from simple hand calculations.

Function dist2 also supports the MATLAB help subsystem. If we type "help dist2," the results are:

#### » help dist2

DIST2 Calculate the distance between two points Function DIST2 calculates the distance between two points (x1,y1) and (x2,y2) in a Cartesian coordinate system.

Calling sequence: res = dist2(x1, y1, x2, y2)

Similarly, "lookfor distance" produces the result

#### » lookfor distance

DIST2 Calculate the distance between two points MAHAL Mahalanobis distance. DIST Distances between vectors.

NBDIST Neighborhood matrix using vector distance. NBGRID Neighborhood matrix using grid distance. NBMAN Neighborhood matrix using Manhattan-distance.

To observe the behavior of the MATLAB workspace before, during, and after the function is executed, we will load function dist2 and the script file test\_dist2 into the MATLAB debugger, and set breakpoints before, during and after the function call (see Figure 5.1). When the program stops at the breakpoint

1.	it Text Cell Tools Debug Desktop Window Help	a colorization	
		Stack: test_dist2	8085
1	k Script file: test_flat2.0		
2	5		
3	1 Phippeset		
4	<ol> <li>This program tests function di</li> </ol>	1810.	
5			
6	) Record of revisions:		
7	<ol> <li>Date Propramaez</li> </ol>	Lesoniptics of change	
8	1 1171 ELETACHINE		
9	§ 01/12/04 8. 2. Chapman	Original code	
10	5		
11	N befine variables:		
12	ax x-position of point a		
13	a ay y-pusition of point a		
14	to by x-position of point h		
15	a iy y-position of point b		
16	t result Distance between the	points	
17			
18	% Get input data.		
19 -	disp('Calculate the distance between		
20 -	<pre>ax = input('Enter x Falue of point</pre>		
21 -	ay = input('Enter y value of point		
22 -	<pre>bx = input('Enter x value of point</pre>		
23 -	<pre>by = input('Enter y value of point</pre>	to: ');	
24			
100 C 100 C	1 Evaluate function		
FT. (75) 3425	<pre>result = dist2 (ax, ay, bx, by);</pre>		
27			
28	l Brite out result.		1.0
29 0	fprintf('The distance between point	a and b is %I\n',result	.);
30			
	3		
			5.
142			
N.E.	an and a second second second		Contraction in the second
diet? .	m × test_dist2.m ×	C. S.	

Figure 5.1 M-file test\_dist2 and function dist2 are loaded into the debugger, with breakpoints set before, during, and after the function call.

before the function call, the workspace is as shown in Figure 5.2(a). Note that variables ax, ay, bx, and by are defined in the workspace, with the values that we have entered. When the program stops at the breakpoint within the function call, the function's workspace is active. It is as shown in Figure 5.2(b). Note that variables x1, x2, y1, y2, and distance are defined in the function's workspace, and the variables defined in the calling M-file are not present. When the program stops in the calling program at the breakpoint *after* the function call, the workspace is as shown in Figure 5.2(c). Now the original variables are back, with the variable result added to contain the value returned by the function. These figures show that the workspace of the function is different from the workspace of the calling M-file.

	8 1 B.	Stack: test_dist2 -
Name	Value	Class
∃ax	1	double
∃ay	1	double
∃bx	4	double
∃by	5	double
NAR AN	ra anna anna anna anna anna anna anna a	
	Constant of the local division of the	
Workspace	Graphics Wind	w Help
-	and the second state of th	and the second se
Name	Value	Class
H distance	5	double
	1	double
	4	double
⊞ v1	1	double
	5	double
	(b	) )
-) Workspace File Edit View	5 2 2 1 7 1 3 4 1 1 1 C 1 C 1	ow Help
	THE PLAN PROPERTY OF ANY	Contraction of the second s
Name that the state	Value	Class
田 ax	1	double
🖽 ay	1	double
1 bx	4	double
⊞by	'5	double
I result	5	double

Figure 5.2 (a) The workspace before the function call. (b) The workspace during the function call. (c) The workspace after the function call.

(c)

## 5.2 Variable Passing in MATLAB: The Pass-By-Value Scheme

MATLAB programs communicate with their functions using a pass-by-value scheme. When a function call occurs, MATLAB makes a *copy* of the actual arguments and passes them to the function. This copying is highly significant, because it means that even if the function modifies the input arguments, it won't affect the original data in the caller. This feature helps to prevent unintended side effects, in which an error in the function might unintentionally modify variables in the calling program.

This behavior is illustrated in the function shown below. This function has two input arguments: a and b. During its calculations, it modifies both input arguments.

function out = sample(a, b, c)
fprintf('In sample: a = %f, b = %f %f\n',a,b);
a = b(1) + 2\*a;
b = a .\* b;
out = a + b(1);
fprintf('In sample: a = %f, b = %f %f\n',a,b);

A simple test program to call this function is shown below.

```
a = 2; b = [6 4];
fprintf('Before sample: a = %f, b = %f %f\n',a,b);
out = sample(a,b);
fprintf('After sample: a = %f, b = %f %f\n',a,b);
fprintf('After sample: out = %f\n',out);
```

When this program is executed, the results are:

```
» test_sample
```

```
Before sample: a = 2.000000, b = 6.000000 4.000000
In sample: a = 2.000000, b = 6.000000 4.000000
In sample: a = 10.000000, b = 60.000000 40.000000
After sample: a = 2.000000, b = 6.000000 4.000000
After sample: out = 70.000000
```

Note that a and b were both changed inside the function sample, but those changes had no effect on the values in the calling program.

Users of the C language will be familiar with the pass-by-value scheme, since C uses it for scalar values passed to functions. However C does *not* use the pass-by-value scheme when passing arrays, so an unintended modification to a dummy array in a C function can cause side effects in the calling program. MATLAB improves on this by using the pass-by-value scheme for both scalars and arrays.<sup>3</sup>

The implementation of argument passing in MATLAB is actually more sophisticated than this discussion indicates. As pointed out previously, the copying associated with pass-by-value takes up a lot of time, but it provides protection against unintended side effects. MATLAB actually uses the best of both approaches; it analyzes each argument of each function and determines whether or not the function modifies that argument. If the function modifies the argument, then MATLAB makes a copy of it. If it does not modify the argument, then MATLAB simply points to the existing value in the calling program. This practice increases speed while still providing protection against side effects!

## Example 5.1-Rectangular-to-Polar Conversion

The location of a point in a cartesian plane can be expressed in either the rectangular coordinates (x, y) or the polar coordinates  $(r, \theta)$ , as shown in Figure 5.3. The relationships among these two sets of coordinates are given by the following equations:

$$x = r\cos\theta \tag{5-1}$$

$$v = r\sin\theta \tag{5-2}$$

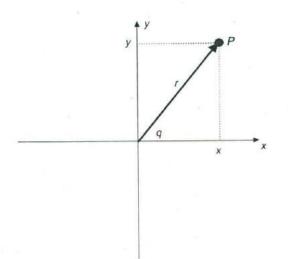
$$r = \sqrt{x^2 + y^2} \tag{5-3}$$

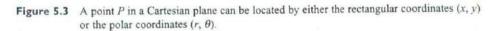
$$\theta = \tan^{-1} \frac{y}{x} \tag{5-4}$$

Write two functions rect2polar and polar2rect that convert coordinates from rectangular to polar form, and vice versa, where the angle  $\theta$  is expressed in degrees.

SOLUTION We will apply our standard problem-solving approach to creating these functions. Note that MATLAB's trigonometric functions work in radians, so we must convert from degrees to radians and vice versa when solving this problem. The basic relationship between degrees and radians is

$$180^\circ = \pi \text{ radians}$$
 (5-5)





### 1. State the problem.

A succinct statement of the problem is:

Write a function that converts a location on a Cartesian plane expressed in rectangular coordinates into the corresponding polar coordinates, with the angle  $\theta$  is expressed in degrees. Also, write a function that converts a location on a Cartesian plane expressed in polar coordinates with the angle  $\theta$  is expressed in degrees into the corresponding rectangular coordinates.

#### 2. Define the inputs and outputs.

The inputs to function rect2polar are the rectangular (x, y) location of a point. The outputs of the function are the polar  $(r, \theta)$  location of the point. The inputs to function polar2rect are the polar  $(r, \theta)$  location of a point. The outputs of the function are the rectangular (x, y) location of the point.

### 3. Describe the algorithm.

These functions are very simple, so we can directly write the final pseudocode for them. The pseudocode for function polar2rect is:

x <- r \* cos(theta \* pi/180)
y <- r \* sin(theta \* pi/180)</pre>

The pseudocode for function rect2polar will use the function atan2, because that function works over all four quadrants of the Cartesian plane. (Look that function up in the MATLAB Help Browser!)

r <- sqrt( x.^2 + y .^2 )
theta <- 180/pi \* atan2(y,x)</pre>

#### 4. Turn the algorithm into MATLAB statements.

The MATLAB code for the selection polar2rect function is shown below.

### function [x, y] = polar2rect(r,theta)

```
%POLAR2RECT Convert rectangular to polar coordinates
% Function POLAR2RECT accepts the polar coordinates
% (r,theta), where theta is expressed in degrees,
% and converts them into the rectangular coordinates
% (x, y).
8
% Calling sequence:
    [x, y] = polar2rect(r, theta)
% Define variables:
                 -- Length of polar vector
8
   77
                 -- Angle of vector in degrees
% theta
                 -- x-position of point
8
   x
                 -- y-position of point
8
   V
```

210 Chapter 5 User-Defined Functions

```
% Record of revisions:
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8
    01/12/04
                  S. J. Chapman
x = r * cos(theta * pi/180);
y = r * sin(theta * pi/180);
```

Description of change -----Original code

5

end % function polar2rect

The MATLAB code for the selection rect2polar function is shown below.

## function [r, theta] = rect2polar(x,y)

```
%RECT2POLAR Convert rectangular to polar coordinates
% Function RECT2POLAR accepts the rectangular coordinates
% (x,y) and converts them into the polar coordinates
% (r,theta), where theta is expressed in degrees.
8
% Calling sequence:
    [r, theta] = rect2polar(x, y)
8
% Define variables:
             -- Length of polar vector
8
```

8	theta	 Angle of ve	ector	in degrees
S	x	 x-position	of po	pint
8	У	 y-position	of po	pint

% Record of revisions:

8	Date	Programmer	Description of change
8	==== '	=========	=======================
8	01/12/04	S. J. Chapman	Original code

```
r = sqrt(x.^{2} + y.^{2});
theta = 180/pi * atan2(y,x);
```

end % function polar2rect

Note that these functions both include help information, so they will work properly with MATLAB's help subsystem and with the lookfor command.

#### 5. Test the program.

To test these functions, we will execute them directly in the MATLAB Command Window. We will test the functions using the 3-4-5 triangle, which is familiar to most people from secondary school. The smaller angle within a 3-4-5 triangle is approximately 36.87°. We will also test the function in all four quadrants of the Cartesian plane to ensure that the conversion are correct everywhere.

```
» [r, theta] = rect2polar(4,3)
 r =
      5
 theta =
    36.8699
 » [r, theta] = rect2polar(-4,3)
 r =
      5
 theta =
    143.1301
 » [r, theta] = rect2polar(-4,-3)
 r =
      5
 theta =
   -143.1301
» [r, theta] = rect2polar(4,-3)
 r =
      5
theta =
   -36.8699
» [x, y] = polar2rect(5,36.8699)
x =
   4.0000
y =
   3.0000
» [x, y] = polar2rect(5,143.1301)
x =
  -4.0000
y =
   3.0000
» [x, y] = polar2rect(5,-143.1301)
x =
  -4.0000
y =
  -3.0000
» [x, y] = polar2rect(5, -36.8699)
x =
   4.0000
y =
  -3.0000
35
```

These functions appear to be working correctly in all quadrants of the Cartesian plane.

## Example 5.2-Sorting Data

In many scientific and engineering applications, it is necessary to take a random input data set and to sort it so that the numbers in the data set are either all in *ascending order* (lowest-to-highest) or all in *descending order* (highest-to-lowest). For example, suppose you were a zoologist studying a large population of animals and you wanted to identify the largest 5 percent of the animals in the population. The most straightforward way to approach this problem would be to sort the sizes of all of the animals in the population into ascending order and take the top 5 percent of the values.

Sorting data into ascending or descending order seems to be an easy job. After all, we do it all the time. It is simple matter for us to sort the data (10, 3, 6, 4, 9) into the order (3, 4, 6, 9, 10). How do we do it? We first scan the input data list (10, 3, 6, 4, 9) to find the smallest value in the list (3), and then scan the remaining input data (10, 6, 4, 9) to find the next smallest value (4), and so forth, until the complete list is sorted.

In fact, sorting can be a very difficult job. As the number of values to be sorted increases, the time required to perform the simple sort described increases rapidly, since we must scan the input data set once for each value sorted. For very large data sets, this technique just takes too long to be practical. Even worse, how would we sort the data if there were too many numbers to fit into the main memory of the computer? The development of efficient sorting techniques for large data sets is an active area of research and is the subject of whole courses all by itself.

In this example, we will confine ourselves to the simplest possible algorithm to illustrate the concept of sorting. This simplest algorithm is called the **selection sort**. It is just a computer implementation of the mental math described above. The basic algorithm for the selection sort is:

- Scan the list of numbers to be sorted to locate the smallest value in the list. Place that value at the front of the list by swapping it with the value currently at the front of the list. If the value at the front of the list is already the smallest value, then do nothing.
- 2. Scan the list of numbers from position 2 to the end to locate the next smallest value in the list. Place that value in position 2 of the list by swapping it with the value currently at that position. If the value in position 2 is already the next smallest value, then do nothing.
- 3. Scan the list of numbers from position 3 to the end to locate the third smallest value in the list. Place that value in position 3 of the list by swapping it with the value currently at that position. If the value in position 3 is already the third smallest value, then do nothing.
- Repeat this process until the next-to-last position in the list is reached. After the next-to-last position in the list has been processed, the sort is complete.

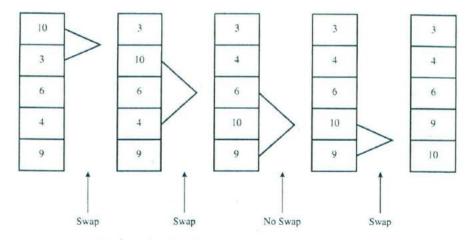


Figure 5.4 An example problem demonstrating the selection sort algorithm.

Note that if we are sorting N values, this sorting algorithm requires N-1 scans through the data to accomplish the sort.

This process is illustrated in Figure 5.4. Since there are five values in the data set to be sorted, we will make four scans through the data. During the first pass through the entire data set, the minimum value is 3, so the 3 is swapped with the 10 which was in position 1. Pass 2 searches for the minimum value in position 2 through 5. That minimum is 4, so the 4 is swapped with the 10 in position 2. Pass 3 searches for the minimum value in positions 3 through 5. That minimum is 6, which is already in position 3, so no swapping is required. Finally, pass 4 searches for the minimum value in positions 4 through 5. That minimum is 9, so the 9 is swapped with the 10 in position 4, and the sort is completed.

## Programming Pitfalls

The selection sort algorithm is the easiest sorting algorithm to understand, but it is computationally inefficient. *It should never be applied to sort large data sets* (say, sets with more than 1000 elements). Over the years, computer scientists have developed much more efficient sorting algorithms. The sort and sortrows functions built into MATLAB are extremely efficient and should be used for all real work. We will now develop a program to read in a data set from the Command Window, sort it into ascending order, and display the sorted data set. The sorting will be done by a separate user-defined function.

SOLUTION This program must be able to ask the user for the input data, sort the data, and write out the sorted data. The design process for this problem is given below.

## 1. State the problem.

We have not yet specified the type of data to be sorted. If the data is numeric, then the problem may be stated as follows:

Develop a program to read an arbitrary number of numeric input values from the Command Window, sort the data into ascending order using a separate sorting function, and write the sorted data to the Command Window.

#### 2. Define the inputs and outputs.

The inputs to this program are the numeric values typed in the Command Window by the user. The outputs from this program are the sorted data values written to the Command Window.

## 3. Describe the algorithm.

This program can be broken down into three major steps

Read the input data into an array Sort the data in ascending order Write the sorted data

The first major step is to read in the data. We must prompt the user for the number of input data values, and then read in the data. Since we will know how many input values there are to read, a for loop is appropriate for reading in the data. The detailed pseudocode is shown below:

```
Prompt user for the number of data values
Read the number of data values
Preallocate an input array
for ii = 1:number of values
Prompt for next value
Read value
end
```

Next we have to sort the data in a separate function. We will need to make nvals-1 passes through the data, finding the smallest remaining value each time. We will use a pointer to locate the smallest value in each pass. Once the smallest value is found, it will be swapped to the top of the list of it is not already there. The detailed pseudocode is shown below:

for ii = 1:nvals-1

% Find the minimum value in a(ii) through a(nvals)
iptr <- ii</pre>

```
for jj == ii+1 to nvals
    if a(jj) < a(iptr)
        iptr <- jj
    end
end
% iptr now points to the min value, so swap a(iptr)
% with a(ii) if iptr ~= ii.
if i ~= iptr
    temp <- a(i)
    a(i) <- a(iptr)
    a(iptr) <- temp
end</pre>
```

end

The final step is writing out the sorted values. No refinement of the pseudocode is required for that step. The final pseudocode is the combination of the reading, sorting and writing steps.

 Turn the algorithm into MATLAB statements. The MATLAB code for the selection sort function is shown below.

```
function out = ssort(a)
```

%SSORT Selection sort data in ascending order % Function SSORT sorts a numeric data set into % ascending order. Note that the selection sort % is relatively inefficient. DO NOT USE THIS % FUNCTION FOR LARGE DATA SETS. Use MATLAB's % "sort" function instead.

% Define variables:

8	a	Input array to sort
3	ii	Index variable
8	iptr	Pointer to min value
8	jj	Index variable
8	nvals	Number of values in "a"
S	out	Sorted output array
de	temp	Temp variable for swapping

% Record of revisions:

90	Date	Programme	Description of change
8	====	============	
8	01/12/04	S. J. Chapman	Original code

% Get the length of the array to sort nvals = size(a,2);

% Sort the input array for ii = 1:nvals-1

```
% Find the minimum value in a(ii) through a(n)
  iptr = ii;
  for jj = ii+1:nvals
     if a(jj) < a(iptr)
        iptr = jj;
     end
  end
  % iptr now points to the minimum value, so swap a(iptr)
  % with a(ii) if ii ~= iptr.
  if ii ~= iptr
     temp = a(ii);
            = a(iptr);
     a(ii)
    a(iptr) = temp;
   end
end
% Pass data back to caller
out = a;
end % function ssort
        The program to invoke the selection sort function is shown below.
% Script file: test_ssort.m
8
% Purpose:
% To read in an input data set, sort it into ascending
  order using the selection sort algorithm, and to
8
% write the sorted data to the Command Window. This
   program calls function "ssort" to do the actual
8
   sorting.
S
8
% Record of revisions:
                                    Description of change
                 Programmer
8
     Date
                                    =========
g
      ====
                                    Original code
    01/12/04 S. J. Chapman
8
00
% Define variables:
    array -- Input data array
5
             -- Index variable
S
    ii
             -- Number of input values
8
    nvals
    sorted -- Sorted data array
% Prompt for the number of values in the data set
```

nvals = input('Enter number of values to sort: ');

```
% Preallocate array
array = zeros(1,nvals);
```

% Get input values for ii = 1:nvals

```
% Prompt for next value
string = ['Enter value ' int2str(ii) ': '];
array(ii) = input(string);
```

end

```
% Now sort the data
sorted = ssort(array);
```

```
% Display the sorted result.
fprintf('\nSorted data:\n');
for ii = 1:nvals
    fprintf(' %8.4f\n',sorted(ii));
end
```

### 5. Test the program.

To test this program, we will create an input data set and run the program with it. The data set should contain a mixture of positive and negative numbers as well as at least one duplicated value to see whether the program works properly under those conditions.

#### » test ssort

Enter number of values to sort: 6 Enter value 1: -5 Enter value 2: 4 Enter value 3: -2 Enter value 4: 3 Enter value 5: -2 Enter value 6: 0 Sorted data: -5.0000 -2.0000 0.0000 3.0000 4.0000

The program gives the correct answers for our test data set. Note that it works for both positive and negative numbers as well as for repeated numbers.

218 | Chapter 5 User-Defined Functions

# 5.3 Optional Arguments

Many MATLAB functions support optional input arguments and output arguments. For example, we have seen calls to the plot function with as few as two or as many as seven input arguments. On the other hand, the function max supports either one or two output arguments. If there is only one output argument, max returns the maximum value of an array. If there are two output arguments, max returns both the maximum value and the location of the maximum value in an array. How do MATLAB functions know how many input and output arguments are present, and how do they adjust their behavior accordingly?

There are eight special functions that can be used by MATLAB functions to get information about their optional arguments and to report errors in those arguments. Six of these functions are introduced here, and the remaining two will be introduced in Chapter 7 after we learn about the cell array data type. The functions introduced now are:

- nargin—This function returns the number of actual input arguments that were used to call the function.
- nargout—This function returns the number of actual output arguments that were used to call the function.
- nargchk—This function returns a standard error message if a function is called with too few or too many arguments.
- error—Display error message and abort the function producing the error. This function is used if the argument errors are fatal.
- warning—Display warning message and continue function execution. This function is used if the argument errors are not fatal, and execution can continue.
- inputname—This function returns the actual name of the variable that corresponds to a particular argument number.

When functions nargin and nargout are called within a user-defined function, these functions return the number of actual input arguments and the number of actual output arguments that were used when the user-defined function was called.

Function nargchk generates a string containing a standard error message if a function is called with too few or too many arguments. The syntax of this function is

message = nargchk(min\_args,max\_args,num\_args);

where min\_args is the minimum number of arguments, max\_args is the maximum number of arguments, and num\_args is the actual number of arguments. If the number of arguments is outside the acceptable limits, a standard error message is produced. If the number of arguments is within acceptable limits, then an empty string is returned.

Function error is a standard way to display an error message and abort the user-defined function causing the error. The syntax of this function is error('msg'), where msg is a character string containing an error message.

When error is executed, it halts the current function and returns to the keyboard, displaying the error message in the Command Window. If the message string is empty, error does nothing and execution continues. This function works well with nargchk, which produces a message string when an error occurs and an empty string when there is no error.

Function warning is a standard way to display a warning message that includes the function and line number where the problem occurred, but lets execution continue. The syntax of this function is warning ('msg'), where msg is a character string containing a warning message. When warning is executed, it displays the warning message in the Command Window and lists the function name and line number where the warning came from. If the message string is empty, warning does nothing. In either case, execution of the function continues.

Function inputname returns the name of the actual argument used when a function is called. The syntax of this function is

name = inputname(argno);

where argno is the number of the argument. If the argument is a variable, then its name is returned. If the argument is an expression, then this function will return an empty string. For example, consider the function

```
function myfun(x,y,z)
name = inputname(2);
disp(['The second argument is named ' name]);
```

When this function is called, the results are

```
» myfun(dog,cat)
The second argument is named cat
» myfun(1,2+cat)
The second argument is named
```

Function inputname is useful for displaying argument names in warning and error messages.

## Example 5.3—Using Optional Arguments

We will illustrate the use of optional arguments by creating a function that accepts an (x, y) value in rectangular coordinates and produces the equivalent polar representation consisting of a magnitude and an angle in degrees. The function will be designed to support two input arguments, x and y. However, if only one argument is supplied, the function will assume that the y value is zero and proceed with the calculation. The function will normally return both the magnitude and the angle in degrees, but if only one output argument is present, it will return only the magnitude. This function is shown below.

function [mag, angle] = polar\_value(x,y)
%POLAR\_VALUE Converts (x,y) to (r,theta)

```
% Function POLAR_VALUE converts an input (x,y)
% value into (r,theta), with theta in degrees.
 % It illustrates the use of optional arguments.
 % Define variables:
     angle
             -- Angle in degrees
 8
 8
     msg
              -- Error message
            -- Magnitude
 8
     mag
 8
              -- Input x value
     x
 elo
              -- Input y value (optional)
     Y
 % Record of revisions:
                                      Description of change
 8
       Date
                   Programmer
                                      8
       ----
                 -----
     01/12/04 S. J. Chapman
                                      Original code
 2
 % Check for a legal number of input arguments.
 msg = nargchk(1,2,nargin);
 error(msg);
 % If the y argument is missing, set it to 0.
 if nargin < 2
    v = 0;
 end
 % Check for (0,0) input arguments, and print out
 % a warning message.
 if x == 0 & y == 0
    msg = 'Both x any y are zero: angle is meaningless!';
    warning(msg);
 end
 % Now calculate the magnitude.
 mag = sqrt(x.^{2} + y.^{2});
 % If the second output argument is present, calcuate
 & angle in degrees.
 if nargout == 2
    angle = atan2(y,x) * 180/pi;
 end
```

end % function polar\_value

We will test this function by calling it repeatedly from the Command Window. First, we will try to call the function with too few or too many arguments.

```
>> [mag angle] = polar_value
??? Error using ==> polar_value
Not enough input arguments.
```

```
» [mag angle] = polar_value(1,-1,1)
??? Error using ==> polar_value
Too many input arguments.
```

The function provides proper error messages in both cases. Next, we will try to call the function with one or two input arguments.

The function provides the correct answer in both cases. Next, we will try to call the function with one or two output arguments.

The function provides the correct answer in both cases. Finally, we will try to call the function with both x and y equal to zero.

#### » [mag angle] = polar\_value(0,0)

```
Warning: Both x any y are zero: angle is meaningless!
> In d:\book\matlab\chap5\polar_value.m at line 32
mag =
          0
angle =
          0
```

In this case, the function displays the warning message, but execution continues.

Note that a MATLAB function may be declared to have more output arguments than are actually used, and this is not an error. The function does not actually have

## 222 | Chapter 5 User-Defined Functions

to check nargout to determine if an output argument is present. For example, consider the following function:

```
function [z1, z2] = junk(x, y)
z1 = x + y;
z^2 = x - y;
end % function junk
```

This function can be called successfully with one or two output arguments.

```
\gg a = junk(2,1)
a =
   3
» [a b] = junk(2,1)
a =
   3
b =
    1
```

The reason for checking nargout in a function is to prevent useless work. If a result is going to be thrown away anyway, why bother to calculate it in the first place? A programmer can speed up the operation of a program by not bothering with useless calculations.

## Quiz 5.1

This quiz provides a quick check to see if you have understood the concepts introduced in Sections 5.1 through 5.3. If you have trouble with the quiz, reread the section, ask your instructor, or discuss the material with a fellow student. The answers to this guiz are found in the back of the book.

- 1. What are the differences between a script file and a function?
- 2. How does the help command work with user-defined functions?
- 3. What is the significance of the H1 comment line in a function?
- 4. What is the pass-by-value scheme? How does it contribute to good program design?
- 5. How can a MATLAB function be designed to have optional arguments?

For questions 6 and 7, determine whether the function calls are correct or not. If they are in error, specify what is wrong with them.

6. out = test1(6);

function res = test1(x, y)res =  $sqrt(x.^2 + y.^2);$ end % function test1

7. out = test2(12);

```
function res = test2(x,y)
error(nargchk(1;2,nargin));
```

```
if nargin == 2
  res = sqrt(x.^2 + y.^2);
else
  res = x;
end
end % function test2
```

# 5.4 Sharing Data Using Global Memory

We have seen that programs exchange data with the functions they call through a argument lists. When a function is called, each actual argument is copied, and the copy is used by the function.

In addition to the argument list, MATLAB functions can exchange data with each other and with the base workspace through global memory. **Global memory** is a special type of memory that can be accessed from any workspace. If a variable is declared to be global in a function, then it will be placed in the global memory instead of the local workspace. If the same variable is declared to be global in another function, then that variable will refer to the *same memory location* as the variable in the first function. Each script file or function that declares the global variable will have access the same data values, *so global memory provides a way to share data between functions*.

A global variable is declared with the **global statement**. The form of a global statement is

```
global var1 var2 var3 . . .
```

where var1, var2, var3, etc. are the variables to be placed in global memory. By convention, global variables are declared in all capital letters, but this is not actually a requirement.

## Good Programming Practice

Declare global variables in all capital letter to make them easy to distinguish from local variables.

Each global variable must be declared to be global before it is used for the first time in a function—it is an error to declare a variable to be global after it has already been created in the local workspace.<sup>4</sup> To avoid this error, it is customary

<sup>&</sup>lt;sup>4</sup>If a variable is declared global after it has already been defined in a function, MATLAB will issue a warning message and then change the local value to match the global value. You should never rely on this capability, though, because future versions of MATLAB will not allow it.

## 224 Chapter 5 User-Defined Functions

to declare global variables immediately after the initial comments and before the first executable statement in a function.

# Good Programming Practice

Declare global variables immediately after the initial comments and before the first executable statement of each function that uses them.

Global variables are especially useful for sharing very large volumes of data among many functions, because the entire data set does not have to be copied each time a function is called. The downside of using global memory to exchange data among functions is that the functions will only work for that specific data set. A function that exchanges data through input arguments can be reused by simply calling it with different arguments, but a function that exchanges data through global memory must actually be modified to allow it to work with a different data set.

Global variables are also useful for sharing hidden data among a group of related functions while keeping it invisible from the invoking program unit.

## Good Programming Practice

You may use global memory to pass large amounts of data among functions within a program.

## Example 5.4-Random Number Generator

It is impossible to make perfect measurements in the real world. There will always be some *measurement noise* associated with each measurement. This fact is an important consideration in the design of systems to control the operation of such real-world devices as airplanes, refineries. A good engineering design must take these measurement errors into account, so that the noise in the measurements will not lead to unstable behavior (no plane crashes or refinery explosions!).

Most engineering designs are tested by running *simulations* of the operation of the system before it is ever built. These simulations involve creating mathematical models of the behavior of the system and feeding the models a realistic string of input data. If the models respond correctly to the simulated input data, then we can have reasonable confidence that the real-world system will respond correctly to the real-world input data.

The simulated input data supplied to the models must be corrupted by a simulated measurement noise, which is just a string of random numbers added to the ideal input data. The simulated noise is usually produced by a *random number generator*.

A random number generator is a function that will return a different and apparently random number each time it is called. Since the numbers are in fact generated by a deterministic algorithm, they only appear to be random.<sup>5</sup> However, if the algorithm used to generate them is complex enough, the numbers will be random enough to use in the simulation.

One simple random number generator algorithm is described below.<sup>6</sup> It relies on the unpredictability of the modulo function when applied to large numbers. Consider the following equation:

$$n_{i+1} = \mod(8121n_i + 28411, 134456) \tag{5-6}$$

Assume that  $n_i$  is a nonnegative integer. Then because of the modulo function,  $n_{i+1}$  will be a number between 0 and 134,455 inclusive. Next,  $n_{i+1}$  can be fed into the equation to produce a number  $n_{i+2}$  that is also between 0 and 134,455. This process can be repeated forever to produce a series of numbers in the range [0, 134455]. If we didn't know the numbers 8121, 28,411, and 134,456 in advance, it would be impossible to guess the order in which the values of n would be produced. Furthermore, it turns out that there is an equal (or uniform) probability that any given number will appear in the sequence. Because of these properties, Equation (5-6) can serve as the basis for a simple random number generator with a uniform distribution.

We will now use Equation (5-6) to design a random number generator whose output is a real number in the range  $[0.0, 1.0)^7$ .

Solution We will write a function that generates one random number in the range  $0 \le ran < 1.0$  each time that it is called. The random number will be based on the equation

$$ran_i = \frac{n_i}{134456} \tag{5-7}$$

where  $n_i$  is a number in the range 0 to 134455 produced by Equation (5-7).

The particular sequence produced by Equations (5-6) and (5-7) will depend on the initial value of  $n_0$  (called the *seed*) of the sequence. We must provide a way for the user to specify  $n_0$  so that the sequence may be varied from run to run.

### 1. State the problem.

Write a function random0 that will generate and return an array ran containing one or more numbers with a uniform probability distribution in the range  $0 \le ran < 1.0$ , based on the sequence specified by Equations (5-6) and (5-7). The function should have one or two input arguments (n and m) spec-

<sup>&</sup>lt;sup>3</sup>For this reason, some people refer to these functions as *pseudorandom number generators*. <sup>6</sup>This algorithm is adapted from the discussion found in Chapter 7 of *Numerical Recipes: The Art of Scientific Programming*, by Press, Flannery, Teukolsky, and Vetterling, Cambridge University Press, 1986. <sup>7</sup>The notation [0.0, 1.0] implies that the range of the random numbers is between 0.0 and 1.0, including the number 0.0, but excluding the number 1.0.

ifying the size of the array to return. If there is one argument, the function should generate square array of size  $n \times n$ . If there are two arguments, the function should generate an array of size  $n \times m$ . The initial value of the seed  $n_0$  will be specified by a call to a function called seed.

## 2. Define the inputs and outputs.

There are two functions in this problem: seed and random0. The input to function seed is an integer to serve as the starting point of the sequence. There is no output from this function. The input to function random0 is one or two integers specifying the size of the array of random numbers to be generated. If only argument m is supplied, the function should generate a square array of size  $n \times n$ . If both arguments m and n are supplied, the function should generate an array of size  $n \times m$ . The output from the function is the array of random values in the range [0.0, 1.0).

## 3. Describe the algorithm.

The pseudocode for function random0 is:

```
function ran = random0 (n, m)
Check for valid arguments
Set m <- n if not supplied
Create output array with "zeros" function
for ii = 1:number of rows
   for jj = 1:number of columns
        ISEED <- mod (8121 * ISEED + 28411, 134456)
        ran(ii,jj) <- ISEED / 134456
   end</pre>
```

end

where the value of ISEED is placed in global memory so that it is saved between calls to the function. The pseudocode for function seed is trivial:

```
function seed (new_seed)
new_seed <- round(new_seed)
ISEED <- abs(new_seed)</pre>
```

The round function is used in case the user fails to supply an integer, and the absolute value function is used in case the user supplies a negative seed. The user will not have to know in advance that only positive integers are legal seeds.

The variable ISEED will be placed in global memory so that it may be accessed by both functions.

# 4. Turn the algorithm into MATLAB statements.

Function random0 is shown below.

```
function ran = random0(n,m)
%RANDOM0 Generate uniform random numbers in [0,1)
% Function RANDOM0 generates an array of uniform
```

```
% random numbers in the range [0,1). The usage
% is:
% random0(n) -- Generate an n x n array
% random0(n,m) -- Generate an n x m array
% Define variables:
           -- Index variable
8 11
8
   ISEED
           -- Random number seed (global)
8
   jj
           -- Index variable
           -- Number of columns
8
   m
% msg
           -- Error message
% n
           -- Number of rows
% ran
           -- Output array
% Record of revisions:
8
                Programmer
    Date
                                Description of change
8
     ====
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                                  8
    01/12/04 S. J. Chapman
                                 Original code
8
% Declare glob1 values
global ISEED % Seed for random number generator
% Check for a legal number of input arguments.
msg = nargchk(1,2,nargin);
error(msg);
% If the m argument is missing, set it to n.
if nargin < 2
  m = n;
end
% Initialize the output array
ran = zeros(n.m);
% Now calculate random values
for ii = 1:n
  for jj = 1:m
     ISEED = mod(8121*ISEED + 28411, 134456 );
     ran(ii, jj) = ISEED / 134456;
  end
end
end % function random0
```

Function seed is shown below.

function seed(new\_seed)
%SEED Set new seed for function RANDOMO

#### Chapter 5 User-Defined Functions

```
% Function SEED sets a new seed for function
% RANDOMO. The new seed should be a positive
% integer.
% Define variables:
             -- Random number seed (global)
*
    TSEED
    new seed -- New seed
8
% Record of revisions:
                                    Description of change
      Date
                  Programmer
8
                                     ______
cho
      ____
                                    Original code
                  S. J. Chapman
8
    01/12/04
% Declare glob1 values
                  % Seed for random number generator
global ISEED
% Check for a legal number of input arguments.
msg = nargchk(1,1,nargin);
error(msg);
% Save seed
new_seed = round(new_seed);
```

```
ISEED = abs(new_seed);
```

## end % function seed

### 5. Test the resulting MATLAB programs.

If the numbers generated by these functions are truly uniformly distributed random numbers in the range  $0 \le ran < 1.0$ , then the average of many numbers should be close to 0.5 and the standard deviation of the numbers

should be close to  $\frac{1}{\sqrt{12}}$ .

Furthermore, the if the range between 0 and 1 is divided into a number of bins of equal size, the number of random values falling in each bin should be about the same. A **histogram** is a plot of the number of values falling in each bin. MATLAB function hist will create and plot a histogram from an input data set, so we will use it to verify the distribution of random number generated by random0.

To test the results of these functions, we will perform the following tests:

- 1. Call seed with new\_seed set to 1024.
- 2. Call random0(4) to see that the results appear random.
- 3. Call random0(4) to verify that the results differ from call to call.
- 4. Call seed again with new\_seed set to 1024.
- Call random0(4) to see that the results are the same as in (2) above. This verifies that the seed is properly being reset.
- 6. Call random0(2,3) to verify that both input arguments are being used correctly.

7. Call random0(1,20000) and calculate the average and standard deviation of the resulting data set using MATLAB functions mean

and std. Compare the results to 0.5 and  $\frac{1}{\sqrt{12}}$ .

8. Create a histogram of the data from (7) to see if approximately equal numbers of values fall in each bin.

We will perform these tests interactively, checking the results as we go.

			e recento do rre
» seed(1024)			
» random0(4)			
ans =			
0.0598	1.0000	0.0905	0.2060
0.2620	0.6432	0.6325	0.8392
0.6278	0.5463	0.7551	0.4554
0.3177	0.9105	0.1289	0.6230
<pre>&gt;&gt; random0(4)</pre>			
ans =			
0.2266	0.3858	0.5876	0.7880
0.8415	0.9287	0.9855	0.1314
0.0982 .	0.6585	0.0543	0.4256
0.2387	0.7153	0.2606	0.8922
» seed(1024)			
<pre>» random0(4)</pre>			
ans =			
0.0598	1.0000	0.0905	0.2060
0.2620	0.6432	0.6325	0.8392
0.6278	0.5463	0.7551	0.4554
0.3177	0.9105	0.1289	0.6230
<pre>&gt;&gt; random0(2,3)</pre>			
ans =			
0.2266		0.5876	
0.7880	0.8415	0.9287	
» arr = random	0(1,20000);		
<pre>» mean(arr)</pre>		9	
ans =			
0.5020			
<pre>» std(arr)</pre>			
ans =			
0.2881			
<pre>» hist(arr,10)</pre>			
<pre>» title('\bfHi;</pre>		he Output of	random0')
<pre>» xlabel('Bin'</pre>			
<pre>» ylabel('Coun')</pre>	E')		

The results of these tests look reasonable, so the function appears to be working. The average of the data set was 0.5020, which is quite

;

Chapter 5 User-Defined Functions

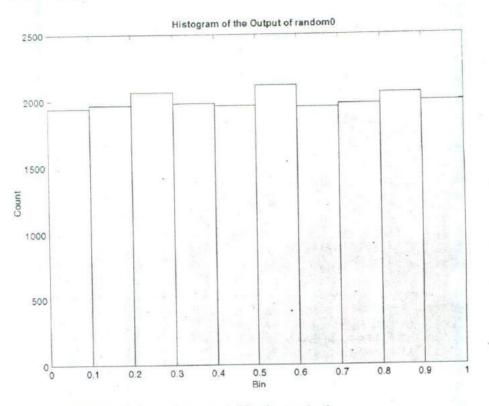


Figure 5.5 Histogram of the output of function random0.

close to the theoretical value of 0.5000, and the standard deviation of the data set was 0.2881, which is quite close to the theoretical value of 0.2887. The histogram is shown in Figure 5.5, and the distribution of the random values is roughly even across all of the bins.

MATLAB includes two standard functions that generate random values from different distributions. They are

- rand—Generates random values from a uniform distribution on the range [0, 1).
- randn—Generates random values from a normal distribution.

Both of them are much faster and much more "random" than the simple function that we have created. If you really need random numbers in your programs, use one of these functions.

230

Functions rand and randn have the following calling sequences:

- rand()—Generates a single random value.
- rand (n) Generates an  $n \times n$  array of random values.
- rand (n,m) —Generates an  $n \times m$  array of random values.

# 5.5 Preserving Data Between Calls to a Function

When a function finishes executing, the special workspace created for that function is destroyed, so the contents of all local variables within the function will disappear. The next time the function is called, a new workspace will be created, and all of the local variables will be returned to their default values. This behavior is usually desirable, since it ensures that MATLAB functions behave in a repeatable fashion every time they are called.

However, it is sometimes useful to preserve some local information within a function between calls to the function. For example, we might which to create a counter of count the number of times that the function has been called. If such a counter were destroyed every time the function exited, the count would never exceed 1!

MATLAB includes a special mechanism to allow local variables to be preserved between calls to a function. **Persistent memory** is a special type of memory that can be accessed only from within the function, but is preserved unchanged between calls to the function.

A persistent variable is declared with the **persistent statement**. The form of a global statement is

persistent var1 var2 var3 . . .

where var1, var2, var3, etc. are the variables to be placed in persistent memory.

## Good Programming Practice

Use persistent memory to preserve the values of local variables within a function between calls to the function.

## Example 5.5-Running Averages

It is sometimes desirable to calculate running statistics on a data set on-the-fly as the values are being entered. The built-in MATLAB functions mean and std could perform this function, but we would have to pass the entire data set to them for recalculation after each new data value is entered. A better result can be achieved by writing a special function that keeps tracks of the appropriate running sums between calls, and only needs the latest value to calculate the current average and standard deviation.

The average or arithmetic mean of a set of numbers is defined as

$$\overline{x} = \frac{1}{N} \sum_{i=1}^{N} x_i \tag{5-8}$$

where  $x_i$  is sample *i* out of *N* samples. The standard deviation of a set of numbers is defined as

$$y = \sqrt{\frac{N\sum_{i=1}^{N} x_i^2 - \left(\sum_{i=1}^{N} x_i\right)^2}{N(N-1)}}$$
(3-3)

Standard deviation is a measure of the amount of scatter on the measurements; the greater the standard deviation, the more scattered the points in the data set are. If we can keep track of the number of values N, the sum of the values  $\sum x$ , and the sum of the squares of the values  $\sum x^2$ , then we can calculate the average and standard deviation at any time from Equations (5-8) and (5-9).

Write a function to calculate the running average and standard deviation of a data set as it is being entered.

SOLUTION This function must be able to accept input values one at a time and keep running sums of N,  $\sum x$ , and  $\sum x^2$ , which will be used to calculate the current average and standard deviation. It must store the running sums in global memory so that they are preserved between calls. Finally, there must be a mechanism to reset the running sums.

## 1. State the problem.

Create a function to calculate the running average and standard deviation of a data set as new values are entered. The function must also include a feature to reset the running sums when desired.

## 2. Define the inputs and outputs.

There are two types of inputs required by this function:

- 1. The character string 'reset' to reset running sums to zero.
- 2. The numeric values from the input data set, presented one value per function call.

The outputs from this function are the mean and standard deviation of the data supplied to the function so far.

#### 3. Design the algorithm.

This function can be broken down into four major steps, as follows:

Check for a legal number of arguments Check for a 'reset', and reset sums if present Otherwise, add current value to running sums Calculate and return running average and std dev

## 5.5 Preserving Data Between Calls to a Function 233

if enough data is available. Return zeros if not enough data is available.

The detailed pseudocode for these steps is:

```
Check for a legal number of arguments
if x == 'reset'
  n < -0
  sum x < - 0
  sum x2 <- 0
else
  n < -n + 1
  sum x < - sum x + x
  sum x2 <- sum x2 + x^2
end
% Calculate ave and sd
if n == 0
  ave <- 0
  std <- 0
elseif n == 1
  ave <- sum x
  std <- 0
else
  ave <- sum x / n
  std <- sqrt((n*sum_x2 - sum_x^2)/(n*(n-1)))
end
```

## Turn the algorithm into MATLAB statements. The final MATLAB function is shown below.

function [ave, std] = runstats(x)
%RUNSTATS Generate running ave / std deviation
% Function RUNSTATS generates a running average
% and standard deviation of a data set. The
% values x must be passed to this function one
% at a time. A call to RUNSTATS with the argument
% 'reset' will reset tue running sums.

& Define variables:

8	ave	 Running average
8	msg	 Error message
de No	n	 Number of data values
8	std	 Running standard deviation
do	sum_x	 Running sum of data values
8	sum_x2	 Running sum of data values squared
8	x	 Input value

```
% Record of revisions:
   Date Programmer Description of change
8
                           -----
              =========
% ====
% 01/13/04 S. J. Chapman Original code
% Declare persistent values
persistent n % Number of input values
                    % Running sum of values
persistent sum x
persistent sum_x2
                 % Running sum of values squared
% Check for a legal number of input arguments.
msg = nargchk(1,1,nargin);
error(msg);
% If the argument is 'reset', reset the running sums.
if x == 'reset'
  n = 0;
  sum_x = 0;
 sum_x 2 = 0;
else
 n = n + 1;
sum_x = sum_x + x;
 sum x^2 = sum x^2 + x^2;
end
% Calculate ave and sd
if n == 0
   ave = 0;
  std = 0;
elseif n == 1
   ave = sum x;
  std = 0;
else
 ave = sum_x / n;
  std = sqrt((n*sum_x2 - sum_x^2) / (n*(n-1)));
end
end % function runstats
```

#### 5. Test the program.

To test this function, we must create a script file that resets runstats, reads input values, calls runstats, and displays the running statistics. An appropriate script file is shown below.

% Script file: test\_runstats.m

```
& Purpose:
% To read in an input data set and calculate the
  running statistics on the data set as the values
8
    are read in. The running stats will be written
8
    to the Command Window
8
2
% Record of revisions.
8
      Date
                                    Description of change
                  Programmer
8
      ----
                  20
    01/13/04
                 S. J. Chapman
                                    Original code
8
% Define variables.
8
    array -- Input data array
8
    ave -- Running average
8
  std
         -- Running standard deviation
  ii
8
         -- Index variable
% nvals -- Number of input values
8
  std
         -- Running standard deviation
% First reset running sums
[ave std] = runstats('reset');
% Prompt for the number of values in the data set nvals =
input('Enter number of values in data set: ');
% Get input values
for ii = 1:nvals
   % Prompt for next value
   string = ['Enter value ' int2str(ii) ': '];
   x = input(string);
   % Get running statistics
   [ave std] = runstats(x);
   % Display running statistics
   fprintf('Average = %8.4f; Std dev = %8.4f\n',ave, std);
end
           To test this function, we will calculate running statistics by hand for
```

ŝ

a set of five numbers, and compare the hand calculations to the results from the program. If a data set is created with the following five input values

3., 2., 3., 4., 2.8 (2-8)

then the running statistics calculated by hand would be:

Value	n	Σ×	$\sum x^2$	Average	Std_dev
3.0	1	3.0	9.0	3.00	0.000
2.0	2	5.0	13.0	2.50	0.707
3.0	3	8.0	22.0	2.67	0.577
4.0	4	12.0	38.0	3.00	0.816
2.8	5	14.8	45.84	2.96	0.713

The output of the test program for the same data set is:

» test\_runstats Enter number of values in data set: 5 Enter value 1: 3 0.0000 3.0000; Std dev = Average = Enter value 2: 2 2.5000; Std dev = · 0.7071 Average = Enter value 3: 3 Average = 2.6667; Std dev = 0.5774 Enter value 4: 3.0000; Std dev = 0.8165 Average = Enter value 5: 2.8 2.9600; Std dev = 0.7127 Average =

so the results check to the accuracy shown in the hand calculations.

# 5.6 Function Functions

Function functions are functions whose input arguments include the names of other functions. The functions whose names are passed to the function function are normally used during the function's execution.

For example, MATLAB contains a function function called fzero. This function locates a zero of the function that is passed to it. For example, the statement fzero('cos', [0 pi]) locates a zero of the function cos between 0 and  $\pi$ , and fzero('exp(x)-2', [0 1]) locates a zero of the function exp(x)-2 between 0 and 1. When these statements are executed, the result is:

```
» fzero('cos',[0 pi])
ans =
    1.5708
» fzero('exp(x)-2',[0 1])
ans =
    0.6931
```

The keys to the operation of function functions are two special MATLAB functions, eval and feval. Function eval *evaluates a character string* as though it had been typed in the Command Window, while function feval *evaluates a named function* at a specific input value.

Function eval evaluates a character string as though it has been typed in the Command Window. This function gives MATLAB functions a chance to construct executable statements during execution. The form of the eval function is

eval(string)

For example, the statement x = eval('sin(pi/4)') produces the result

```
» x = eval('sin(pi/4)')
x =
    0.7071
```

An example where a character string is constructed and evaluated using the eval function is shown below:

```
x = 1;
str = ['exp(' num2str(x)') -1'];
res = eval(str);
```

In this case, str contains the character string 'exp(1) -1, ' which eval evaluates to get the result 1.7183.

Function feval evaluates a *named function* defined by an M-file at a specified input value. The general form of the feval function is

```
feval(fun, value)
```

For example, the statement x = feval('sin', pi/4) produces the result

```
» x = feval('sin',pi/4)
x =
0.7071
```

Some of the more common MATLAB function functions are listed in Table 5.1. Type help fun\_name to learn how to use each of these functions.

#### Table 5.1 Common MATLAB Function Functions

Function Name	Description	
fminbnd	Minimize a function of one variable.	
Fzero	Find a zero of a function of one variable.	
Quad	Numerically integrate a function.	
Ezplot	Easy to use function plotter.	
fplot	Plot a function by name.	

## Example 5.6—Creating a Function Function

Create a function function that will plot any MATLAB function of a single variable between specified starting and ending values.

SOLUTION This function have two input arguments, the first one containing the name of the function to plot and the second one containing a two-element vector with the range of values to plot.

### 1. State the problem.

Create a function to plot any MATLAB function of a single variable between two user-specified limits.

## 2. Define the inputs and outputs.

There are two inputs required by this function:

- 1. A character string containing the name of a function.
- 2. A two-element vector containing the first and last values to plot.

The output from this function is a plot of the function specified in the first input argument.

11.0%

### 3. Design the algorithm.

This function can be broken down into the following four major steps:

Check for a legal number of arguments Check that the second argument has two elements Calculate the value of the function between the start and stop points Plot and label the function

The detailed pseudocode for the evaluation and plotting steps is:

```
n_steps <- 100
step_size <- (xlim(2) - xlim(1)) / n_steps
x.<- xlim(1):step_size:xlim(2)
y <- feval(fun,x)
plot(x,y)
title(['\bfPlot of function ' fun '(x)'])
xlabel('\bfx')
ylabel(['\bf' fun '(x)'])</pre>
```

### Turn the algorithm into MATLAB statements. The final MATLAB function is shown below.

function quickplot(fun,xlim)
%QUICKPLOT Generate quick plot of a function
% Function QUICKPLOT generates a quick plot
% of a function contained in a external M-file,
% between user-specified x limits.

## 5.6 Function Functions 239

```
% Define variables:
 8
     fun
              -- Function to plot
8
    msa
              -- Error message
 8
   n_steps
             -- Number of steps to plot
8
    step_size -- Step size
8
    x
              -- X-values to plot
8
              -- Y-values to plot
    V
8
    xlim
              -- Plot x limits
2
% Record of revisions:
8
      Date
                   Programmer
                                     Description of change
8
      ====
                   =============
                                     8
    01/13/04
                  S. J. Chapman
                                     Original code
% Check for a legal number of input arguments.
msg = nargchk(2,2,nargin);
error(msg);
% Check the second argument to see if it has two
% elements. Note that this double test allows the
% argument to be either a row or a column vector.
if ( size(xlim,1) == 1 & size(xlim,2) == 2 ) | ...
   ( size(xlim,1) == 2 & size(xlim,2) == 1 )
   % Ok--continue processing.
   n_{steps} = 100;
   step_size = (xlim(2) - xlim(1)) / n_steps;
   x = xlim(1):step_size:xlim(2);
   y = feval(fun,x);
   plot(x,y);
   title(['\bfPlot of function ' fun '(x)']);
   xlabel('\bfx');
   ylabel(['f bf' fun '(x)']);
else
   % Else wrong number of elements in xlim.
   error('Incorrect number of elements in xlim.');
end
end % function quickplot
       5. Test the program.
```

To test this function, we must call it with correct and incorrect input arguments, verifying that it handles both correct inputs and errors properly. The results are shown below:

» quickplot('sin')

??? Error using ==> quickplot
Not enough input arguments.

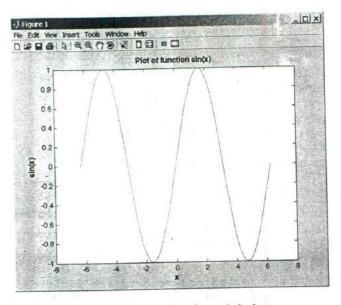


Figure 5.6 Plot of sin x versus x generated by function quickplot.

```
» quickplot('sin',[-2*pi 2*pi],3)
??? Error using ==> quickplot
Too many input arguments.
```

```
» quickplot('sin',-2*pi)
```

??? Error using ==> quickplot
Incorrect number of elements in xlim.

# » guickplot('sin',[-2\*pi 2\*pi])

The last call was correct, and it produced the plot shown in Figure 5.6.

-toria Merona

cho:

# 5.7 Subfunctions, Private Functions, and Nested Functions

MATLAB includes several special types of functions that behave differently than the ordinary functions we have used so far. Ordinary functions can be called by any other function, as long as they are in the same directory or in any directory on the MATLAB path.

The scope of a function is defined as the locations within MATLAB from which the function can be accessed. The scope of an ordinary MATLAB function

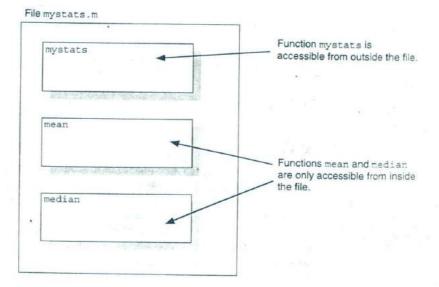
is the current working directory. If the function 'ies in a directory on the MATLAB path, then the scope extends to all MATLAB functions in a program. because they all check the path when trying to find a function with a given name.

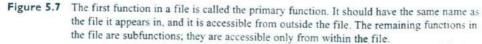
In contrast, the scope of the other function types that we will discuss in the rest of this chapter is more limited in one way or another.

# Subfunctions

It is possible to place more than one function in a single file. If more than one function is present in a file, the top function is a normal or **primary function**, while the ones below it are **subfunctions**. The primary function should have the same name as the file it appears in. Subfunctions look just like ordinary functions, but they are only accessible to the other functions within the same file. In other words, the scope of a subfunction is the other functions within the same file (see Figure 5.7).

Subfunctions are often used to implement "utility" calculations for a main function. For example, the file mystats.m shown below contains a primary function mystats and two subfunctions mean and median. Function mystats is a normal MATLAB function, so it can be called by any other MATLAB function in the same directory. If this file is in a directory included in the MATLAB search path, it can be called by any other MATLAB function, even if the other function is





not in the same directory. By contrast, the scope of functions mean and median is restricted to other functions within the same file. Function mystats can call them and they can call each other, but a function outside of the file cannot. They are "utility" functions that perform a part the job of the main function mystats.

# function [avg, med] = mystats(u)

```
% MYSTATS Find mean and median with internal functions.
% Function MYSTATS calculates the average and median
% of a data set using subfunctions.
```

```
n = length(u);
avg = mean(u,n);
med = median(u,n);
```

end % function mystats

#### function a = mean(v, n)

```
% Subfunction to calculate average.
a = sum(v)/n:
```

```
end % function mean
```

#### function m = median(v, n)

% Subfunction to calculate median. w = sort(v); if rem(n,2) == 1 m = w((n + 1)/2); else m = (w(n/2)+ w(n/2 + 1))/2; end

end % function median

## **Private Functions**

**Private functions** are functions that reside in subdirectories with the special name private. They are only visible to other functions in the private directory or to functions in the parent directory. In other words, the scope of these functions is restricted to the private directory and to the parent directory that contains it.

For example, assume the directory testing is on the MATLAB search path. A subdirectory of testing called private can contain functions that only the functions in testing can call. Because private functions are invisible outside of the parent directory, they can use the same names as functions in other directories. This is useful if you want to create your own version of a particular function while retaining the original in another directory. Because MATLAB looks for private functions before standard M-file functions, it will find a private function named test.m before a non-private function named test.m. You can create your own private directories by simply creating a subdirectory called private under the directory containing your functions. Do not place these private directories on your search path.

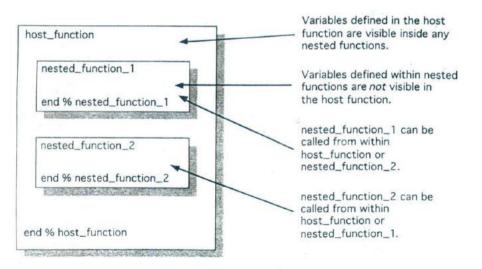
When a function is called from within an M-file, MATLAB first checks the file to see whether the function is a subfunction defined in the same file. If not, it checks for a private function with that name. If it is not a private function, MATLAB checks the current directory for the function name. If it is not in the current directory, MATLAB checks the standard search path for the function.

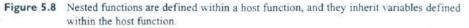
If you have special-purpose MATLAB functions that should be used only by other functions and should never be called directly by the user, consider hiding them as subfunctions or private functions. Hiding the functions will prevent their accidental use and will also prevent conflicts with other public functions of the same name.

## **Nested Functions**

Nested functions are functions that are defined *entirely within the body of another function*, called the **host function**. They are visible only to the host function in which they are embedded and to other nested functions embedded at the same level within the same host function.

A nested function has access to any variables defined with it, *plus any variables defined within the host function* (see Figure 5.8). The only exception occurs if a variable in the nested function has the same name as a variable within the host function. In that case, the variable within the host function is not accessible.





Note that if a file contains one or more nested functions, then every function in the file must be terminated with an end statement. This is the only time when the end statement is required at the end of a function—at all other times it is optional.

## Programming Pitfalls

If a file contains one or more nested functions, then *every function in the file* must be terminated with an end statement. It is an error to omit end statements in this case.

The following program illustrates the use of variables in nested functions. It contains a host function  $test_nested_1$  and a nested function fun1. When the program starts, variables a, b, x, and y are initialized as shown in the host function, and their values are displayed. Then the program calls fun1. Since fun1 is nested, it inherits a, b, and x from the host function. Note that it does *not* inherit y, because fun1 defines a local variable with that name. When the values of the variables are displayed at the end of fun1, we see that a has been increased by 1 (due to the assignment statement), and that y is set to 5. When execution returns to the host function, a is still increased by 1, showing that the variable a in the nested function are really the same. On the other hand, y is again 9, because the variable y in the host function is not the same as the variable y in the nested function.

#### function res = test\_nested\_1

```
% This is the top level function.
% Define some variables.
a = 1; b = 2; x = 0; y = 9;
```

% Display variables before call to fun1 fprintf('Before call to fun1:\n'); fprintf('a, b, x, y = %2d %2d %2d %2d\n', a, b, x, y);

```
% Call nested function fun1
x = fun1(x);
```

```
% Display variables after call to fun1
fprintf('\nAfter call to fun1:\n');
fprintf('a, b, x, y = %2d %2d %2d %2d\n', a, b, x, y);
```

% Declare a nested function function res = fun1(y)

```
% Display variables at start of call to fun1
fprintf('\nAt start of call to fun1:\n');
fprintf('a, b, x, y = %2d %2d %2d %2d\n', a, b, x, y);
```

y = y + 5; a = a + 1; res = y;

```
% Display variables at end of call to fun1
fprintf('\nAt end of call to fun1:\n');
fprintf('a, b, x, y = %2d %2d %2d %2d\n', a, b, x, y);
```

#### end % function fun1

#### end % function test\_nested\_1

When this program is executed, the results are:

» test\_nested\_1
Before call to fun1:
a, b, x, y = 1 2 0 9
At start of call to fun1:
a, b, x, y = 1 2 0 0
At end of call to fun1:
a, b, x, y = 2 2 0 5
After call to fun1:
a, b, x, y = 2 2 5 9

Like subfunctions, nested functions can be used to perform special-purpose calculations within a host function.

## Good Programming Practice

Use subfunctions, private functions, or nested functions to hide special-purpose calculations that should not be generally accessible to other functions. Hiding the functions will prevent their accidental use, and will also prevent conflicts with other public functions of the same name.

# **Order of Function Evaluation**

In a large program, there could possibly be multiple functions (subfunctions, private functions, nested functions, and public functions) with the same name. When a function with a given name is called, how do we know which copy of the function will be executed? The answer to this question is that MATLAB locates functions in a specific order as follows:

- First, MATLAB checks to see whether there is a nested function with the specified name. If so, it is executed.
- MATLAB checks to see whether there is a subfunction with the specified name. If so, it is executed.
- 3. MATLAB checks for a private function with the specified name. If so, it is executed.
- 4. MATLAB checks for a function with the specified name in the current directory. If so, it is executed.
- 5. MATLAB checks for a function with the specified name on the MATLAB path. MATLAB will stop searching and execute the first function with the right name found on the path.

# 5.8 Summary

In Chapter 5, we presented an introduction to user-defined functions. Functions are special types of M-files that receive data through input arguments and return results through output arguments. Each function has its own independent workspace. Each normal function (one that is not a subfunction) should appear in a separate file with the same name as the function, *including capitalization*.

Functions are called by naming them in the Command Window or another M-file. The names used should match the function name exactly, including capitalization. Arguments are passed to functions using a pass-by-value scheme, meaning that MATLAB copies each argument and passes the copy to the function. This copying is important, because the function can freely modify its input arguments without affecting the actual arguments in the calling program.

MATLAB functions can support varying numbers of input and output arguments. Function nargin reports then number of actual input arguments used in a function call, and function nargout reports then number of actual output arguments used in a function call.

Data can also be shared between MATLAB functions by placing the data in global memory. Global variables are declared using the global statement. Global variables may be shared by all functions that declare them. By convention, global variable names are written in all capital letters.

Internal data within a function can be preserved between calls to that function by placing the data in persistent memory. Persistent variables are declared using the persistent statement.

Function functions are MATLAB functions whose input arguments include the names of other functions. The functions whose names are passed to the function function are normally used during that function's execution. Examples are some root-solving and plotting functions. Subfunctions are additional functions placed within a single file. Subfunctions are accessible only from other functions within the same file. Private functions are functions placed in a special subdirectory called private. They are only accessible to functions in the parent directory. Nested functions are functions completed defined within the body of another function (called the host function). Nested functions have access to the variables in the host function as well as to their own local variables. Subfunctions, private functions, and nested functions can be used to restrict access to MATLAB functions.

# Summary of Good Programming Practice

The following guidelines should be adhered to when working with MATLAB functions.

- 1. Break large program tasks into smaller, more understandable functions whenever possible.
- Always terminate your functions with an end statement, and include a comment on the statement indicating which function the end statement is associated with.
- Declare global variables in all capital letters to make them easy to distinguish from local variables.
- Declare global variables immediately after the initial comments and before the first executable statement each function that uses them.
- You may use global memory to pass large amounts of data among functions within a program.
- 6. Use persistent memory to preserve the values of local variables within a function between calls to the function.
- 7. Use subfunctions, private functions, or nested functions to hide specialpurpose calculations that should not be generally accessible to other functions. Hiding the functions will prevent their accidental use, and will also prevent conflicts with other public functions of the same name.

# MATLAB Summary

The following summary lists all of the MATLAB commands and functions described in this chapter, along with a brief description of each one.

error	Displays error message and aborts the function producing the error. This function is used if the argument errors are fatal.
≥val	Evaluates a character string as though it had been typed in the Command Window.
azplot	Easy-to-use function plotter.

Commands and Functions

(continued)

# 248 | Chapter 5 User-Defined Functions

## **Commands and Functions**

feval	Calculates the value of a function $f(x)$ defined by an M-file at a specific x.
fmin	Minimize a function of one variable.
fplot	Plot a function by name.
fzero	Find a zero of a function of one variable.
global	Declares global variables.
hist	Calculate and plot a histogram of a data set.
inputname	Paturns the actual name of the variable that corresponds to a particular argument number.
nargchk	Returns a standard error message if a function is called with too few or too many arguments.
nargin	Returns the number of actual input arguments that were used to call the function.
nargout	Returns the number of actual output arguments that were used to call the function.
persistent	Declares persistent variables.
quad	Numerically integrate a function.
rand	Generates random values from a uniform distribution.
randn	Generates random values from a normal distribution.
return	Ston executing a function and return to caller.
warning	Displays a warning message and continues function execution. This function is used if the argument errors are not fatal, and execution can continue.

# 5.9 Exercises

- 5.1 What is the difference between a script file and a function?
- 5.2 When a function is called, how is data passed from the caller to the function, and how are the results of the function returned to the caller?
- 5.3 What are the advantages and disadvantages of the pass-by-value scheme used in MATLAB?
- 5.4 Modify the selection sort function developed in this chapter so that it accepts a second optional argument, which may be either 'up' or 'down'. If the argument is 'up', sort the data in ascending order. If the argument is 'down', sort the data in descending order. If the argument is missing, the default case is to sort the data in ascending order. (Be sure to handle the case of invalid arguments, and be sure to include the proper help information in your function.)

5.5 Modify function random0 so that it can accept 0, 1, or 2 calling arguments. If it has no calling arguments, it should return a single random value. If it has 1 or 2 calling arguments, it should behave as it currently does.

- 5.6 As function random0 is currently written, it will fail if function seed is not called first. Modify function random0 so that it will function properly with some default seed even if function seed is never called.
- 5.7 Write a function that uses function random0 to generate a random value in the range [-1.0,1.0). Make random0 a subfunction of your new function.
- **5.8** Write a function that uses function random0 to generate a random value in the range [low, high), where low and high are passed as calling arguments. Make random0 a private function called by your new function.
- 5.9 Dice Simulation It is often useful to be able to simulate the throw of a fair die. Write a MATLAB function dice that simulates the throw of a fair die by returning some random integer between 1 and 6 every time that it is called. (*Hint:* Call random0 to generate a random number. Divide the possible values out of random0 into six equal intervals, and return the number of the interval that a given random value falls into.)
  - **Road Traffic Density** Function random0 produces a number with a *uniform* probability distribution in the range [0.0, 1.0). This function is suitable for simulating random events if each outcome has an equal probability of occurring. However, in many events, the probability of occurrence is *not* equal for every event, and a uniform probability distribution is not suitable for simulating such events.

For example, when traffic engineers studied the number of cars passing a given location in a time interval of length t, they discovered that the probability of k cars passing during the interval is given by the equation

$$P(k,t) = e^{-\lambda t} \frac{(\lambda t)^k}{k!} \quad \text{for } t \ge 0, \ \lambda > 0, \ \text{and } k = 0, \ 1, \ 2, \ \dots$$
 (5-10)

This probability distribution is known as the *Poisson distribution*; it occurs in many applications in science and engineering. For example, the number of calls k to a telephone switchboard in time interval t, the number of bacteria k in a specified volume t of liquid, and the number of failures k of a complicated system in time interval t all have Poisson distributions.

Write a function to evaluate the Poisson distribution for any k, t, and  $\lambda$ . Test your function by calculating the probability of 0, 1, 2, ..., 5 cars passing a particular point on a highway in 1 minute, given that  $\lambda$  is 1.6 per minute for that highway. Plot the Poisson distribution for t = 1 and  $\lambda = 1.6$ .

5.11 Write three MATLAB functions to calculate the hyperbolic sine, cosine, and tangent functions:

$$\sinh(x) = \frac{e^x - e^{-x}}{2}$$
  $\cosh(x) = \frac{e^x + e^{-x}}{2}$   $\tanh(x) = \frac{e^x - e^{-x}}{e^x + e^{-x}}$ 

Use your functions to plot the shapes of the hyperbolic sine, cosine, and tangent functions.

5.10

5.12 Write a single MATLAB function hyperbolic to calculate the hyperbolic sine, cosine, and tangent functions as defined in the previous problem. The function should have two arguments. The first argument will be a string containing the function names 'sinh', 'cosh', or 'tanh', and the second argument will be the value of x at which to evaluate the function. The file should also contain three subfunctions-sinh1, cosh1, and tanh1-to perform the actual calculations, and the primary function should call the proper subfunction depending on the value in the string. [Note: Be sure to handle the case of an incorrect number of arguments, and also the case of an invalid string. In either case, the function should generate an error.]

5.13 Cross Product Write a function to calculate the cross product of two vectors V1 and V2:

$$\mathbf{V}_{1} \times \mathbf{V}_{2} = (V_{y1}V_{z2} - V_{y2}V_{z1})\mathbf{i} + (V_{z1}V_{x2} - V_{z2}V_{x1})\mathbf{j} + (V_{x1}V_{y2} - V_{x2}V_{y1})\mathbf{k}$$

where  $\mathbf{V}_1 = V_{x1} \mathbf{i} + V_{y1} \mathbf{j} + V_{z1} \mathbf{k}$  and  $\mathbf{V}_2 = V_{x2} \mathbf{i} + V_{y2} \mathbf{j} + V_{z2} \mathbf{k}$ . Note that this function will return a real array as its result. Use the function to calculate the cross product of the two vectors  $V_1 = [-2, 4, 0.5]$  and  $V_2 = [0.5, 3, 2].$ 

5.14 Sort with Carry It is often useful to sort an array arr1 into ascending order, while simultaneously carrying along a second array arr2. In such a sort, each time an element of array arr1 is exchanged with another element of arr1, the corresponding elements of array arr2 are also swapped. When the sort is over, the elements of array arr1 are in ascending order, while the elements of array arr2 that were associated with particular elements of array arr1 are still associated with them. For example, suppose we have the following two arrays:

Element	arr1	arr2
1.	6.	1.
2.	1.	0.
3.	2.	10.

After sorting array arr1 while carrying along array arr2, the contents of the two arrays will be:

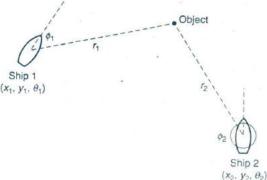
Element	arr1	arr2
1.	1.	0.
2.	2.	10.
з.	6.	1.

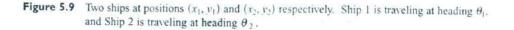
Write a function to sort one real array into ascending order while carrying along a second one. Test the function with the following two 9-element arrays:

a = [1, 11, -6, 17, -23, 0, 5, 1, -1]; b = [31, 101, 36, -17, 0, 10, -8, -1, -1];

- 5.15 Use the Help Browser to look up information about the standard MATLAB function sortrows, and compare the performance of sortrows with the sort-with-carry function created in the previous exercise. To do this, create two copies of a 1000 × 2 element array containing random values, and sort column 1 of each array while carrying along column 2 using both functions. Determine the execution times of each sort function using tic and toc. How does the speed of your function compare with the speed of the standard function sortrows?
- **5.16** Figure 5.9 shows two ships steaming on the ocean. Ship 1 is at position  $(x_1, y_1)$  and steaming on heading  $\theta_1$ . Ship 2 is at position  $(x_2, y_2)$  and steaming on heading  $\theta_2$ . Suppose that Ship 1 makes radar contact with an object at range  $r_1$  and bearing  $\phi_1$ . Write a MATLAB function that will calculate the range  $r_2$  and bearing  $\phi_2$  at which Ship 2 should see the object.
- **5.17** Minima and Maxima of a Function Write a function that attempts to locate the maximum and minimum values of an arbitrary function f(x) over a certain range. The function being evaluated should be passed to the function as a calling argument. The function should have the following input arguments:

first\_value—The first value of x to search last\_value—The last value of x to search num\_steps—The number of steps to include in the search func—The name of the function to search





The function should have the following output arguments:

xmin—The value of x at which the minimum was found min\_value—The minimum value of f(x) found xmax-The value of x at which the maximum was found max\_value—The maximum value f(x) found

Be sure to check that there are a valid number of input arguments, and that the MATLAB help and lookfor commands are properly supported.

- 5.18 Write a test program for the function generated in the previous exercise. The test program should pass to the function function the user-defined function  $f(x) = x^3 - 5x^2 + 5x + 2$ , and search for the minimum and maximum in 200 steps over the range  $-1 \le x \le 3$ . It should print out the resulting minimum and maximum values.
- **Derivative of a Function** The *derivative* of a continuous function f(x)5.19 is defined by the equation

$$\frac{d}{dx}f(x) = \lim_{\Delta x \to 0} \frac{f(x + \Delta x) - f(x)}{\Delta x}$$
(5-11)

In a sampled function, this definition becomes

$$f'(x_i) = \frac{f(x_{i+1}) - f(x_i)}{\Delta x}$$
(5-12)

where  $\Delta x = x_{i+1} - x_i$ . Assume that a vector vect contains ns amp samples of a function taken at a spacing of dx per sample. Write a function that will calculate the derivative of this vector from Equation (5-12). The function should check to make sure that dx is greater than zero to prevent divide-by-zero errors in the function.

To check your function, you should generate a data set whose derivative is known, and compare the result of the function with the known correct answer. A good choice for a test function is sin x. From elementary calculus, we know that  $\frac{d}{dx}(\sin x) = \cos x$ . Generate an input vector containing 100 values of the function sin x starting at x = 0 and using a step size  $\Delta x$  of 0.05. Take the derivative of the vector with your function, and then compare the resulting answers to the known correct answer. How close did your function come to calculating the correct value for the derivative?

Derivative in the Presence of Noise We will now explore the effects of 5.20 input noise on the quality of a numerical derivative. First, generate an input vector containing 100 values of the function sin x starting at x = 0 and using a step size  $\Delta x$  of 0.05, just as you did in the previous problem. Next, use function random0 to generate a small amount of random noise with a maximum amplitude of  $\pm 0.02$  and add that random noise to the samples in your input vector. Note that the peak amplitude of the noise is only 2% of the peak amplitude of your signal, since the maximum value of sin x is 1. Now take the derivative of the function using the derivative function that

you developed in Problem 5.19. How close to the theoretical value of the derivative did you come?

**5.21** Linear Least-Squares Fit Develop a function that will calculate slope m and intercept b of the least-squares line that best fits an input data set. The input data points  $(x \rightarrow)$  will be passed to the function input data set. The equations describing the slope and intercept of the least-squares line given in Example 4.6 in the previous chapter.) Test your function using a test program and the following 20-point input data set:

No.	x	У	No.	<b>x</b> ·	У
1	-4.91	-8.18	11	-0.94	0.21
2	-3.84	-7.49	12	0.59	1.73
3	-2.41	-7.11	13	0.69	3.96
4	-2.62	-6.15	14	3.04	4.26
5	-3.78	-5.62	15	1.01	5.75
6	-0.52	-3.30	16	3.60	6.67
7	-1.83	-2.05	17	4.53	7.70
8	-2.01	-2.83	18	5.13	7.31
9	0.28	-1.16	19	4.43	9.05
10	1.08	0.52	20	4.12	10.95

### Sample Data to Test Least Squares Fit Routine

**5.22** Correlation Coefficient of Least-Squares Fit Develop a function that will calculate both the slope *m* and intercept *b* of the least-squares line that best fits an input data set and also the correlation coefficient of the fit. The input data points (x, y) will be passed to the function in two input arrays, x and y. The equations describing the slope and intercept of the least-squares line are given in Example 4.6, and the equation for the correlation coefficient is

$$r = \frac{n(\sum xy) - (\sum x)(\sum y)}{\sqrt{\left[(n\sum x^2) - (\sum x)^2\right]\left[(n\sum y^2) - (\sum y)^2\right]}}$$

(5-13)

where

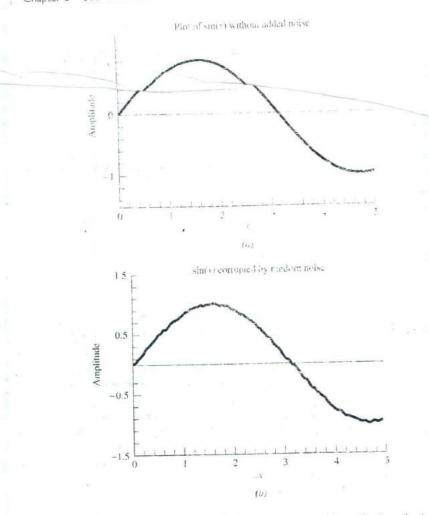
 $\sum x$  is the sum of the x values

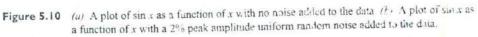
 $\sum y$  is the sum of the y values

 $\sum x^2$  is the sum of the squares of the x values

 $\sum v^2$  is the sum of the squares of the v values

 $\sum xy$  is the sum of the products of the corresponding x and y values n is the number of points included in the fit 2.54 Chapter 5 User-Defined Functions





Test your function using a test driver program and the 20-point input data set given in the previous problem.

**5.23** Recursion A function is said to be *recursive* if the function calls itself MATLAB functions are designed to allow recursive operation. To test this feature, write a MATLAB function to evaluate the factorial function, which is defined as follows:

$$N! = \begin{cases} N(N-1)! & N \ge 1\\ 1 & N = 0 \end{cases}$$
(5-14)

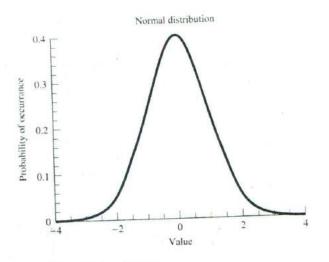
where N is a positive integer. The function should check to make sure that there is a single argument N, and that N is a nonnegative integer. If it is not, generate an error using the error function. If the input argument is a nonegative integer, the function should evaluate N! using Equation (5-14).

- **5.24** The Birthday Problem The Birthday Problem is as follows: if there are a group of n people in a room, what is the probability that two or more of them have the same birthday? It is possible to determine ...: answer to this question by simulation. Write a function that calculates the probability that two or more of n people will have the same birthday, where n is a calling argument. (*Hint*: To do this, the function should create an array of size n and generate n birthdays in the range 1 to 365 randomly. It should then check to see if any of the n birthdays are identical. The function should perform this experiment at least 5000 times and calculate the fraction of those times in which two or more people had the same birthday.) Write a test program that calculates and prints out the probability that 2 or more of n people will have the same birthday for  $n = 2, 3, \ldots, 40$ .
- 5.25 Use function random0 to generate a set of three arrays of random numbers. The three arrays should be 100, 1000, and 2000 elements long. Then, use functions tic and too to determine the time that it takes function ssort to sort each array. How does the elapsed time to sort increase as a function of the number of elements being sorted? (*Hint*: On a fast computer, you will need to sort each array many times and calculate the average sorting time in order to overcome the quantization error of the system clock.)
- **5.26** Gaussian (Normal) Distribution Function random0 returns a uniformly-distributed random variable in the range [0, 1), which means that there is an equal probability of any given number in the range occurring on a given call to the function. Another type of random distribution is the Gaussian Distribution, in which the random value takes on the classic bell-shaped curve shown in Figure 5.11. A Gaussian Distribution with an average of 0.0 and a standard deviation of 1.0 is called a *standardized normal distribution*, and the probability of any given value occurring in the standardized normal distribution is given by the equation

$$p(x) = \frac{1}{\sqrt{2\pi}} e^{-x^2/2}$$
(5-15)

It is possible to generate a random variable with a standardized normal distribution starting from a random variable with a uniform distribution in the range [-1, 1) as follows:

- 1. Select two uniform random variables  $x_1$  and  $x_2$  from the range [-1, 1) such that  $x_1^2 + x_2^2 < 1$ . To do this, generate two uniform random variables in the range [-1, 1), and see if the sum of their squares happens to be less than 1. If so, use them. If not, try again.
- 2. Then each of the values  $y_1$  and  $y_2$  in the equations below will be a normally distributed random variable.





$$y_1 = \sqrt{\frac{-2\ln r}{r}} x_1$$
 (5-16)

$$y_2 = \sqrt{\frac{-2\ln r}{r}} x_2$$
 (5-17)

where

$$r = x_1^2 + x_2^2 \tag{(5-18)}$$

Write a function that returns a normally distributed random value each time that it is called. Test your function by getting 1000 random values, calculating the standard deviation, and plotting a histogram of the distribution. How close to 1.0 was the standard deviation?

**5.27** Gravitational Force The gravitational force F between two bodies of masses  $m_1$  and  $m_2$  is given by the equation

$$F = \frac{Gm_1m_2}{r^2} (5-19)$$

where G is the gravitation constant  $(6.672 \times 10^{-11} \text{ N m}^2/\text{kg}^2)$ ,  $m_1$  and  $m_2$  are the masses of the bodies in kilograms and r is the distance between the two bodies. Write a function to calculate the gravitational force between two bodies given their masses and the distance between them. Test you function by determining the force on an 800 kg satellite in orbit 38,000 km above the Earth. (The mass of the Earth is  $5.98 \times 10^{24}$  kg.)

5.28 Rayleigh Distribution The Rayleigh distribution is another random number distribution that appears in many practical problems. A Rayleigh-distributed random value can be created by taking the square root of the sum of the squares of two normally-distributed random values. In other words, to

generate a Rayleigh-distributed random value r, get two normally distributed random values ( $n_1$  and  $n_2$ ), and perform the following calculation:

$$r = \sqrt{n_1^2 + n_2^2} \tag{5-20}$$

- (a) Create a function rayleigh (n, m) that returns an n × m array of Rayleigh-distributed random numbers. If only one argument is supplied [rayleigh (n)], the function should return an n × n array of Rayleigh-distributed random numbers. Be sure to design your function with input argument checking and with proper documentation for the MATLAB help system.
- (b) Test your function by creating an array of 20,000 Rayleigh-distributed random values and plotting a histogram of the distribution. What does the distribution look like?
- (c) Determine the mean and standard deviation of the Rayleigh distribution.
- **5.29** Constant False Alarm Rate (CFAR) A simplified radar receiver chain is shown in Figure 5.12*a*. When a signal is received in this receiver, it contains both the desired information (returns from targets) and thermal noise. After the detection step in the receiver, we would like to be able to pick out received target returns from the thermal noise background. We can do this be setting a threshold level, and then declaring that we see a target whenever the signal crosses that threshold. Unfortunately, it is occasionally possible for the receiver noise to cross the detection threshold even if no target is present. If that happens, we will declare the noise spike to be a target, creating a *false alarm*. The detection threshold needs to be set as low as possible so that we can detect weak targets, but it must not be set too low, or we get many false alarms.

After video detection, the thermal noise in the receiver has a Rayleigh distribution. Figure 5.12b shows 100 samples of a Rayleigh-distributed noise with a mean amplitude of 10 volts. Note that there would be one false alarm even if the detection threshold were as high as 26! The probability distribution of these noise samples is shown in Figure 5.12c.

Detection thresholds are usually calculated as a multiple of the mean noise level, so that if the noise level changes, the detection threshold will change with it to keep false alarms under control. This is known as *constant false alarm rate* (CFAR) detection. A detection threshold is typical quoted in decibels. The relationship between the threshold in dB and the threshold in volts is

Threshold (volts) = Mean Noise Level (volts) 
$$\times 10^{50}$$
 (5-21)

or

$$IB = 20 \log_{10} \left( \frac{\text{Threshold (volts)}}{\text{Mean Noise Level (volts)}} \right)$$
(5-22)

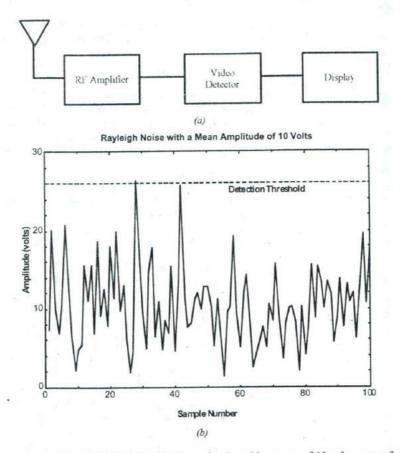


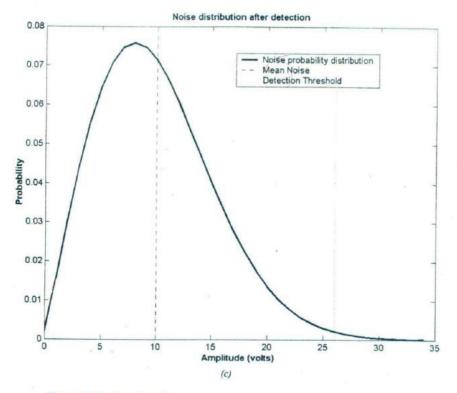
Figure 5.12 (a) A typical radar receiver. (b) Thermal noise with a mean of 10 volts output from the detector. The noise sometimes crosses the detection threshold. (c) Probability distribution of the noise out of the detector.

The false alarm rate for a given detection threshold is calculated as:

$$P_{fa} = \frac{\text{Number of False Alarms}}{\text{Total Number of Samples}}$$
(5-23)

Write a program that generates 1,000,000 random noise samples with a mean amplitude of 10 volts and a Rayleigh noise distribution. Determine the false alarm rates when the detection threshold is set to 5, 6, 7, 8, 9, 10, 11, 12, and 13 dB above the mean noise level. At what level should the threshold be set to achieve a false alarm rate of  $10^{-4}$ ?

5.30 Probability of Detection  $(P_d)$  versus Probability of False Alarm  $(P_{fa})$ The signal strength returned by a radar target usually fluctuates over time. The target will be detected if its signal strength exceeds the detection





threshold for any given look. The probability that the target will be detected can be calculated as:

$$P_d = \frac{\text{Number of Target Detections}}{\text{Total Number of Looks}}$$
(5-24)

Suppose that a specific radar looks repeatedly in a given direction. On each look, the range between 10 km and 20 km is divided into 100 independent range samples (called *range gates*). One of these range gates contains a target whose amplitude has a normal distribution with a mean amplitude of 7 volts and a standard deviation of 1 volt. All 100 of the range gates contain system noise with a mean amplitude of 2 volts and a Rayleigh distribution. Determine both the probability of target detection  $P_d$  and the probability of a false alarm  $P_{fa}$  on any given look for detection thresholds of 8.0, 8.5, 9.0, 9.5, 10.0, 10.5, 11.0, 11.5, and 12.0 dB. What threshold would you use for detection in this radar? (*Hint*: Perform the experiment many times for each threshold, and average the results to determine valid probabilities.)



# CHAPTER

# Additional Data Types and Plot Types

In earlier chapters, we were introduced to three fundamental MATLAB data types: double, logical, and char. In this chapter, we will learn additional details about these data types, and then we will study some additional MATLAB data types.

First, we will learn how to create, manipulate, and plot complex values in the double data type. Then, we will learn more about using the char data type, and how to extend MATLAB arrays of any type to more than two dimensions.

Finally, we will learn about some additional data types. The MATLAB data types are shown in Figure 6.1. We will learn about the single and integer data types in this chapter, and discuss the remaining ones on the figure later in this book.

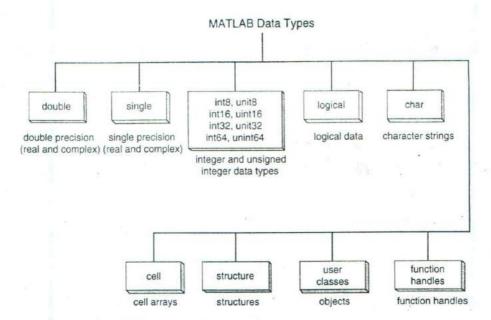
The chapter concludes with a discussion of additional types of plots available in MATLAB.

# 6. Complex Data

**Complex numbers** are numbers with both a real and an imaginary component. Complex numbers occur in many problems in science and engineering. For example, complex numbers are used in electrical engineering to represent alternating current voltages, currents, and impedances. The differential equations that describe the behavior of most electrical and mechanical systems also give rise to complex numbers. Because they are so ubiquitous, it is impossible to work as an engineer without a good understanding of the use and manipulation of complex numbers.

A complex number has the general form

 $c = a + bi \tag{6-1}$ 





where c is a complex number, a and b are both real numbers, and i is  $\sqrt{-1}$ . The number a is called the *real part* and b is called the *imaginary part* of the complex number c. Since a complex number has two components, it can be plotted as a point on a plane (see Figure 6.2). The horizontal axis of the plane is the real axis, and the vertical axis of the plane is the imaginary axis, so that any complex number a + bi can be represented as a single point a units along the real axis and b units along the imaginary axis. A complex number represented this way is said to be in *rectangular coordinates*, since the real and imaginary axes define the sides of a rectangle.

A complex number can also be represented as a vector of length z and angle  $\theta$  pointing from the origin of the plane to the point P (see Figure 6.3). A complex number represented this way is said to be in *polar coordinates*.

$$c = a + bi = z \angle \theta$$

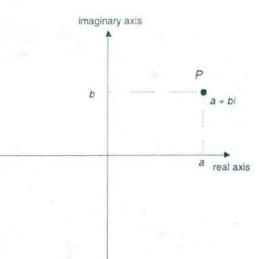
The relationships among the rectangular and polar coordinate terms a, b, z, and  $\theta$  are:

$$a = z \cos\theta \tag{6-2}$$

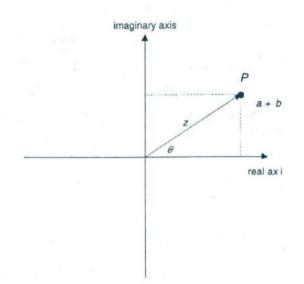
$$b = z \sin\theta \tag{6-3}$$

$$z = \sqrt{a^2 + b^2} \tag{6-4}$$

$$\theta = \tan^{-1} \frac{\theta}{a} \tag{6-5}$$









MATLAB uses rectangular coordinates to represent complex numbers. Each complex number consists of a pair of real numbers (a, b). The first number (a) is the real part of the complex number, and the second number (b) is the imaginary part of the complex number.

If complex numbers  $c_1$  and  $c_2$  are defined as  $c_1 = a_1 + b_1 i$  and  $c_2 = a_2 + b_2 i$ , then the addition, subtraction, multiplication, and division of  $c_1$  and  $c_2$  are defined as:

$$c_1 + c_2 = (a_1 + a_2) + (b_1 + b_2)i$$
 (6-6)

$$c_1 - c_2 = (a_1 - a_2) + (b_1 - b_2)i \tag{6-7}$$

$$c_1 \times c_2 = (a_1 a_2 - b_1 b_2) + (a_1 b_2 + b_1 a_2)i$$
(6-8)

$$\frac{c_1}{c_2} = \frac{a_1 a_2 + b_1 b_2}{a_2^2 + b_2^2} + \frac{b_1 a_2 - a_1 b_2}{a_2^2 + b_2^2} i$$
(6-9)

When two complex numbers appear in a binary operation, MATLAB performs the required additions, subtractions, multiplications, or divisions between the two complex numbers using versions of the preceding formulas.

## **Complex Variables**

A complex variable is created automatically when a complex value is assigned to a variable name. This easiest way to create a complex value is to use the intrinsic values i or j, both of which are predefined to be  $\sqrt{-1}$ . For example, the following statement stores the complex value 4 + i3 into variable c1.

Alternately, the imaginary part can be specified by simply appending an i or j to the end of a number:

The function isreal can be used to determine whether a given array is real or complex. If any element of an array has an imaginary component, then the array is complex and isreal (array) returns a 0.

# Using Complex Numbers with Relational Operators

It is possible to compare two complex numbers with the == relational operator to see if they are equal to each other, and to compare them with the  $\sim$ = operator to see if they are not equal to each other. Both of these operators produce the expected results. For example, if  $c_1 = 4 + i3$  and  $c_2 = 4 - i3$ , then the relational operation  $c_1 = = c_2$  produces a 0 and the relational operation  $c_1 \sim = c_2$  produces a 1.

However, comparisons with the >, <, >=, or <= operators do not produce the expected results. When complex numbers are compared with these relational operators, only the real parts of the numbers are compared. For example, if  $c_1 = 4 + i3$  and  $c_2 = 3 + i8$ , then the relational operation  $c_1 > c_2$  produces a true (1) even though the magnitude of  $c_1$  is really smaller than the magnitude of  $c_2$ .

If you ever need to compare two complex numbers with these operators, you will probably be more interested in the total magnitude of the number than in the magnitude of only its real part. The magnitude of a complex number can be calculated with the abs intrinsic function (see below), or directly from Equation (6-4).

$$|c| = \sqrt{a^2 + b^2} \tag{6-4}$$

If we compare the *magnitudes* of  $c_1$  and  $c_2$  above, the results are more reasonable: abs $(c_1) > abs(c_2)$  produces a 0, since the magnitude of  $c_2$  is greater than the magnitude of  $c_1$ .

## Programming Pitfalls

Be careful when using the relational operators with complex numbers. The relational operators >, >=, <, and <= compare only the *real parts* of complex numbers, not their magnitudes. If you need these relational operators with complex number, it will probably be more sensible to compare the total magnitudes rather than only the real components.

# **Complex Functions**

MATLAB includes many functions that support complex calculations. These functions fall into three general categories:

- Type conversion functions. These functions convert data from the complex data type to the real (double) data type. Function real converts the real part of a complex number into the double data type and throws away the imaginary part of the complex number. Function imag converts the imaginary part of a complex number into a real number.
- Absolute value and angle functions. These functions convert a complex number to its polar representation. Function abs(c) calculates the absolute value of a complex number using the equation

$$abs(c) = \sqrt{a^2 + b^2}$$

where c = a + bi. Function angle(c) calculates the angle of a complex number using the equation

angle(c) = atan2(imag(c),real(c))

producing an answer in the range  $-\pi \le \theta \le \pi$ .

266 Chapter 6 Additional Data Types and Plot Types

Function	Description	
conj(c)	Computes the complex conjugate of a number c. If $c = a + bi$ , then conj(c) = $a - bi$	
real(c)	Returns the real portion of the complex number c.	
imag(c)	Returns the imaginary portion of the complex number c.	
isreal(c)	Returns true (1) if no element of array c has an imaginary component. Therefore, ~isreal(c) returns true (1) if an array is complex.	
abs(c)	Returns, the magnitude of the complex number c.	
angle(c)	Returns the angle of the complex number c, computed from the expression atan2(imag(c), real(c)).	

Table 6.1 Some Functions that Support Complex Numbers

3. Mathematical functions. Most elementary mathematical functions are defined for complex values. These functions include exponential functions, logarithms, trigonometric functions, and square roots. The functions sin, cos, log, sqrt, etc. will work as well with complex data as they will with real data.

Some of the intrinsic functions that support complex numbers are listed in Table 6.1.

## Example 6.1-The Quadratic Equation (Revisited)

The availability of complex numbers often simplifies the calculations required to solve problems. For example, when we solved the quadratic equation in Example 3.2, it was necessary to take three separate branches through the program depending on the sign of the discriminant. With complex numbers available, the square root of a negative number presents no difficulties, so we can greatly simplify these calculations.

Write a general program to solve for the roots of a quadratic equation, regardless of type. Use complex variables so that no branches will be required based on the value of the discriminant.

#### SOLUTION

#### 1. State the problem.

Write a program that will solve for the roots of a quadratic equation, whether they are distinct real roots, repeated real roots, or complex roots, without requiring tests on the value of the discriminant.

## 2. Define the inputs and outputs.

The inputs required by this program are the coefficients a, b, and c of the quadratic equation

 $ax^2 + bx + c = 0$ 

The output from the program will be the roots of the quadratic equation, whether they are real, repeated, or complex.

#### 3. Describe the algorithm.

This task can be broken down into three major sections, whose functions are input, processing, and output:

Read the input data Calculate the roots Write out the roots

We will now break each of the above major sections into smaller, more detailed pieces. In this algorithm, the value of the discriminant is unimportant in determining how to proceed. The resulting pseudocode is:

```
Prompt the user for the coefficients a, b, and c.
Read a, b, and c
discriminant <- b^2 - 4 * a * c
x1 <- ( -b + sqrt(discriminant) ) / (2 * a)
x2 <- ( -b - sqrt(discriminant) ) / (2 * a)
Print 'The roots of this equation are: '
Print 'x1 = ', real(x1), ' +i ', imag(x1)
Print 'x2 = ', real(x2), ' +i ', imag(x2)
```

4. Turn the algorithm into MATLAB statements. The final MATLAB code is shown below.

```
% Script file: calc_roots2.m
8.
% Purpose:
   This program solves for the roots of a quadratic equation
8
   of the form a*x**2 + b*x + c = 0. It calculates the answers
8
   regardless of the type of roots that the equation possesses.
*
8
% Record of revisions:
8
      Date
                    Programmer
                                         Description of change
8
     BEEZ
                   ==========
                                         8
    01/15/04
                  S. J. Chapman
                                         Original code
8
% Define variables:
8
   a
                -- Coefficient of x^2 term of equation
8
   b
               -- Coefficient of x term of equation
8
   C
                -- Constant term of equation
```

268 Chapter 6 Additional Data Types and Plot Types

% Prompt the user for the coefficients of the equation disp ('This program solves for the roots of a quadratic '); disp ('equation of the form A\*X^2 + B\*X + C = 0. '); a = input ('Enter the coefficient A: '); b = input ('Enter the coefficient B: '); c = input ('Enter the coefficient C: ');

% Calculate discriminant discriminant = b<sup>2</sup> - 4 \* a \* c;

```
% Solve for the roots
x1 = ( -b + sqrt(discriminant) ) / ( 2 * a );
x2 = ( -b - sqrt(discriminant) ) / ( 2 * a );
```

% Display results disp ('The roots of this equation are:'); fprintf ('x1 = (%f) +i (%f) / n', real(x1), imag(x1)); fprintf ('x2 = (%f) +i (%f) / n', real(x2), imag(x2));

#### 5. Test the program.

Next, we must test the program using real input data. We will test cases in which the discriminant is greater than, less than, and equal to 0 to be certain that the program is working properly under all circumstances. From Equation (3-1), it is possible to verify the solutions to the equations given below:

$x^2 + 5x + 6 = 0$	x = -2, and $x = -3$
$x^2 + 4x + 4 = 0$	x = -2
$x^2 + 2x + 5 = 0$	$x = -1 \pm 2i$

When the above coefficients are fed into the program, the results are

#### » calc\_roots2

```
This program solves for the roots of a quadratic
equation of the form A \times X^2 + B \times X + C = 0.
Enter the coefficient A: 1
Enter the coefficient B: 5
Enter the coefficient C: 6
The roots of this equation are:
x1 = (-2.000000) + i (0.000000)
x2 = (-3.000000) + i (0.000000)
calc_roots2
This program solves for the roots of a quadratic
equation of the form A \times X^2 + B \times X + C = 0.
```

Enter the coefficient A: 1

```
Enter the coefficient B: 4

Enter the coefficient C: 4

The roots of this equation are:

x1 = (-2.000000) +i (0.000000)

x2 = (-2.000000) +i (0.000000)

> calc_roots2

This program solves for the roots of a quadratic

equation of the form A^*X^2 + B^*X + C = 0.

Enter the coefficient A: 1

Enter the coefficient B: 2

Enter the coefficient B: 2

Enter the coefficient C: 5

The roots of this equation are:

x1 = (-1.000000) +i (2.000000)
```

 $x^2 = (-1.000000) + i (-2.000000)$ 

The program gives the correct answers for our test data in all three possible cases. Note how much simpler this program is compared with the quadratic root solver found in Example 3.1. The complex data type has greatly simplified our program.

# **Plotting Complex Data**

Complex data has both real and imaginary components, and plotting complex data with MATLAB is a bit different from plotting real data. For example, consider the function

$$y(t) = e^{-0.2t} (\cos t + i \sin t)$$
(6-10)

If this function is plotted with the conventional plot command, only the real data will be plotted—the imaginary part will be ignored. The following statements produce the plot shown in Figure 6.4, together with a warning message that the imaginary part of the data is being ignored.

```
t = 0:pi/20:4*pi;
y = exp(-0.2*t).*(cos(t)+i*sin(t));
plot(t,y,'LineWidth',2);
title('\bfPlot of Complex Function vs Time');
xlabel('\bf\itt');
ylabel('\bf\itty(t)');
```

If both the real and imaginary parts of the function are of interest, then the user has several choices. Both parts can be plotted as a function of time on the same axes using the statements shown below (see Figure 6.5).

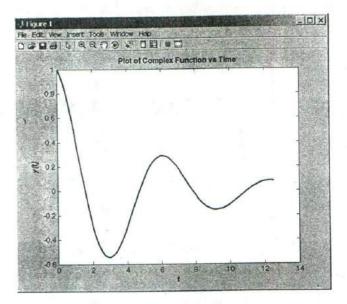
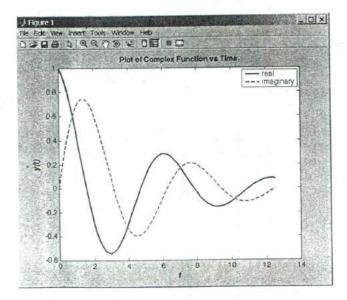


Figure 6.4 Plot of  $y(t) = e^{-0.2t}(\cos t + i \sin t)$  using the command plot (t, y).



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Figure 6.5 Plot of real and imaginary parts of y(t) versus time.

```
t = 0:pi/20:4*pi;
y = exp(-0.2*t).*(cos(t)+i*sin(t));
plot(t,real(y),'b-','LineWidth',2);
hold on;
plot(t,imag(y),'r--','LineWidth',2);
title('\bfPlot of Complex Function vs Time');
xlabel('\bf\itt');
ylabel('\bf\itty(t)');
legend ('real','imaginary');
hold off;
```

Alternatively, the real part of the function can be plotted versus the imaginary part. If a single complex argument is supplied to the plot function, it automatically generates a plot of the real part versus the imaginary part. The statements to generate this plot are shown below, and the result is shown in Figure 6.6.

```
t = 0:pi/20:4*pi;
y = exp(-0.2*t).*(cos(t)+i*sin(t));
plot(y,'b-','LineWidth',2);
title('\bfPlot of Complex Function');
xlabel('\bfReal Part');
ylabel('\bfImaginary Part');
```

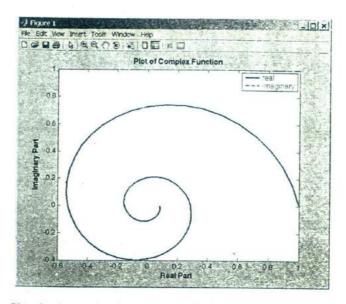


Figure 6.6 Plot of real versus imaginary parts of y(t).

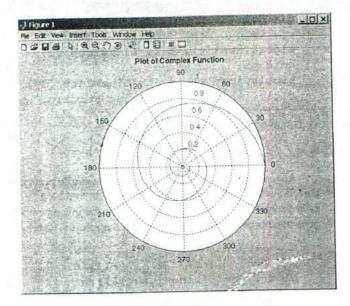


Figure 6.7 Polar plot of magnitude of y(t) versus angle.

Finally, the function can be plotted as a polar plot showing magnitude versus angle. The statements to generate this plot are shown below, and the result is shown in Figure 6.7.

```
t = 0:pi/20:4*ri;
y = exp(-0.2*t).*(cos(t)+i*sin(t));
polar(angle(y),abs(y));
title('\bfPlot of Complex Function');
```

# 6.2 String Functions

A-MATLAB string is an array of type char. Each character is stored in two bytes of memory. A character variable is automatically created when a string is assigned to it. For example, the statement

str = 'This is a test';

creates a 14-element character array. The output of whos for this array is

3	whos Name	str	Size	Ву	tes		Class	
	str		1x14		28		char array	7
	Grand t	total	is 14	elements	using	28	bytes	

A special function ischar can be used to check for character arrays. If a given variable is of type character, then ischar returns a true (1) value. If it is not, ischar returns a false (0) value.

The following subsections describe MATLAB functions useful for manipulating character strings.

### String Conversion Functions

Variables may be converted from the char data type to the double data type using the double function. Thus the statement double(str) yields the result:

```
» x = double(str)
x =
Columns 1 through 12
84 104 105 115 32 105 115 32 97 32 116 101
Columns 13 through 14
115 116
```

Variables can also be converted from the double data type to the char data type using the char function. If x is the 14-element array created above, then the statement char (x) yields the result:

» z = char(x)
z =
This is a test

# **Creating Two-Dimensional Character Arrays**

It is possible to create two-dimensional character arrays, but *each row of such an array must have exactly the same length*. If one of the rows is shorter than the other rows, the character array is invalid and will produce an error. For example, the following statements are illegal because the two rows have different lengths.

```
name = ['Stephen J. Chapman';'Senior Engineer'];
```

The easiest way to produce two-dimensional character arrays is with the char function. This function will automatically pad all strings to the length of the largest input string.

» name = char('Stephen J. Chapman','Senior Engineer')
name =
Stephen J. Chapman
Senior Engineer

Two-dimensional character arrays can also be created with function strvcat, which is described below.

### Good Programming Practice

Use the char function to create two-dimensional character arrays without worrying about padding each row to the same length.

It is possible to remove any extra blanks from a string when it is extracted from an array using the deblank function. For example, the following statements remove the second line from array name, and compare the results with and without blank trimming.

### **Concatenating Strings**

Function streat concatenates two or more strings horizontally, ignoring any trailing blanks but preserving blanks within the strings. This function produces the result shown below

```
» result = strcat('String 1 ','String 2')
result =
String 1String 2
```

The result is 'String 1String 2'. Note that the trailing blanks in the first string were ignored.

Function strvcat concatenates two or more strings vertically, automatically padding the strings to make a valid two-dimensional array. This function produces the result shown below

```
» result = strvcat('Long String 1 ','String 2')
result =
Long String 1
String 2
```

## **Comparing Strings**

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Strings and substrings can be compared in several ways:

- Two strings, or parts of two strings, can be compared for equality.
- Two individual characters can be compared for equality.
- Strings can be examined to determine whether each character is a letter or whitespace.

### **Comparing Strings for Equality**

You can use four MATLAB functions to compare two strings as a whole for equality. They are:

- strcmp determines if two strings are identical.
- strcmpi determines if two strings are identical ignoring case.
- strncmp determines if the first n characters of two strings are identical
- strncmpi determines if the first n characters of two strings are identical ignoring case

Function strcmp compares two strings, including any leading and trailing blanks, and returns a true (1) if the strings are identical.<sup>1</sup> Otherwise, it returns a false (0). Function strcmpi is the same as strcmp, except that it ignores the case of letters (that is, it treats 'a' as equal to 'A'.)

Function strncmp compares the first n characters of two strings, including any leading blanks, and returns a true (1) if the characters are identical. Otherwise, it returns a false (0). Function strncmpi is the same as strncmp, except that it ignores the case of letters.

To understand these functions, consider the two strings:

str1 = 'hello'; str2 = 'Hello'; str3 = 'help';

Strings str1 and str2 are not identical, but they differ only in the case of one letter. Therefore, strcmp returns false (0), while strcmpi returns true (1).

```
» c = strcmp(str1,str2)
c =
0
» c = strcmpi(str1,str2)
c =
1
```

<sup>&</sup>lt;sup>1</sup>Caution: The behavior of this function is different from that of the streng in C. C programmers can be tripped up by this difference.

Strings str1 and str3 are also not identical, and both strcmp and strcmpi will return a false (0). However, the first three characters of str1 and str3 are identical, so invoking strncmp with any value up to 3 returns a true (1):

```
» c = strncmp(str1,str3,2)
c =
1
```

# Comparing Individual Characters for Equality and Inequality

You can use MATLAB relational operators on character arrays to test for equality one character at a time, as long as the arrays you are comparing have equal dimensions, or one is a scalar. For example, you can use the equality operator (==) to determine which characters in two strings match:

シーン

U

pue

-

me we we attain a star willer in position

```
» a = 'fate';
» b = 'cake';
» result = a == b
result =
0 1 0 1
```

All of the relational operators (>, >=, <, <=, ==, -=) compare the ASCII values of corresponding characters.

Unlike C, MATLAB does not have an intrinsic function to define a "greater than" or "less than" relationship between two strings taken as a whole. We will create such a function in an example at the end of this section.

### Categorizing Characters Within a String

There are three functions for categorizing characters on a character-by-character basis inside a string:

- isletter determines if a character is a letter.
- isspace determines if a character is whitespace (blank, tab, or new line).
- isstrprop ('str', 'category') is a more general function. It determines if a character falls into a user-specified category (e.g., alphabetic, alphanumeric, uppercase, lowercase, numeric, control).

To understand these functions, let's create a string named mystring:

mystring = 'Room 23a';

We will use this string to test the categorizing functions.

Function isletter examines each character in the string, producing a logical output vector of the same length as mystring that contains a true (1) in each location corresponding to a character and a false (0) in the other locations. For example,

```
» a = isletter(mystring)
a =
1 1 1 1 0 0 0 1
```

The first four and the last elements in a are true (1) because the corresponding characters of mystring are letters.

Function isspace also examines each character in the string, producing a logical output vector of the same length as mystring that contains a true (1) in each location corresponding to whitespace and a false (0) in the other locations. "Whitespace" is any character that separates tokens in MATLAB: a space, a tab, a linefeed, carriage return, etc. For example,

#### » a = isspace(mystring)

a =

0 0 0 0 1 0 0 0

The fifth element in a is true (1) because the corresponding character of mystring is a space.

Function isstrprop is new in MATLAB 7.0. It is a more flexible replacement for isletter, isspace, and several other functions. This function has two arguments, 'str' and 'category'. The first argument is the string to characterize, and the second argument is the type of category to check for. Some possible categories are given in Table 6.2.

Category	Description
'alpha'	Return true (1) for each character of the string that is alphabetic, and false (0) otherwise.
'alphanum'	Return true (1) for each character of the string that is alphanumeric, and false (0) otherwise.
	[Note: This category replaces function isletter.]
'cntrl'	Return true (1) for each character of the string is that is a control character, and false (0) otherwise.
'digit'	Return true (1) for each character of the string that is a number, and false (0) otherwise.
'lower'	Return true (1) for each character of the string that is a lowercase letter, and false (0) otherwise.
'wspace'	Return true (1) for each character of the string that is whitespace, and false (0) otherwise.
	[Note: This category replaces function isspace.]
'upper'	Return true (1) for each character of the string that is an uppercase letter, and false (0) otherwise.
'xdigit'	Return true (1) for each character of the string that is a hexadecimal digit. and false (0) otherwise.

Table 6.2 Selected Categories for Function isstrprop

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· · · · · · · · ·

This function examines each character in the string, producing a logical output vector of the same length as the input string that contains a true (1) in each location that matches the category, and a false (0) in the other locations. For example, the following function checks to see which characters in mystring are numbers:

```
» a = isstrprop(mystring,'digit')
a =
0 0 0 0 0 1 1 0
```

Also, the following function checks to see which characters in mystring are lowercase letters:

```
» a = isstrprop(mystring,'lower')
a =
.
0 1 1 1 0 0 0 0 1
```

Good Programming Practice

Use function isstrprop to determine the characteristics of each character in a string array. This function replaces the older functions isletter and isspace, which may be deleted in a future version of MATLAB.

### Searching and Replacing Characters Within a String

MATLAB provides several functions for searching and replacing characters in a string. Consider a string named test:

test = 'This is a test!';

Function findstr returns the starting position of all occurrences of the shorter of two strings within a longer string. For example, to find all occurrences of the string 'is' inside test,

The string 'is' occurs twice within test, starting at positions 3 and 6.

Function strmatch is another matching function. This one looks at the beginning characters of the *rows* of a 2-D character array and returns a list of those rows that start with the specified character sequence. The form of this function is

result = strmatch(str,array);

For example, suppose that we create a 2-D character array with the function structure:

array = strvcat('maxarray','min value','max value');

Then the following statement will return the row numbers of all rows beginning with the letters 'max':

```
» result = strmatch('max',array)
result =
1
3
```

Function strrep performs the standard search-and-replace operation. It finds all occurrences of one string within another one and replaces them by a third string. The form of this function is

```
result = strrep(str,srch,repl)
```

where str is the string being checked, srch is the character string to search for, and repl is the replacement character string. For example,

```
» test = 'This is a test!'
» result = strrep(test,'test','pest')
result =
This is a pest!
```

The strtck function returns the characters before the first occurrence of a delimiting character in an input string. The default delimiting characters constitute the set of whitespace characters. The form of strtck is

```
[token, remainder] = strtok(string, delim)
```

where string is the input character string, delim is the (optional) set of delimiting characters, token is the first set of characters delimited by a character in delim, and remainder is the rest of the line. For example,

```
» [token,remainder] = strtok('This is a test!')
token =
This
remainder =
is a test!
```

You can use the strtok function to parse a sentence into words; for example:

```
function all_words = words(input_string)
remainder = input_string;
all_words = '';
while (any(remainder))
   [chopped,remainder] = strtok(remainder);
   all_words = strvcat(all_words,chopped);
end
```

#### Uppercase and Lowercase Conversion

Functions upper and lower convert all of the alphabetic characters within a string to uppercase and lowercase respectively. For example,

```
» result = upper('This is test 1!')
result =
THIS IS TEST 1!
» result = lower('This is test 2!')
result =
this is test 2!
```

Note that the alphabetic characters were converted to the proper case, while the numbers and punctuation were unaffected.

### Trimming Whitespace from Strings

There are two functions that trim leading and/or trailing whitespace from a string. Whitespace characters consists of the spaces, newlines, carriage returns, tabs, vertical tabs, and formfeeds.

Function deblank removes any extra *trailing* whitespace from a string, and function strtrim removes any extra *leading and trailing* whitespace from a string.

For example, the following statements create a 21-character string with leading and trailing whitespace. Function deblank trims the trailing whitespace characters in the string only. while function strtrim trims both the leading and the trailing whitespace characters.

```
This is a test.
» test_string = '
test_string =
   This is a test.
» length(test string)
ans =
    21
» test_string_trim1= deblank(test_string)
test_string_trim1 =
   This is a test.
» length(test_string_trim1)
ans =
    18
» test_string_trim2 = strtrim(test_string)
test_string_trim2 =
This is a test.
» length(test_string_trim2)
ans =
    15
```

## Numeric-to-String Conversions

MATLAB contains several functions to convert numeric values into character strings. We have already seen two such functions, num2str and int2str. Consider a scalar x:

x = 5317;

By default, MATLAB stores the number x as a  $1 \times 1$  double array containing the value 5317. The int2str (integer to string) function converts this scalar into a 1-by-4 char array containing the string '5317':

$x = 531^{\circ}$ y = int			
Name	Size	Bytes	Class
x	1x1	8	double array
У	lx4	8	char array

Grand total is 5 elements using 16 bytes

Function num2str converts a double value into a string, even if it does not contain an integer. It provides more control of the output string format than int2str. An optional second argument sets the number of digits in the output string, or specifies an actual format to use. The format specifications in the second argument as similar to those used by fprintf. For example,

```
» p = num2str(pi)
p =
3.1416
» p = num2str(pi,7)
p =
3.141593
» p = num2str(pi,'%10.5e')
p =
3.14159e+000
```

Both int2str and num2str are handy for labeling plots. For example, the following lines use num2str to prepare automated labels for the x-axis of a plot:

```
function plotlabel(x,y)
plot(x,y)
str1 = num2str(min(x));
str2 = num2str(max(x));
out = ['Value of f from ' str1 ' to ' str2];
xlabel(out);
```

There are also conversion functions designed to change numeric values into strings representing a decimal value in another base, such as a binary or

#### 282 Contract Additional Enco Types and Plot Types

hexadecisial representation. For example, the dec2hex function converts a decimal value into the corresponding hexadecimal string:

```
dec num = 4035;
Hez num = declhex(dec_num)
```

Other functions of this type include hex2num, hex2dec, bin2dec, dec2bin, base2dec, and dec2base. MATLAB includes on-line help for all of these functions.

MATLAB function mat2str converts an array to a string that MATLAB can evaluate. This string is useful input for a function such as eval, which evaluates input strings just as if they were typed at the MATLAB command line. For example, if we define array a as

» a = [1 2 3; 4 5 6] a = 2 3 1 5 6 4

then the function mat2str will return a string containing the result

```
\gg b = mat2str(a)
5 =
[1 2 3; 4 5 6]
```

Finally, MATLAB includes a special function sprintf that is identical to function fprintf, except that the output goes into a character string instead of the Command Window. This function provides complete control over the formatting of the character string. For example,

```
» str = sprintf('The value of pi = %8.6f.',pi)
str =
The value of pi = 3.141593.
```

This function is extremely useful in creating complex titles and labels for plots.

#### String-to-Numeric Conversions

MATLAB also contains several functions to change character strings into numeric values. The most important of these function are eval, str2double, and sscanf.

Function eval evaluates a string containing a MATLAB expression and returns the result. The expression can contain any combination of MATLAB functions, variables, constants, and operations. For example, the string a containing the characters '2 \* 3.141592' can be converted to numeric form by the following statements:

» a = '2 \* 3.141592':  $\gg$  b = eval(a) h \_ 6.2832 » whos Name Size Bytes Class  $1 \times 8$ 16 char array a h  $1 \times 1$ 52 double array

Grand total is 9 elements using 24 bytes

Function str2double converts character strings into an equivalent double value.<sup>2</sup> For example, the string a containing the characters '3.141592' can be converted to numeric form by the following statements:

» a = '3.141592'; » b = str2double(a) b = 3.1416

Strings can also be converted to numeric form using the function sscanf. This function converts a string into a number according to a format conversion character. The simplest form of this function is

value = sscanf(string,format)

where string is the string to scan and format specifies the type of conversion to occur. The two most common conversion specifiers for sscanf are '%d' for decimals and '%g' for floating-point numbers. This function is covered in much greater detail in Chapter 8.

The following examples illustrate the use of sscanf.

### Summary

The common MATLAB string functions are store and and in Table 6.3

<sup>&</sup>lt;sup>2</sup>MATLAB also contains a function—str2mar did car served a string into a comblet for a variety of reasons mentioned in the MATLAB documentation, but can str2double is better than function str2num. You should recognize function str2lates the ryou see it, but always use function str2double in any new code that you write.

Category	Function	Description
General	char	<ol> <li>Convert numbers to the corresponding character values.</li> <li>Create a 2D character array from a series of strings.</li> </ol>
	double	Convert characters to the corresponding numeric codes.
	blanks	Create a string of blanks.
	deblark	Remove trailing whitespace from a string.
	strtrim	Remove leading and trailing whitespace from a string.
String tests	ischar	Returns true (1) for a character array
5	isletter	Returns true (1) for letters of the alphabet
4	isspace	Returns true (1) for whitespace.
	isstrprop	Returns true (1) for characters matching the specified property
String operations	strcat	Concatenate strings.
Sing operations	strvcat	Concatenate strings vertically.
	stromp	Returns true (1) if two strings are identical.
	strompi	Returns true (1) if two strings are identical, ignoring case.
	strncmp	Returns true (1) if first r. characters of two strings are identica
	strncmpi	Returns true (1) if first r. characters of two strungs are identical ignoring case.
	findstr	Find one string within another one.
	strjust	Justify string.
	strmatch	Find matches for string.
	strrep	Replace one string with another.
	strtok	Find token in string.
	upper	Convert string to uppercase.
	lower	Convert string to lowercase.
Number to string conversion	n int2str	Convert integer to string.
	num2str	Convert number to string.
	mat2str	Convert matrix to string.
	sprintf	Write formatted data to string.
String to number conversion		Evaluate the result of a MATLAB expression.
0	str2double	Convert string to a double value.
	str2num	Convert string to number
	sscanf	Read formatted data from string.
Base Number Conversion	hex2num	Convert IEEE hexadecimal string to double.
	hex2dec	Convert hexadecimal string to decimal integer.
	dec2hex	Convert decimal to hexadecimal string.
	bin2dec	Convert binary string to decimal integer.
	dec2bin	Convert decimal integer to binary string.
	base2dec	Convert base B string to decimal integer.
	dec2base	Convert decimal integer to base B string.

# Table 6.3 Common MATLAB String Functions

#### Example 6.2—String Comparison Function

In C, function strmcp compares two strings according to the order of their characters in the ASCII table (called the lexicographic order of the characters) and returns a - 1 if the first string is lexicographically less than the second string, a 0 if the strings are equal, and a + 1 if the first string is lexicographically greater than the second string. This function is extremely useful for such purposes as sorting strings in alphabetic order.

Create a new MATLAB function c\_strcmp that compares two strings in a similar fashion to the C function and returns similar results. The function should ignore trailing blanks in doing its comparisons. Note that the function must be able to handle the situation where the two strings are of different lengths.

#### SOLUTION

#### 1. State the problem.

Write a function that will compare two strings str1 and str2, and return the following results:

-1 if str1 is lexicographically less than str2.

0 if str1 is lexicographically less than str2.

+1 if str1 is lexicographically greater than str2.

The function must work properly if str1 and str2 do not have the same length, and the function should ignore trailing blanks.

#### 2. Define the inputs and outputs.

The inputs required by this function are two strings, str1 and str2. The output from the function will be a -1, 0, or 1, as appropriate.

#### 3. Describe the algorithm.

This task can be broken down into four major sections:

Verify input strings

Pad strings to be equal length

Compare characters from beginning to end, looking for the first difference

Return a value based on the first difference

We will now break each of the foregoing major sections into smaller, more detailed pieces. First, we must verify that the data passed to the function is correct. The function must have exactly two arguments, and the arguments must both be characters. The pseudocode for this step is:

```
% Check for a legal number of input arguments.
msg = nargchk(2,2,nargin)
error(msg)
```

% Check to see if the arguments are strings if either argument is not a string error('str1 and str2 must both be strings') else

(add code here)

end

Next, we must pad the strings to equal lengths. The easiest way to do this is to combine both strings into a 2-D array using strucat. Note that this step effectively results in the function igLoring trailing blanks, because both strings are padded out to the same length. The pseudocode for this step is:

```
% Pad strings
strings = strvcat(strl,stt2)
```

Now we must compare each character until we find a difference, and return a value based on that difference. One way to do this is to use relational operators to compare the two strings, creating an array of 0s and 1s. We can then look for the first one, which will correspond to the first difference between the two strings. The pseudocode for this step is:

```
% Compare strings
diff = strings(1,:) ~= strings(2,:)
if sum(diff) == 0
% Strings match
result = 0
else
% Find first difference
ival = find(diff)
if strings(1,ival) > strings(2,ival)
result = 1
else
result = -1
end
end
```

 Turn the algorithm into MATLAB statements. The final MATLAB code is shown below.

function result = c\_strcmp(str1,str2)
%C\_STRCMP Compare strings like C function "strcmp"
% Function C\_STRCMP compares two strings, and returns
% a -1 if str1 < str2, a 0 if str1 == str2, and a
% +1 if str1 > str2.

% Define variables:
% diff -- Logical array of string differences

```
8
    msq
             -- Error message
    result
8
             -- Result of function
8
             -- First string to compare
    str1
              -- Second string to compare
8
    str2
2P
    strings -- Padded array of strings
  Record of revisions:
8
                               Description of change
8
    Date
               Programmer
8
    ____
               --------
                                ------
8 01/16/04
             S. J. Chapman
                                Original code
% Check for a legal number of input arguments.
msg = nargchk(2,2,nargin);
error(msg);
% Check to see if the arguments are strings
if ~(isstr(str1) & isstr(str2))
   error('Both str1 and str2 must both be strings!')
else
  % Pad strings
  strings = strvcat(str1,str2);
  % Compare strings
  diff = strings(1,:) ~= strings(2,:);
  if sum(diff) == 0
      % Strings match, so return a zero!
     result = 0;
  else
     % Find first difference between strings
     ival = find(diff);
     if strings(1, ival(1)) > strings(2, ival(1))
        result = 1;
     else
        result = -1;
     end
  end
end
end % function c_strcmp
```

#### 5. Test the program.

Next, we must test the function using various strings.

» result = c\_strcmp('String 1','String 1')

The first test returns a zero, because the two strings are identical. The second test also returns a zero, because the two strings are identical *except* for trailing blanks and trailing blanks are ignored. The third test returns a -1, because the two strings first differ in position 8 and '1' < '2' at that position. The fourth test returns a 1, because the two strings first differ in position 8 and '1' > '0' at that position. The fifth test returns a -1, because the two strings first differ in position 1, and 'S' < 's' in the ASCII collating sequence.

This function appears to be working properly.

## Quiz 6.1

This quiz provides a quick check to see if you have understood the concepts introduced in Sections 6.1 through 6.2. If you have trouble with the quiz, reread the section, ask your instructor, or discuss the material with a fellow student. The answers to this quiz are found in the back of the book.

1. What is the value of result in the following statements?

(a) x = 12 + i\*5; y = 5 - i\*13; result = x > y; (b) x = 12 + i\*5; y = 5 - i\*13; result = abs(x) > abs(y); (c) x = 12 + i\*5; y = 5 - i\*13; result = real(x) - imag(y);

- 2. If array is a complex array, what does the function plot(array) do?
- 3. How can you convert a vector of the char data type into a vector of the double data type?

For questions 4 through 11, determine whether these statements are correct. If they are, what is produced by each set of statements?

```
4. str1 = 'This is a test! ';
str2 = 'This line, too.';
res = strcat(str1,str2);
```

```
5. str1 = 'Line 1';
    str2 = 'line 2';
    res = strcati(str1,str2);
```

```
6. str1 = 'This is a test! ';
    str2 = 'This line, too.';
    res = [str1; str2];
```

```
7. str1 = 'This is a test! ';
str2 = 'This line, too.';
res = strvcat(str1,str2);
```

- 8. str1 = 'This is a test! '; str2 = 'This line, too.'; res = strncmp(str1,str2,5);
- 9. str1 = 'This is a test! ';
   res = findstr(str1,'s');
- 10. str1 = 'This is a test! ';
   str1(isspace(str1)) = x;
- 11. str1 = 'aBcD 1234 !?';
   res = isstrprop(str1, 'alphanum');
- 12. str1 = 'This is a test! ';
   str1(4:7) = upper(str1(4:7));
- 13. str1 = ' 456 '; % Note: Three blanks before & after str2 = ' abc '; % Note: Three blanks before & after str3 = [str1 str2]; str4 = [strtrim(str1) strtrim(str2)]; str5 = [deblank(str1) deblank(str2)]; 11 = length(str1); 13 = length(str3);

```
14 = length(str4);
15 = length(str4);
14. str1 = 'This way to the egress.';
str2 = 'This way to the egret.'
res = strncmp(str1,str2);
```

# 6.3 Multidimensional Arrays

MATLAB also supports arrays with more than two dimensions. These multidimensional arrays are very useful for displaying data that intrinsically has more than two dimensions, or for displaying multiple versions of 2-D data sets. For example, measurements of pressure and velocity throughout a three-dimensional volume are very important in such studies as aerodynamics and fluid dynamics. These areas naturally use multidimensional arrays.

Multidimensional arrays are a natural extension of two-dimensional arrays. Each additional dimension is represented by one additional subscript used to address the data.

It is very easy to create multidimensional arrays. They can be created either by assigning values directly in assignment statements or by using the same functions that are used to create one- and two-dimensional arrays. For example, suppose that you have a two-dimensional array created by the assignment statement

>>	a	=	[1	2	3	4;	5	6	7	8]		
a	=											
					1				2		3	4
					5				6		7	8

This is a 2  $\times$  4 array, with each element addressed by two subscripts. The array can be extended to be a three-dimensional 2  $\times$  4  $\times$  3 array with the following assignment statements.

= [ 9 10	11 12; 1	3 14 15 16	;];
= [ 17 18	3 19 20; 3	21 22 23 2	4]
1	2	3	4
5	6	7	8
9	10	11	12
13	14	15	16
17	18	19	20
21	22	23	24
	= [ 17 18 1 5 9 13 17	= [ 17 18 19 20; 2 1 2 5 6 9 10 13 14 17 18	1       2       3         5       6       7         9       10       11         13       14       15         17       18       19

Individual elements in this multidimensional array can be addressed by the array name followed by three subscripts, and subsets of the data can be created using the colon operators. For example, the value of a (2, 2, 2) is

Multidimensional arrays can also be created using the same functions as other arrays, for example:

* b = ones(4,4,2	)		
b(:,:,1) =			
1	1	1	1
1	1	1	1
1	1	1	1
1	1	1	1
b(:,:,2) =			-
1	1	1	1
1	1	- 1	1
1	1	1	1
1	1	1	1
» c = randn(2,2,3	3)		-
c(:,:,1) =			
-0.4326		0.1253	
-1.6656		0.2877	
c(:,:,2) =			
-1.1465		1.1892	
1.1909		-0.0376	
c(:,:,3) =			
0.3273		-0.1867	
0.1746		0.7258	

The number of dimensions in a multidimensional array can be found using the ndims function, and the size of the array can be found using the size function

```
» ndims(c)
ans =
3
» size(c)
ans =
2
```

3

2

If you are writing applications that need multidimensional arrays, see the MATLAB Users Guide for more details on the behavior of various MATLAB functions with multidimensional arrays.

# Good Programming Practice

Use multidimensional arrays to solve problems that are naturally multivariate in nature, such as aerodynamics and fluid flows.

Also, recall from Chapter 4 that the MATLAB just-in-time compiler cannot compile loops containing arrays with three or more dimensions. If you are working with such arrays, be sure to vectorize your code to increase its speed. Do not rely on the JIT compiler to do the job—it won't.

# \* Good Programming Practice

If you are working with multidimensional arrays, be sure to vectorize your code by hand. The MATLAB JIT compiler cannot handle loops containing multidimensional arrays with three or more dimensions.

# 6.4 Additional Data Types

MATLAB also includes a single data type and several integer data types. They are briefly discussed in the following sections.

# The single Data Type

Variables of type single are scalars or arrays of 32-bit *single-precision* floating-point numbers. They can hold real, imaginary, or complex values. Variables of type single occupy half the memory of variables of type double, but they have lower precision and a more limited range. The real and imaginary components of each single variable can be positive or negative numbers in the range  $10^{-38}$  to  $10^{38}$ , with six to seven significant decimal digits of accuracy.

The single function creates a variable of type single. For example, the following statement creates a variable of type single containing the value 3.1:

```
» var = single(3.1)
```

3.1000

```
var =
```

» whos	Size	Bytes	Class	
Name var	1x1	4	single	array
Grand total	is 1	element using	4 bytes	

Once a single variable is created, it can be used in MATLAB operations just like a double variable. In MATLAB, an operation performed between a single value and a double value has a single result,<sup>3</sup> so the result of the following statements will be of type single:

>>	b = 7;			
>>	c = var	* b		
С	=			
	21.	7000		
>>	whos			
	Name	Size	Bytes	Class
	b	1x1	8	double array
	с	1x1	4	single array
	var	1x1	4	single array

Grand total is 1 element using 4 bytes

The availability of mathematical operations with the single data type is a new feature of MATLAB 7.0. Values of type single can be used just like values of type double in most MATLAB operations. Built-in functions such as sin, cos, exp, and so forth all support the single data type, but some Mfile functions may not support single values yet. (For example, comparisons for near equality between two numbers may be incorrect if the function is expecting double values and instead is passed single values.) As a practical matter, you will probably never use this data type. Its more limited range and precision make the results more sensitive to cumulative round-off errors or to exceeding the available range. You should consider using this data type only if you have enormous arrays of data that could not fit into your computer memory if they were saved in double precision.

Also, the MATLAB just-in-time compiler cannot compile loops containing single values. If you are working with such arrays, be sure to vectorize your code to increase its speed. Do not rely on the JIT compiler to do the job—it won't.

### Integer Data Types

MATLAB also includes 8-, 16-, 32-, and 64-bit *signed* and *unsigned* integers. The data types are int8, uint8, int16, uint16, int32, uint32, int64, and uint64. The difference between a signed and an unsigned integer is the range of numbers represented by the data type. The number of values that can be represented by an integer depends on the number of bits in the integer:

number of values  $= 2^n$  (6-11)

where *n* is the number of bits. An 8-bit integer can represent 256 values  $(2^8)$ , a 16-bit integer can represent 65,536 values  $(2^{16})$ , and so forth. Signed integers use

<sup>&</sup>lt;sup>3</sup>CAUTION: This is unlike the behavior of any other computer language that the author has ever encountered. In every other language (Fortran, C, C++, Java, Basic, etc.), the result of an operation between a single and a double would be of type double.

half of the available values to represent positive numbers and half for negative numbers, whereas unsigned integers use all of the available values to represent positive numbers. Therefore, the range of values that can be represented in the int8 data type is -128 to 127 (a total of 256), while the range of values that can be represented in the uint8 data type is 0 to 255 (a total of 256). Similarly, the range of values that can be represented in the int16 data type is -32,768 to 32,767 (a total of 65,536), while the range of values that can be represented in the uint16 data type is 0 to 65,535. The same idea applies to larger integer sizes.

Integer values are created by the int8(), uint8(), int16(), uint16(), int32(), uint32(), int64(), or uint64() functions. For example, the following statement creates a variable of type int8 containing the value 3:

 $\gg$  var = int8(3)

3

Vat -	V	a	r	=	
-------	---	---	---	---	--

>>	whos	3						
	Name	3	Sia	ze	Bytes		Class	3
	var		1x1	1	1		int8	array
Gr	and	total	is	1	element us:	ing 1	bytes	S

Integers can be converted to other data types using the double and single functions.

An operation performed between an integer value and a double value has an integer result.<sup>4</sup> so the result of the following statements will be of type int8:

>>	b = 7;			
*	c = var	* b		
C	=			
	21			
>>	whos			
	Name	Size	Bytes	Class
	b	1x1	8	double array
	С	1x1	1	int8 array
	var	1x1	1	int8 array

Grand total is 3 elements using 10 bytes

MATLAB uses *saturating integer arithmetic*. If the result of an integer math operation would be larger than the largest possible value that can be represented in that data type, the result will be the largest possible value. Similarly, if the result of an integer math operation would be smaller than the smallest possible value that can be represented in that data type, the result will be the smallest possible value. For example, the largest possible value that can be represented in the

<sup>&</sup>lt;sup>4</sup>CAUTION: This is unlike the behavior of any other computer language that the author has ever encountered. In every other language (Fortran, C, C++, Java, Basic, etc.), the result of an operation between an integer and a double would be of type double.

int8 data type is 127. The result of the operation int8(100) + int8(50) will be 127, because 150 is larger than 127, the maximum value that can be represented in the data type.

It is unlikely that you will need to use the integer data type unless you are working with image data. If you do need more information, please consult the MATLAB documentation.

# Limitations of the single and Integer Data Types

The single data type and integer data types have been around in MATLAB for a while, but they have been mainly used for purposes such as storing image data. Before MATLAB 7.0, it was not possible to perform mathematical operations (+, -, etc.) with these data types. MATLAB is now evolving to make manipulating these data types easier, but the support is still rough in the current release. There are significant gaps. For example, you can add a single and a double, or an integer and a double, but not a single and an integer.

Unless you have some special need to manipulate images, you will probably never need to use either of these data types.

Good Programming Practice

Do not use the single or integer data types, unless you have a special need such as image processing.

# 6.5 Additional Two-Dimensional Plots

In previous chapters, we have learned to create linear, log-log, semilog, and polar plots. MATLAB supports many additional types of plots that you can use to display your data. This section will introduce you to some of these additional plotting options.

# Additional Types of Two-Dimensional Plots

In addition to the two-dimensional plots that we have already seen, MATLAB supports *many* other more specialized plots. In fact, the MATLAB help desk lists

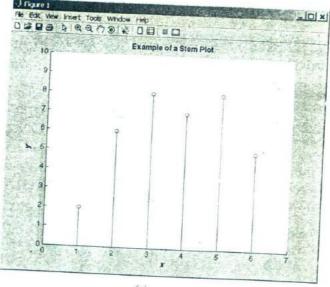
more than 20 types of two-dimensional plots! Examples include stem plots, stair plots, bar plots, pie plots, and compass plots. A stem plot is a plot in which each data value is represented by a marker and a line connecting the marker vertically to the x axis. A stair plot is a plot in which each data point is represented by a horizontal line, and successive points are connected by vertical lines, producing a stair-step effect. A bar plot is a plot in which each point is represented by a vertical bar or horizontal bar. A pie plot is a plot represented by "pie slices" of various sizes. Finally, a compass plot is a type of polar plot in which each value is represented by an arrow whose length is proportional to its value. These plots are summarized in Table 6.4, and examples of all of the plots are shown in Figure 6.8.

Stair, stem, vertical bar, horizontal bar, and compass plots are all similar to plot, and they are used in the same manner. For example, the following code produces the stem plot shown in Figure 6.7a.

```
x = [1 2 3 4 5 6];
y = [2 \ 6 \ 8 \ 7 \ 8 \ 5];
stem(x,y);
title('\bfExample of a Stem Plot');
xlabel('\bf\itx');
ylabel('\bf\ity');
axis([0 7 0 10]);
```

Function	<b>Description</b> This function creates a <i>vertical</i> bar plot, with the values in x used to label each bar and the values in y used to determine the height of the bar. This function creates a <i>horizontal</i> bar plot, with the values in x used to label each bar and the values in y used to determine the horizontal length of the bar.		
bar(x,y)			
barh(x,y)			
Compass(x,y)	This function creates a polar plot, with an arrow drawn from the origin to the location of each $(x, y)$ point. Note that the locations of the points to plot are specified in Cartesian coordi- nates, not polar coordinates.		
pie(x) pie(x,explode)	This function creates a pie plot. This function determines the percentage of the total pie corresponding to each value of $x$ and plots pie slices of that size. The optional array explode controls whether or not individual pie slices are separated from the remainder of the pie.		
Stairs(x,y)	This function creates a stair plot, with each stair step centered on an $(x, y)$ point.		
stem $(x, y)$ This function creates a stem plot, with a marker at each $(x, y)$ point and a stem drawn vertically from that point to the x a			

#### Table 6.4 Additional Two-Dimensional Plotting Functions





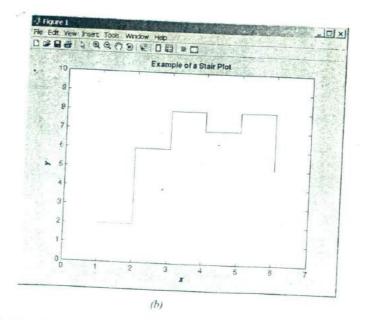
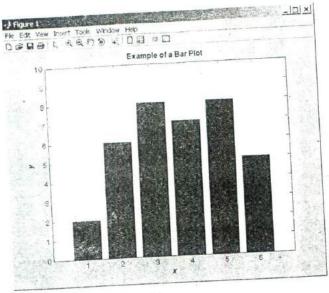
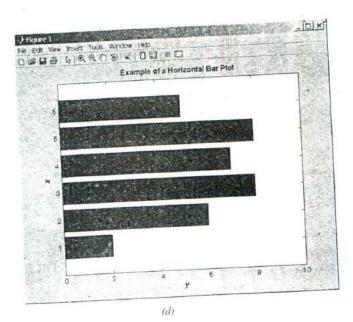


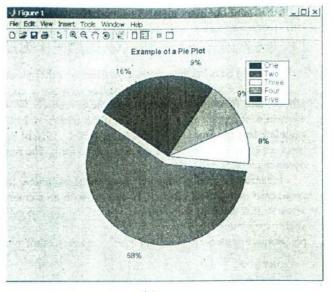
Figure 6.8 Additional types of 2D plots: (a) stem plot; (b) stair plot;



(c)



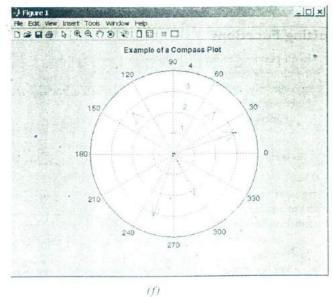




.

k

(e)



19

Figure 6.8 (continued) (e) pie plot; (f) compass plot.

Stair, bar, and compass plots can be created by substituting stairs, bar, barh, or compass for stem in the above code. The details of all of these plots, including any optional parameters, can be found in the MATLAB on-line help system.

Function pie behaves differently from the other plots described previously. To create a pie plot, a programmer passes an array x containing the data to be plotted, and function pie determines the *percentage of the total pie* that each element of x represents. For example, if the array x is [1 2 3 4], then pie will calculate that the first element x(1) is 1/10 or 10% of the pie, the second element x(2) is 2/10 or 20% of the pie, and so forth. The function then plots those percentages as pie slices.

Function pie also supports an optional parameter, explode. If present, explode is a logical array of 1s and 0s, with an element for each element in array x. If a value in explode is 1, then the corresponding pie slice is drawn slightly separated from the pie. For example, the code shown below produces the pie plot in Figure 6.7e. Note that the second slice of the pie is "exploded".

```
data = [ 10 37 5 6 6];
explode = [ 0 1 0 0 0];
pie(data,explode);
title('\bfExample of a Pie Plot');
legend('One','Two','Three','Four','Five');
```

### **Plotting Functions**

In all previous plots, we have created arrays of data, and passed those arrays to the plotting function. MATLAB also includes two functions that will plot a function directly, without the necessity of creating intermediate data arrays. These functions are explot and fplot.

Function ezplot takes one of the following forms.

```
ezplot( fun );
ezplot( fun, [xmin xmax] );
ezplot( fun, [xmin xmax], figure );
```

In each case, fun is a *character string* containing the functional expression to be evaluated. The optional parameter [xmin xmax] specifies the range of the function to plot. If it is absent, the function will be plotted between  $-2\pi$  and  $2\pi$ . The optional parameter figure specifies the figure number to plot the function on.

For example, the following statements plot the function  $f(x) = \frac{\sin x}{x}$  between  $-4\pi$  and  $4\pi$ . The output of these statements is shown in Figure 6.9.

ezplot('sin(x)/x',[-4\*pi 4\*pi]); title('Plot of sin x / x'); grid on;

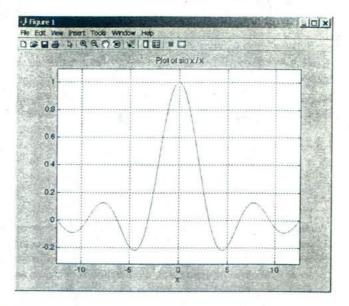


Figure 6.9 The function  $\sin x/x$ , plotted with function explot.

Function fplot is similar to but more sophisticated than ezplot. The first two arguments are the same for both functions, but fplot has the following advantages:

- Function fplot is *adaptive*, meaning that it calculates and displays more data points in the regions where the function being plotted is changing most rapidly. The resulting plot is more accurate at locations where a function's behavior changes suddenly.
- Function fplot supports the use of T<sub>E</sub>X commands in titles and axis labels, while function ezplot does not.

In general, you should use fplot in preference to ezplot whenever you plot functions.

Functions ezplot and fplot are examples of the "function functions" described in Chapter 5.

### Good Programming Practice

Use function fplot to plot functions directly without having to create intermediate data arrays.

# Histograms

A *histogram* is a plot showing the distribution of values within a data set. To create a histogram, the range of values within the data set is divided into evenly spaced bins, and the number of data values falling into each bin is determined. The resulting count can then be plotted as a function of bin number.

The standard MATLAB histogram function is hist. The forms of this func-

hist(y)
hist(y,nbins)
hist(y,x);
[n,xout] = hist(y,...)

The first form of the function creates and plots a histogram with ten equally spaced bins, while the second form creates and plots a histogram with nbins equally spaced bins. The third form of the function allows the user to specify the bin centers to use in an array x; the function creates a bin centered around each element in the array. In all three of these cases, the function both creates and plots the histogram. The last form of the function creates a histogram and returns the bin centers in array xout and the count in each bin in array n, without actually creating a plot.

For example, the following statements create a data set containing 10,000 Gaussian random values, and generate a histogram of the data using 15 evenly spaced bins. The resulting histogram is shown in Figure 6.10.

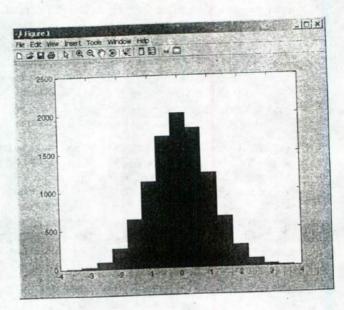


Figure 6.10 A histogram.

y = randn(10000,1); hist(y,15);

MATLAB also includes a function rose to create and plot a histogram on radial axes. It is especially useful for distributions of angular data. You will be asked to use this function in an end-of-chapter exercise.

# 6.6 Three-Dimensional Plots

MATLAB also includes a rich variety of three-dimensional plots that can be useful for displaying certain types of data. In general, three-dimensional plots are useful for displaying two types of data:

- 1. Two variables that are functions of the same independent variable, when you wish to emphasize the importance of the independent variable.
- 2. A single variable that is a function of two independent variables.

## **Three-Dimensional Line Plots**

A three-dimensional line plot can be created with the plot3 function. This function is exactly like the two-dimensional plot function, except that each point is represented by x, y, and z values instead just of x and y values. The simplest form of this function is

plot(x,y,z);

where x, y, and z are equal-sized arrays containing the locations of data points to plot. Function plot3 supports all the same line size, line style, and color options as plot, and you can use it immediately using the knowledge that we acquired in earlier chapters.

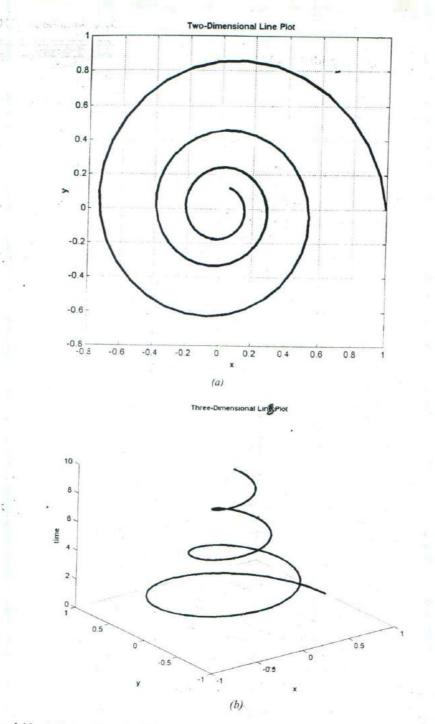
As an example of a three-dimensional line plot, consider the following functions:

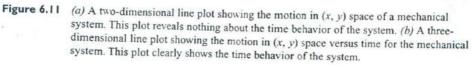
$$\begin{aligned} x(t) &= e^{-0.2t} \cos 2t \\ y(t) &= e^{-0.2t} \sin 2t \end{aligned} \tag{6-12}$$

These functions might represent the decaying oscillations of a mechanical system in two dimensions, so x and y together represent the location of the system at any given time. Note that x and y are both functions of the *same* independent variable t.

We could create a series of (x, y) points and plot them using the two-dimensional function plot (see Figure 6.11*a*), but if we do so, the importance of time to the behavior of the system will not be obvious in the graph. The following statements create the two-dimensional plot of the location of the object shown in Figure 6.11*a*. It is not possible from this plot to tell how rapidly the oscillations are dying out.

t = 0:0.1:10;x = exp(-0.2\*t) .\* cos(2\*t);





```
y = exp(-0.2*t) .* sin(2*t);
plot(x,y);
title('\bfTwo-Dimensional Line Plot');
xlabel('\bfx');
ylabel('\bfy');
grid on;
```

Instead, we could plot the variables with plot3 to preserve the time information as well as the two-dimensional position of the object. The following statements will create a three-dimensional plot of Equations (6-12).

```
t = 0:0.1:10;
x = exp(-0.2*t) .* cos(2*t);
y = exp(-0.2*t) .* sin(2*t);
plot3(x,y,t);
title('\bfThree-Dimensional Line Plot');
xlabel('\bfThree-Dimensional Line Plot');
ylabel('\bfThree-Dimensional Line Plot');
grid on;
```

The resulting plot is shown in Figure 6.11*b*. Note how this plot emphasizes timedependence of the two variables x and y.

# Three-Dimensional Surface, Mesh, and Contour Plots

Surface, mesh, and contour plots are convenient ways to represent data that is a function of *two* independent variables. For example, the temperature at a point is a function of both the East-West location (x) and the North-South (y) location of the point. Any value that is a function of two independent variables can be displayed on a three-dimensional surface, mesh, or contour plot. The more common types of plots are summarized in Table 6.5, and examples of each plot are shown in Figure 6.12.<sup>5</sup>

To plot data using one of these functions, a user must create three equal-sized arrays. The three arrays must contain the x, y, and z values of every point to be plotted. As a simple example, suppose that we wanted to plot the four points (-1, -1, 1), (1, -1, 2), (-1, 1, 1), and (1, 1, 0). To plot these four points, we

must create the arrays  $x = \begin{bmatrix} -1 & 1 \\ -1 & 1 \end{bmatrix}$ ,  $y = \begin{bmatrix} -1 & -1 \\ 1 & 1 \end{bmatrix}$ , and  $z = \begin{bmatrix} 1 & 2 \\ 1 & 0 \end{bmatrix}$ . Array

x contains the x values associated with every point to plot, array y contains the y values associated with every point to plot, and array z contains the z values associated with every point to plot. These arrays are then passed to the plotting function.

<sup>&</sup>lt;sup>3</sup>There are many variations on these basic plot types. Consult the MATLAB Help Browser documentation for a complete description of these variations.

Function	Description		
mesh(x,y,z)	This function creates a mesh or wireframe plot, where x is a two- dimensional array containing the x values of every point to dis- play, y is a two-dimensional array containing the y values of every point to display, and z is a two-dimensional array contain- ing the z values of every point to display.		
<pre>surf(x,y,z)</pre>	This function creates a surface plot. Arrays $x$ , $y$ , and $z$ have the same meaning as for a mesh plot.		
contour(x,y,z)	This function creates a contour plot. Arrays $x$ , $y$ , and $z$ have the same meaning as for a mesh plot.		

Table 6.5	Selected M	esh, Surface, and	Contour Plot F	unctions
-----------	------------	-------------------	----------------	----------

The MATLAB function meshgrid makes it easy to create the x and y arrays required for these plots. The form of this function is

where xstart:xinc:xend specifies the x values to include in the grid and ystart:yinc:yend specifies the y values to be included in the grid.

To create a plot, we use meshgrid to create the arrays of x and y values and then evaluate the function to plot at each of those (x, y) locations. Finally, we call function mesh, surf, or contour to create the plot.

For example, suppose that we wish to create a mesh plot of the function

$$z(x, y) = e^{-0.5[x^2 + 0.5(x - y)^2]}$$
(6-13)

over the interval  $-4 \le x \le 4$  and  $-4 \le y \le 4$ . The following statements will create the plot, which is shown in Figure 6.12*a*.

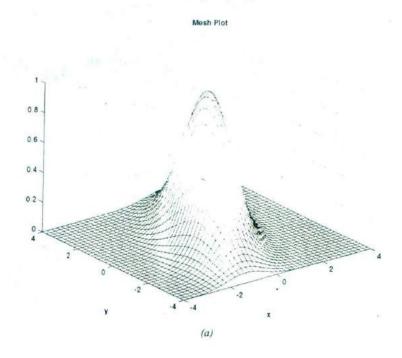
[x,y] = meshgrid(-4:0.2:4); \*
z = exp(-0.5\*(x.^2+y.^2));
mesh(x,y,z);
xlabel('\bfx');
ylabel('\bfy');
zlabel('\bfz');

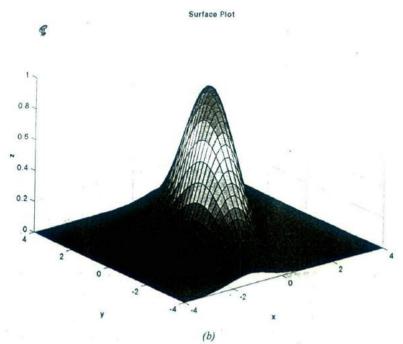
Surface and contour plots may be created by substituting the appropriate function for the mesh function.

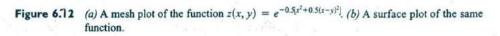
# 6.7 Summary

MATLAB supports complex numbers as an extension of the double data type. They can be defined using the i or j, both of which are predefined as to be  $\sqrt{-1}$ . Using complex numbers is straightforward, except that the relational

306







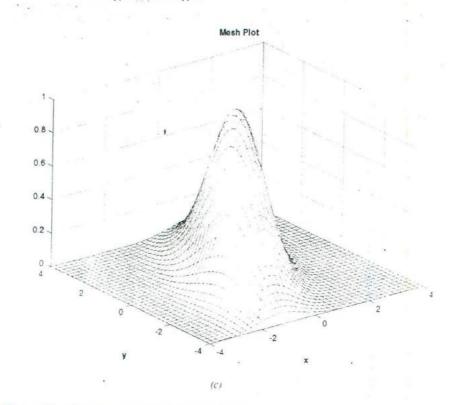


Figure 6.12 (continued) (c) A contour plot of the same function.

3

operators >. >=, <, and <= compare only the *real parts* of complex numbers, not their magnitudes. They must be used with caution when working with complex values.

String functions are functions designed to work with strings, which are arrays of type char. These functions allow a user to manipulate strings in a variety of useful ways, including concatenation, comparison, replacement, case conversion, and numeric-to-string and string-to-numeric type conversions.

Multidimensional arrays are arrays with more than two dimensions. They may be created and used in a fashion similar to one- and two-dimensional arrays. Multidimensional arrays appear naturally in certain classes of physical problems.

The single data is consists of single-precision floating point numbers. They are created using the single function. A mathematical operation between a single and a double value produces a single result.

MATLAB includes signed and unsigned 8-, 16-, 32-, and 64-bit integers. The integer data types are the int8, uint8, int16(), uint16, int32, uint32, int64, and uint64. Each of these types is created using the corresponding function: int8(), uint8(), int16(), uint16(). int32(), uint32(). int64(), or uint64(). Mathematical operations (+, -. etc.) can be performed on these data types; the result of an operation between an integer and

a double has the same type as the integer. If the result of a mathematical operation is too large or too small to be expressed by an integer data type, the result is either the largest or smallest possible integer for that type.

MATLAB includes a rich variety of two- and three-dimensional plots. In this chapter, we introduced stem, stair, bar, compass. mesh, surface, and contour plots.

### Summary of Good Programming Practice

The following guidelines should be adhered to:

- 1. Use the char function to create two-dimensional character arrays without worrying about padding each row to the same length.
- Use function isstrprop to determine the characteristics of each character in a string array. This function supercedes the older functions isletter and isspace, which may be deleted in a future version of MATLAB.
- Use multidimensional arrays to solve problems that are naturally multivariate in nature, such as aerodynamics and fluid flows.
- 4. If you are working with multidimensional arrays, be sure to vectorize you code by hand. The MATLAB JIT compiler cannot handle loops containing multidimensional arrays with three or more dimensions.
- 5. Do not use the single or integer data types, unless you have a special need such as image processing.
- Use function fplot to plot functions directly without having to create intermediate data arrays.

#### MATLAB Summary

The following summary lists all of the MATLAB commands and functions described in this chapter, along with a brief description of each one.

abs	Returns absolute value (magnitude) of a number.
angle	Returns the angle of a complex number, in radians.
bar(x,y)	Create a vertical bar plot.
barh(x,y)	Create a horizontal bar plot.
base2dec	Convert base B string to decimal integer.
bin2dec	Convert binary string to decimal integer.
blanks	Create a string of blanks.
char	(1) Convert numbers to the corresponding character values. (2) Create a 2D character array from a series of strings.
compass(x,y)	Create a compass plot.
conj	Compute complex conjugate of a number.
contour	Create a contour plot.

# 310 | Chapter 6 Additional Data Types and Plot Types

deblank	Remove trailing whitespace from a string.
dec2base	Convert decimal integer to base B string.
dec2bin	Convert decimal integer to binary string.
double	Convert characters to the corresponding numeric codes.
find	Find indices and values of nonzero elements in a matrix.
findstr.	Find one string within another one.
hex2num	Convert IEEE hexadecimal string to double.
hex2dec	Convert hexadecimal string to decimal integer.
hist	Create a histogram of a data set.
full	Convert a sparse matrix into a full matrix
imag	Returns the imaginary portion of the complex number.
int2str	Convert integer to string.
ischar	Returns true (1) for a character array.
isletter	Returns true (1) for letters of the alphabet.
isreal	Returns true (1) if no element of array has an imaginary component.
isstrprop	Returns true (1) a character has the specified property.
isspace	Returns true (1) for whitespace.
lower	Convert string to lowercase.
mat2str	Convert matrix to string.
mesh	Create a mesh plot.
meshgrid	Create the $(x, y)$ grid required for mesh, surface, and contour plots.
nnz	Number of nonzero matrix elements.
nonzeros	Return a column vector containing the nonzero elements in a matrix.
num2str	Convert number to string.
nzmax	Amount of storage allocated for nonzero matrix elements
pie(x)	Create a pie plot.
plot(c)	Plots the real versus the imaginary part of a complex array.
real	Returns the real portion of the complex number.
rose	Create a radial histogram of a data set.
sscanf	Read formatted data from string.
<pre>stairs(x,y)</pre>	Create a stair plot.
stem(x,y)	Create a stem plot.
str2double	Convert string to double value.
str2num	Convert string to number.
strcat	Concatenate strings.
strcmp	Returns true (1) if two strings are identical.

3

Returns true (1) if two strings are identical ignoring case.
Justify string.
Returns true (1) if first n characters of two strings are identical.
Returns true (1) if first n characters of two strings are identical ignoring case.
Find matches for string.
Remove leading and trailing whitespace from a string.
Replace one string with another.
Find token in string.
Predefine a structure array.
Concatenate strings vertically.
Create a surface plot.
Convert string to uppercase.

# 6.8 Exercises

6.1

Figure 6.13 shows a series *RLC* circuit driven by a sinusoidal AC voltage source whose value is  $120\angle 0^\circ$  volts. The impedance of the inductor in this circuit is  $Z_L = j2\pi fL$ , where *j* is  $\sqrt{-1}$ , *f* is the frequency of the voltage source in hertz, and *L* is the inductance in henrys. The impedance of the capacitor in this circuit is  $Z_U = -j\frac{1}{2\pi fC}$ , where *C* is the capacitance in farads. Assume that  $R = 100 \Omega$ , L = 0.1 mH, and C = 0.25 nF.

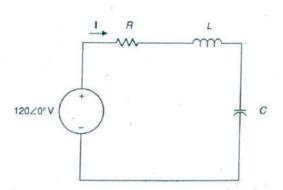


Figure 6.13 A series RLC circuit driven by a sinusoidal AC voltage source.

1

The current I flowing in this circuit is given by Kirchhoff's Voltage Law to be

$$\mathbf{I} = \frac{120/0^{\circ} \mathrm{V}}{R + j2\pi fL - j\frac{1}{2\pi fC}}$$
(6-14)

- (a) Calculate and plot the magnitude of this current as a function of frequency as the frequency changes from 100 kHz to 10 MHz. Plot this information on both a linear and a log-linear scale. Be sure to include a title and axis labels.
- (b) Calculate and plot the phase angle in degrees of this current as a function of frequency as the frequency changes from 100 kHz to 10 MHz. Plot this information on both a linear and a log-linear scale. Be sure to include a title and axis labels.
- (c) Plot both the magnitude and phase angle of the current as a function of frequency on two subplots of a single figure. Use log-linear scales.
- 6.2 Write a function to\_polar that accepts a complex number c and returns two output arguments containing the magnitude mag and angle theta of the complex number. The output angle should be in degrees.
- 6.3 Write a function to\_complex that accepts two input arguments containing the magnitude mag and angle theza of the complex number in degrees and returns the complex number c.
- 6.4 In a sinusoidal steady-state AC circuit, the voltage across a passive element is given by Ohm's Law:

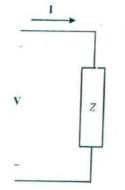
$$\mathbf{V} = \mathbf{I} \mathbf{Z} \tag{6-15}$$

where V is the voltage across the element, I is the current though the element, and Z is the impedance of the element. Note that all three of these values are complex and that these complex numbers are usually specified in the form of a magnitude at a specific phase angle expressed in degrees. For example, the voltage might be  $V = 120 \angle 30^{\circ} V$ .

Write a program that reads the voltage across an element and the impedance of the element and calculates the resulting current flow. The input values should be given as magnitudes and angles expressed in degrees, and the resulting answer should be in the same form. Use the function to\_complex from Exercise 6.3 to convert the numbers to rectangular for the actual computation of the current, and the function to\_polar from Exercise 6.2 to convert the answer into polar form for display.

- 6.5 Write a function that will accept a complex number c and plot that point on a Cartesian coordinate system with a circular marker. The plot should include both the x and y axes, plus a vector drawn from the origin to the location of c.
- **6.6** Plot the function  $v(t) = 10e^{(-0.2+j\pi)t}$  for  $0 \le t \le 10$  using the function plot(t,v). What is displayed on the plot?

312





- 6.7 Plot the function  $v(t) = 10e^{(-0.2+j\pi)t}$  for  $0 \le t \le 10$  using the function plot (v). What is displayed on the plot this time?
- 6.8 Create a polar plot of the function  $v(t) = 10e^{(-0.2+j\pi)t}$  for  $0 \le t \le 10$ .
- **6.9** Plot the function  $v(t) = 10e^{(-0.2+j\pi)t}$  for  $0 \le t \le 10$  using function plot3, where the three dimensions to plot are the real part of the function, the imaginary part of the function, and time.
- **6.10** Euler's Equation Euler's equation defines *e* raised to an imaginary power in terms of sinusoidal functions as follows:

$$e^{i\theta} = \cos\theta + i\sin\theta \tag{6-16}$$

Create a two-dimensional plot of this function as u varies from 0 to 2p. Create a three-dimensional line plot using function plot3 as u varies from 0 to 2p (the three dimensions are the real part of the expression, the imaginary part of the expression, and u).

- **6.11** Create a mesh, surface plot, and contour plot of the function  $z = e^{x+iy}$  for the interval  $-1 \le x \le 1$  and  $-2\pi \le y \le 2\pi$ . In each case, plot the real part of z versus x and y.
- 6.12 Write a program that accepts an input string from the user and determines how many times a user-specified character appears within the string. (*Hint:* Look up the 's' option of the input function using the MAT-LAB Help Browser.)
- **6.13** Modify the previous program so that it determines how many times a user-specified character appears within the string without regard to the case of the character.
- **6.14** Write a program that accepts a string from a user with the input function, chops that string into a series of tokens, sorts the tokens into ascending order, and prints them out.

- **6.15** Write a program that accepts a series of strings from a user with the input function, sorts the strings into ascending order, and prints them out.
- **6.16** Write a program that accepts a series of strings from a user with the input function, sorts the strings into ascending order disregarding case, and prints them out.
- 6.17 MATLAB includes functions upper and lower, which shift a string to uppercase and lowercase respectively. Create a new function called caps, which capitalizes the first letter in each word, and forces all other letters to be lowercase. (*Hint:* Take advantage of functions upper, lower, and strtok.)
- **6.18** Write a function that accepts a character string and returns a logical array with true values corresponding to each printable character that is *not* alphanumeric or whitespace (for example, S, %, #, etc.) and false values everywhere else.
- **6.19** Write a function that accepts a character string and returns a logical array with true values corresponding to each vowel and false values everywhere else. Be sure that the function works properly for both lower-case and uppercase characters.
- **6.20** Plot the function  $y = e^{-x} \sin x$  for x between 0 and 2 in steps of 0.1. Create the following plot types: (a) stem plot: (b) stair plot: (c) har plot: (d) compass plot. Be sure to include titles and axis labels on all plots.
- 6.21 Suppose that George, Sam, Betty, Charlie, and Suzie contributed \$5, \$10, \$7, \$5, and \$15 respectively to a colleague's going-away present. Create a pie chart of their contributions. What percentage of the cost was paid by Sam?
- **6.22** Plot the function  $f(x) = 1/\sqrt{x}$  over the range  $0.1 \le x \le 10.0$  using function fplot. Be sure to label your plot properly.

### CHAPTER

Advanced Features: Sparse Arrays, Cell Arrays, Structures, and Function Handles

This chapter deals with four very useful features of MATLAB: sparse arrays, cell arrays, structures, and function handles.

- Sparse arrays are a special type of array in which memory is allocated only for the nonzero elements in the array. They provide an extremely useful and compact way to represent large arrays containing many zero values.

Cell arrays are a very flexible type of array that can hold any sort of data. Each element of a cell array can hold any type of MATLAB data, and different elements within the same array can hold different types of data. They are used extensively in MATLAB Graphical User Interface (GUI) functions.

Structures are a special type of array with named subcomponents. Each structure can have any number of subcomponents, each with its own name and data type. Structures are the basis of MATLAB objects.

Function handles provide an alternative way to access a function. They are more flexible than simple function names. Function handles make it easy to pass functions to other functions for processing; in addition, they make it easy to save data within a function between calls.

# 7.1 Sparse Arrays

We learned about ordinary MATLAB arrays in Chapter 2. When an ordinary array is declared, MATLAB creates a memory location for every element in the array. For example, the function a = eye(10) creates 100 elements arranged as a  $10 \times 10$  structure. In this array, 90 of those elements are zero! This matrix requires 100

### 316 | Chapter 7 Advanced Features

elements, but only 10 of them contain nonzero data. This is an example of a sparse array or sparse matrix. A sparse matrix is a large matrix in which the vast majority of the elements are zero.

*	a		2 *	eye	(10)	;		×.			
a	=										
		2	0	0	0	0	0	0	0	0	0
		0	2	0	0	0	0	0	0	0	0
		0	0	2	0	0	0	0	0	0	0
		0	0	0	2	0	0	0	0	0	0
		0	0	0	0	2	0	0	0	0	0
		0	0	0	0	0	2	0	0	0	0
		0	0	0	0	0	0	2	0	0	0
		0	0	0	0	0	0	0	2	0	0
		0	0	0	0	0	0	0	0	2	0
		0	0	0	0	0	· 0	0	0	0	2

Now suppose that we create another  $10 \times 10$  matrix b defined as follows:

b =										
	1	0	0	0	0	0	0	0	0	0
	0	2	0	0	0	0	0	0	0	0
	0	0	0 2	0	0	0	0	0	0	0
	0	0	0	1	0	0	0	0	0	0
	0	0	0	1 0	5	0	0	0	0	0
	0	0		0	0	1		0	0	0
	0	0	0 0	0	0	0	0 1 0	0	0	0
	0	0	0	0	0 0 0	0	0	1	0	0 0 0
	0	0	0	0	0	0	0	0	1	0
	0	0	0	0	0	0	0	0	0	1

If these two matrices are multiplied together, the result is

>>	C	=	a	*	b							
С	=											
		2		0	0	0	0	0	0	0	0	0
		0		4	0	0	0	0	0	0	0	0
		0 0		0	4	0	0	0	0	0	0	0
		0		0	0	2	0	0	0	0	0	0
		0		0	0	0	10	0	0	0	0	0
		0		0	0	0	0	0 2	0	0	0	0
		0		0	0	0	0	0	2	0	0	0
		0		0	0	0	0	0	0	2	0	0
		0		0	0	0	0	0	0	0	2	0
		0		0	0	0	0	0	0	0	0	2
		100										

#### 3

The process of multiplying these two sparse matrices together requires 1900 multiplications and additions; but because most of the terms being added and multiplied are zeros, it is largely wasted effort.

This problem gets worse rapidly as matrix size increases. For example, suppose that we were to generate two  $200 \times 200$  sparse matrices a and b as follows:

a = 5 \* eye(200); b = 3 \* eye(200);

Each matrix now contains 20,000 elements, of which 19,800 are zero! Furthermore, multiplying these two matrices together requires **7,980,000** additions and multiplications.

It should be apparent that storing and working with large sparse matrices, most of whose elements are zero, is a serious waste of both computer memory and CPU time. Unfortunately, many real-world problems naturally create sparse matrices, so we need some efficient way to solve problems involving them.

A large electric power system is an excellent example of a real-world problem involving sparse matrices. Large electric power systems can have a thousand or more electrical busses at generating plants and transmission and distribution substations. If we wish to know the voltages, currents, and power flows in the system, we must first solve for the voltage at every bus. For a 1000-bus system, this involves the simultaneous solution of 1000 equations in 1000 unknowns, which is equivalent to inverting a matrix with 1,000,000 elements. Solving this matrix requires millions of floating point operations.

However, each bus in the power system is probably connected to an average of only two or three other busses, so 996 of the 1000 terms in each row of the matrix will be zeros, and most of the operations involved in inverting the matrix will be additions and multiplications by zeros. The calculation of the voltages and currents in this power system would be much simpler and more efficient if the zeros could be ignored in the solution process.

#### The sparse Attribute

MATLAB has a special version of the double data type that is designed to work with sparse arrays. In this special version of the double data type, *only the nonzero elements of an array are allocated memory locations*, and the array is said to have the "sparse" attribute. An array with the sparse attribute actually saves three values for each nonzero element: the value of the element itself along with the row and column numbers where the element is located. Even though three values must be saved for each nonzero element, this approach is *much* more memory efficient than allocating full arrays if a matrix has only a few nonzero elements.

To illustrate the use of sparse matrices, we will create a  $10 \times 10$  identity matrix:

#### » a = eye(10)

a	=									
	1	0	0	0	0	0	0	0	0	Ó
	0	1	0	0	0	0	0	0	0	0
	0	0	1	0	0	0	0	0	0 0	0
	0	0	0	1	0	0	0	0	0	0
	0	0	0	0	1	0	0	0	0	0
	0	0	0	0	0	1	0	0	0	0
	0	0	0	0	0	0	1	0	0	0
	0	0	0	0	0	0	0	1	0	0 0
	0	0	0	0	0	0	0	0	1	0
	0	0	0	0	0	0	0	0	0	1

If this matrix is converted to a sparse matrix using function sparse, the results are:

» ;	as = s	sparse(a)
as	=	
	(1,1)	) 1
	(2,2)	1
	(3,3)	1
	(4, 4)	1
	(5,5)	1
	(6,6)	1
	(7,7)	. 1
	(8, 8)	1
	(9,9)	1
(	10,10	) 1

Note that the data in the sparse matrix is a list of row and column addresses, followed by the nonzero data value at that point. This is a very efficient way to store data as long as most of the matrix is zero, but if there are many nonzero elements, it can take up even more space than the full matrix because of the need to store the addresses.

If we examine arrays a and as with the whos command, the results are:

>	whos			
÷	Name	Size	<b>3</b> ytes	Class
	a	10x10	800	double array
	as	10x10	164	double array (sparse)

Grand total is 110 elements using 964 bytes

The a array occupies 800 bytes, because there are 100 elements with 8 bytes of storage each. The as array occupies 164 bytes, because there are 10 nonzero elements with 8 bytes of storage each plus 20 array indices occupying 4 bytes each, and 4 bytes of overhead. Note that the sparse array occupies much less memory than the full array.

The function issparse can be used to determine whether or not a given array is sparse. If an array is sparse, then issparse (array) returns true (1).

3

The power of the sparse data type can be seen by considering a  $1000 \times 1000$  matrix z with an average of 4 nonzero elements per row. If this matrix is stored as a full matrix, it will require 8,000,000 bytes of space. On the other hand, if it is converted to a sparse matrix, the memory usage will drop dramatically.

	zs = whos	<pre>sparse(z);</pre>		
	Name	Size	Bytes	Class
	z	1000×1000	8000000	double array
	ZS	1000x1000	51188	sparse array
Gr	and t	otal is 1003932	elements using	8051188 bytes

### **Generating Sparse Matrices**

MATLAB can generate sparse matrices by converting a full matrix into a sparse matrix with the sparse function or by directly generating sparse matrices with the MATLAB functions speye, sprand, and sprandn, which are the sparse equivalents of eye, rand, and randn. For example, the expression a = speye(4) generates a 4 × 4 sparse matrix.

>>	a	=	spej	re(4)
a	=			
	(	1,	1).	1
	(	2,	2)	1
	(	3,	3)	1
	(	4,	4)	1

The expression b = full(a) converts the sparse matrix into a full matrix.

### Working with Sparse Matrices

Once a matrix is sparse, individual elements can be added to it or deleted from it using simple assignment statements. For example, the following statement generates a  $4 \times 4$  sparse matrix, and then adds another nonzero element to it.

» a = speye(4)
a =
 (1,1) 1
 (2,2) 1
 (3,3) 1
 (4,4) 1

*	a(2,1)	= -2
a	=	2
	(1, 1)	1
	(2, 1)	-2
	(2, 2)	1
	(3, 3)	1
	(4, 4)	. 1

MATLAB allows full and sparse matrices to be freely mixed and used in any combination. The result of an operation between a full matrix and a sparse matrix may be either a full matrix or a sparse matrix depending on which result is the most efficient. Essentially any matrix technique that is supported for full matrices is also available for sparse matrices.

A few of the common sparse matrix functions are listed in Table 7.1.

Table 7.1	Common	MATLA	AB Sparse	Matrix	Functions
-----------	--------	-------	-----------	--------	-----------

Function	Description		
	Create Sparse Matrices		
speye	Create a sparse identity matrix.		
sprand	Create a sparse uniformly-distributed random matrix.		
sprandn	Create a sparse normally-distributed random matrix.		
•	Full-to-Sparse Conversion Functions		
sparse	Convert a full matrix into a sparse matrix.		
full	Convert a sparse matrix into a full matrix.		
find	Find indices and values of nonzero elements in a matrix.		
	Working with Sparse Matrices		
nnz	Number of nonzero matrix elements.		
nonzeros	Return a column vector containing the nonzero elements in a matrix		
nzmax	Amount of storage allocated for nonzero matrix elements.		
spones	Replace nonzero sparse matrix elements with ones.		
spalloc	Allocate space for a sparse matrix.		
issparse	Returns 1 (true) for sparse matrix.		
spfun	Apply function to nonzero matrix elements.		
spy	Visualize sparsity pattern as a plot.		

### Example 7.1-Solving Simultaneous Equations with Sparse Matrices

To illustrate the ease with which sparse matrices can be used in MATLAB, we will solve the following simultaneous system of equations with both full and sparse matrices.

 $1.0x_1 + 0.0x_2 + 1.0x_3 + 0.0x_4 + 0.0x_5 + 2.0x_6 + 0.0x_7 - 1.0x_8 = 3.0$   $0.0x_1 + 1.0x_2 + 0.0x_3 + 0.4x_4 + 0.0x_5 + 0.0x_6 + 0.0x_7 + 0.0x_8 = 2.0$   $0.5x_1 + 0.0x_2 + 2.0x_3 + 0.0x_4 + 0.0x_5 + 0.0x_6 - 1.0x_7 + 0.0x_8 = -1.5$   $0.0x_1 + 0.0x_2 + 0.0x_3 + 2.0x_4 + 0.0x_5 + 1.0x_6 + 0.0x_7 + 0.0x_8 = 1.0$   $0.0x_1 + 0.0x_2 + 1.0x_3 + 1.0x_4 + 1.0x_5 + 0.0x_6 + 0.0x_7 + 0.0x_8 = -2.0$   $0.0x_1 + 0.0x_2 + 0.0x_3 + 1.0x_4 + 0.0x_5 + 1.0x_6 + 0.0x_7 + 0.0x_8 = 1.0$   $0.5x_1 + 0.0x_2 + 0.0x_3 + 1.0x_4 + 0.0x_5 + 1.0x_6 + 0.0x_7 + 0.0x_8 = 1.0$   $0.5x_1 + 0.0x_2 + 0.0x_3 + 0.0x_4 + 0.0x_5 + 0.0x_6 + 1.0x_7 + 0.0x_8 = 1.0$  $0.0x_1 + 1.0x_2 + 0.0x_3 + 0.0x_4 + 0.0x_5 + 0.0x_6 + 1.0x_7 + 0.0x_8 = 1.0$ 

SOLUTION To solve this problem, we will create full matrices of the equation coefficients and convert them to sparse form using the sparse function. Then we will solve the equation both ways, comparing the results and the memory required. The script file to perform these calculations is shown below.

```
8
   Script file: simul.m
8
8
   Purpose:
8
     This program solves a system of 8 linear equations in 8
8
     unknowns (a*x = b), using both full and sparse matrices.
8
8
   Record of revisions:
8
       Date
                   Programmer
                                       Description of change
8
       -----
                   -----
                                       ______
8
     01/18/04
                  S. J. Chapman
                                       Original code
8
8
  Define variables:
8
    a
                  -- Coefficients of x (full matrix)
8
    as
                  -- Coefficients of x (sparse matrix)
8
    b
                  -- Constant coefficients (full matrix)
                  -- Constant coefficients (sparse matrix)
8
    bs
    х
                  -- Solution (full matrix)
*
    xs
                  -- Solution (sparse matrix)
% Define coefficients of the equation a*x = b for
% the full matrix solution.
a = [1.0]
            0.0
                  1.0
                        0.0
                              0.0
                                    2.0
                                          0.0 -1.0; ...
      0.0
            1.0
                  0.0
                        0.4
                              0.0
                                    0.0
                                         0.0
                                               0.0; ...
      0.5
            0.0
                 2.0
                        0.0
                             0.0
                                    0.0 -1.0
                                               0.0; ...
      0.0
            0.0
                        2.0
                  0.0
                             0.0
                                   1.0
                                         0.0
                                               0.0; ...
      0.0
            0.0
                  1.0
                        1.0
                             1.0
                                    0.0
                                         0.0
                                               0.0: ...
      0.0
            0.0
                  0.0
                       1.0
                             0.0
                                    1.0
                                         0.0
                                               0.0; ...
      0.5
            0.0
                  0.0
                        0.0
                             0.0
                                   0.0
                                         1.0
                                               0.0; ...
      0.0
            1.0
                  0.0
                        0.0
                             0.0
                                   0.0
                                         0.0
                                               1.0];
      3.0
           2.0 -1.5
                       1.0
                            -2.0
                                   1.0
                                         1.0
                                               1.0]';
```

#### 322 | Chapter 7 Advanced Features

```
% Define coefficients of the equation a*x = b for
% the sparse matrix solution:
as = sparse(a);
bs = sparse(b);
% Solve the system both ways
disp ('Full matrix solution:');
x = a b
disp ('Sparse matrix solution:');
xs = as \ bs
% Show workspace
disp('Workspace contents after the solutions:')
whos
          When this program is executed, the results are:
          » simul
          Full matrix solution:
          x =
              0.5000
              2.0000
             -0.5000
             -0.0000
           ··-1.5000
              1.0000
              0.7500
             -1.0000
          Sparse matrix solution:
          xs =
             (1,1)
                         0.5000
             (2, 1)
                        2.0000
             (3, 1)
                       -0.5000
             (5, 1)
                       -1.5000
             (6, 1)
                        1.0000
             (7, 1)
                        0.7500
                       -1.0000
             (8, 1)
          Workspace contents after the solutions:
           Name
                   Size
                              Bytes
                                        Class
                    8x8
                               512
                                        double array
            a
                                        double array (sparse)
                               276
            as
                    8 \times 8
                                        double array
                                64
            b
                    8x1
                                        double array (sparse)
                               104
            bs
                    8x1
                                        double array
                                64
            x
                    8x1
                                        double array (sparse)
                                92
            XS
                    8x1
          Grand total is 115 elements using 1112 bytes
```

2

The answers are the same for both solutions. Note that the sparse solution does not contain a solution for  $x_4$ , because that value is zero and zeros aren't carried in a sparse matrix! Also, note that the sparse form of matrix b actually takes up more space than the full form. This happens because the sparse representation must store the indices as well as the values in the arrays, so it is less efficient if most of the elements in an array are nonzero.

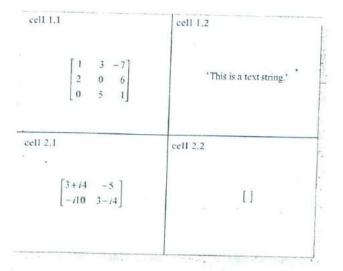
# 7.2 Cell Arrays

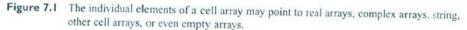
1

A cell array is a special MATLAB array whose elements are *cells*, containers that can hold other MATLAB arrays. For example, one cell of a cell array might contain an array of real numbers, another an array of strings, and yet another a vector of complex numbers (see Figure 7.1).

In programming terms, each element of a cell array is a *pointer* to another data structure, and those data structures can be of different types. Figure 7.2 illustrates this concept. Cell arrays are great ways to collect information about a problem, since all of the information can be kept together and accessed by a single name.

Cell arrays use braces {} instead of parentheses () for selecting and displaying the contents of cells. This difference is due to the fact that *cell arrays contain data structures instead of data*. Suppose that the cell array a is defined as shown





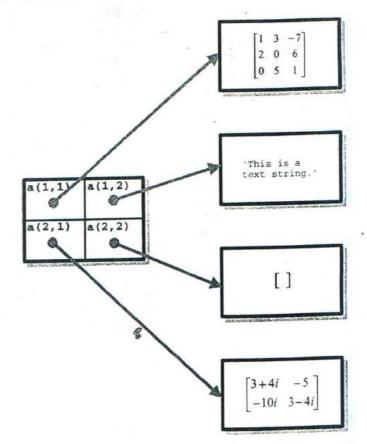


Figure 7.2 Each element of a cell array holds a *pointer* to another data structure, and different cells in the same cell array can point to different types of data structures.

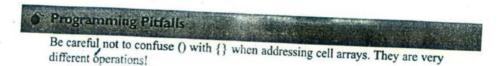
in Figure 7.2. Then the contents of element a(1, 1) is a data structure containing a  $3 \times 3$  array of numeric data, and a reference to a(1, 1) displays the *contents* of the cell, which is the data structure.

» a(1,1)
ans =
[3x3 double]

By contrast, a reference to a {1,1} displays the contents of the contents of the cell.

» a{1,1}
ans =
 \_\_\_\_\_\_\_ 3 -7
 \_\_\_\_\_\_ 0 6
 \_\_\_\_\_\_ 5 1

In summary, the notation a(1, 1) refers to the contents of cell a(1, 1) (which is a data structure), while the notation a(1, 1) refers to the contents of the data structure within the cell.



# Creating Cell Arrays

Cell arrays can be created in the following two ways:

- By using assignment statements
- By preallocating a cell array using the cell function

The simplest way to create a cell array is to directly assign data to individual cells, one cell at a time. However, preallocating cell arrays is more efficient, so you should preallocate really large cell arrays.

# Allocating Cell Arrays Using Assignment Statements

You can assign values to cell arrays one cell at a time using assignment statements. There are two ways to assign data to cells, known as **content indexing** and cell **indexing**.

Content indexing involves placing braces "{}" around the cell subscripts, together with cell contents in ordinary notation. For example, the following statement create the  $2 \times 2$  cell array in Figure 7.2:

```
a{1,1} = [1 3 -7; 2 0 6; 0 5 1];
a{1,2} = 'This is a text string.';
a{2,1} = [3+4*i -5; -10*i 3 - 4*i];
a{2,2} = [];
```

This type of indexing defines the contents of the data structure contained in a cell.

*Cell indexing* involves placing braces "{}" around the data to be stored in a *cell*. cell, together with cell subscripts in ordinary subscript notation. For example, the following statement create the  $2 \times 2$  cell array in Figure 7.2:

a(1,1) = {[1 3 -7; 2 0 6; 0 5 1]}; a(1,2) = {'This is a text string.'}; a(2,1) = {[3+4\*i -5; -10\*i 3 - 4\*i]}; a(2,2) = {[]};

This type of indexing creates a data structure containing the specified data and then assigns that data structure to a cell.

These two forms of indexing are completely equivalent, and they may be freely mixed in any program.

Programming Pitfalls Do not attempt to create a cell array with the same name as an existing numeric array. If you do this, MATLAB will assume that you are trying to assign cell contents to an ordinary array, and it will generate an error message. Be sure to clear the numeric array before trying to create a cell array with the same name.

# Preallocating Cell Arrays with the cell Function

The cell function allows you to preallocate empty cell arrays of the specified size. For example, the following statement creates an empty  $2 \times 2$  cell array.

a = cell(2,2);

Once a cell array in created, you can use assignment statements to fill values in the cells.

# Using Braces { } as Cell Constructors

It is possible to define many cells at once by placing all of the cell contents between a single set of braces. Individual cells on a row are separated by commas, and rows are separated by semicolons. For example, the following statement creates a  $2 \times 3$  cell array:

b = {[1 2], 17, [2;4]; 3-4\*i, 'Hello', eye(3)}

# Viewing the Contents of Cell Arrays

MATLAB displays the data structures in each element of a cell array in a condensed form that limits each data structure to a single line. If the entire data structure can be displayed on the single line, it is. Otherwise, a summary is displayed. For example, cell arrays a and b would be displayed as:

>> a
a =
[3x3 double] [1x22 char] /
[2x2 double] []
>> b
b =
[1x2 double] [ 17] [2x1 double]
[3.0000- 4.0000i] 'Hello' 3x3 double]

Note that MATLAB is displaying the data structures, complete with brackets or apostrophes, not the entire contents of the data structures.

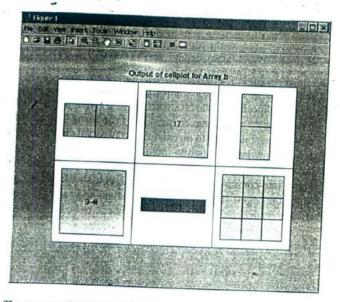


Figure 7.3 The structure of cell array b is displayed as a nested series of boxes by function cellplot.

If you would like to see the full contents of a cell array, use the celldisp function. This function displays the contents of the data structures in each cell.

```
» celldisp(a)
a\{1,1\} =
      1
          3
               -7
      2
          0
                6
      0
          5
                1
a\{2,1\} =
   3.0000 + 4.0000i
                        -5.0000
         0 -10.0000i
                         3.0000 - 4.0000i
a\{1,2\} =
This is a text string.
a\{2,2\} =
     []
```

For a high-level graphical display of the structure of a cell array, use function cellplot. For example, the function cellplot (b) produces the plot shown in Figure 7.3.

# Extending Cell Arrays

3

If a value is assigned to a cell array element that does not currently exist, the element will be automatically created, and any additional cells necessary to preserve

#### 328 | Chapter 7 Advanced Features

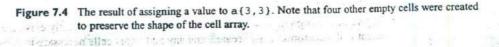
the shape of the array will be automatically created. For example, suppose that array a has been defined to be a  $2 \times 2$  cell array as shown in Figure 7.1. If the following statement is executed

$$a(3,3) = 5$$

the cell array will be automatically extended to  $3 \times 3$ , as shown in Figure 7.4.

Preallocating cell arrays with the cell function is much more efficient than extending the arrays one element at a time using assignment statements. When a new element is added to an existing array as we did above, MATLAB must create a new array large enough to include this new element, copy the old data into the new array, add the new value to the array, and then delete the old array. This is a very time-consuming process. Instead, you should always allocate the cell array to be the largest size that you can, and then add values to it one element at a time. If you do that, only the new element needs to be added; the rest of the array can remain undisturbed.

cell 1,2	cell 1,3
'This is a text string.'	П
cell 2,2	cell 2.3
[]	[]
cell 3,2	cell 3,3
	<sup>[5]</sup>
	'This is a text string.'



The program shown below illustrates the advantages of preallocation. It creates a cell array containing 50,000 strings added one at a time, with and without preallocation.

```
Script file: test_preallocate.m
   8
   8
   8
     Purpose:
        This program tests the creation of cell arrays with
   8
        and without preallocation.
  8
  8
    Record of revisions:
  8
  8
          Date
                      Programmer
                                           Description of change
  8
          ----
                     ===========
                                          ------
  8
        01/18/04
                    S. J. Chapman
                                           Original code
  2
  % Define variables:
  8
     a
                   -- Cell array
  8
                   -- Maximum values in cell array
     maxvals
 % Create array without preallocation
 clear all
 maxvals = 50000;
 tic
 for ii = 1:maxvals
    a(ii) = ['Element ' int2str(ii)];
 end
disp( ['Elapsed time without preallocation = ' num2str(toc)] );
% Create array with preallocation
clear all
maxvals = 50000;
tic
a = cell(1, maxvals);
for ii = 1:maxvals
   a{ii} = ['Element ' int2str(ii)];
end
disp( ['Elapsed time with preallocation = ' num2str(toc)] );
```

When this program is executed using MATLAB 7.0 on a 2.4 GHz Pentium IV computer, the results are as shown below. The advantages of preallocation are obvious.

```
» test_preallocate
Elapsed time without preallocation = 13.079
Elapsed time with preallocation = 4.313
```



Always preallocate all cell arrays before assigning values to the elements of the array. This practice greatly increases the execution speed of a program.

# **Deleting Cells in Arrays**

To delete an entire cell array, use the clear command. Subsets of cells may be deleted by assigning an empty array to them. For example, assume that a is the  $3 \times 3$  cell array defined above.

>>	a				
a	=				
	[3x3	double]	[1x22	char]	[]
		double]		[]	[]
		[]		[]	[5]

It is possible to delete the entire third row with the statement

» a(3,:) = []
a = 
 [3x3 double] [1x22 char] []
 [2x2 double] [] []

# Using Data in Cell Arrays

The data stored inside the data structures within a cell array may be used at any time, with either content indexing or cell indexing. For example, suppose that a cell array c is defined as

c = {[1 2;3 4], 'dogs'; 'cats', i}

The contents of the array stored in cell c(1,1) can be accessed as follows

and the contents of the array in cell c (2, 1) can be accessed as follows

```
» c{2,1}
ans =
cats
```

Subsets of a cell's contents can be obtained by concatenating the two sets of subscripts. For example, suppose that we would like to get the element (1, 2) from

the array stored in cell c(1,1) of cell array c. To do this, we would use the expression  $c\{1,1\}(1,2)$ , which says: select element (1, 2) from the contents of the data structure contained in cell c(1,1).

```
> c{1,1}(1,2)
ans =
2
```

### **Cell Arrays of Strings**

It is often convenient to store groups of strings in a cell array instead of storing them in rows of a standard character array, because each string in a cell array can have a different length, while every row of a cell array must have an identical length. This fact means that *strings in cell arrays do not have to be padded with blanks*. Many MATLAB Graphical User Interface functions use cell arrays for precisely this reason, as is shown in Chapter 10.

Cell arrays of strings can be created in one of two ways. Either the individual strings can be inserted into the array with brackets, or else function cellstr can be used to convert a 2-D string array into a cell array of strings.

The following example creates a cell array of strings by inserting the strings into the cell array one at a time; it then displays the resulting cell array. Note that the individual strings can be of different lengths.

```
» cellstring{1} = 'Stephen J. Chapman';
» cellstring{2} = 'Male';
» cellstring{3} = 'SSN 999-99-9999';
» cellstring
    'Stephen J. Chapman' 'Male' 'SSN 999-99-9999'
```

Function cellstr creates a cell array of strings from a 2-D string array. Consider the character array

```
» data = ['Line 1 ';'Additional Line']
data =
Line 1
Additional Line
```

This  $2 \times 15$  character array can be converted into an cell array of strings with the function cellstr as follow:

```
» c = cellstr(data)
c =
    'Line 1'
    'Additional Line'
```

and it can be converted back to a standard character array using function char

```
» newdata = char(c)
newdata =
Line 1
Additional Line
```

#### The Significance of Cell Arrays

Cell arrays are extremely flexible, since any amount of any type of data can be stored in each cell. As a result, cell arrays are used in many internal MATLAB data structures. We must understand them in order to use many features of the MATLAB Graphical User Interface, which we will study in Chapter 10.

In addition, the flexibility of cell arrays makes them regular features of functions with variable numbers of input arguments and output arguments. A special input argument, varargin, is available within user-defined MATLAB functions to support variable numbers of input arguments. This argument appears as the last item in an input argument list, and it returns a cell array; therefore, *a single dummy input argument can support any number of actual arguments*. Each actual argument becomes one element of the cell array returned by varargin. If it is used, varargin must be the *last* input argument in a function, following all of the required input arguments.

For example, suppose that we are writing a function that may have any number of input arguments. This function could be implemented as shown:

```
function test1(varargin)
disp(['There are ' int2str(nargin) ' arguments.']);
disp('The input arguments are:');
disp(varargin);
```

end % function test1

When this function is executed with varying numbers of arguments, the results are:

```
» test1
There are 0 arguments.
The input arguments are:
    * test1(6)
There are 1 arguments.
The input arguments are:
    [6]
    * test1(1,'test 1',[1 2;3 4])
There are 3 arguments.
The input arguments are:
    [1] 'test 1' [2x2 double]
```

As you can see, the arguments become a cell array within the function.

A sample function making use of variable numbers of arguments is shown below. Function plotline accepts an arbitrary number of 1 × 2 row vectors, with each vector containing the (x, y) position of one point to plot. The function plots a line connecting all of the (x, y) values together. Note that this function also accepts an optional line specification string and passes that specification on to the plot function.

#### function plotline (varargin)

%PLOTLINE Plot points specified by [x,y] pairs. % Function PLOTLINE accepts an arbitrary number of % [x,y] points and plots a line connecting them. % In addition, it can accept a line specification % string, and pass that string on to function plot.

% Define variables:

8	ii	Index variable
8	jj .	Index variable
8	linespec	String defining plot characteristics
8	msg	Error message
8	varargin	Cell array containing input arguments
8	x	x values to plot
8	У	y values to plot
8	Record of	revisions:

8	Date	Programmer
8	====	==========
8	01/18/04	S. J. Chapman

Description of change

```
% Check for a legal number of input arguments.
% We need at least 2 points to plot a line...
msg = nargchk(2,Inf,nargin);
error(msg);
```

```
% Initialize values
jj = 0;
linespec = '';
```

% Get the x and y values, making sure to save the line % specification string, if one exists. for ii = 1:nargin

% Is this argument an [x,y] pair or the line % specification? if ischar(varargin{ii})

```
% Save line specification
linespec = varargin{ii};
```

else

```
% This is an [x,y] pair. Recover the values.
jj = jj + 1;
```

#### 334 | Chapter 7 Advanced Features

```
x(jj) = varargin{ii}(1);
y(jj) = varargin{ii}(2);
```

end end

ana

```
% Plot function.
if isempty(linespec)
    plot(x,y);
else
    plot(x,y,linespec);
end
```

end % function plotline

When this function is called with the arguments shown below, the plot shown in Figure 7.5 is the result. Try the function with different numbers of arguments and see for yourself how it behaves.

plotline([0 0],[1 1],[2 4],[3 9],'k--');

There is also a special output argument, varargout, to support variable numbers of output arguments. This argument appears as the last item in an output

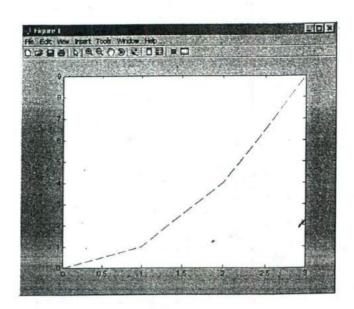


Figure 7.5 The plot produced by function plotline.

argument list, and it returns a cell array; therefore, a single dummy output argument can support any number of actual arguments. Each actual argument becomes one element of the cell array stored in varargout.

If it is used, varargout must be the *last* output argument in a function, following all of the required input arguments. The number of values to be stored in varargout can be determined from function nargout, which specifies the number of actual output arguments for any given function call.

A sample function test2 is shown below. This function detects the number of output arguments expected by the calling program, using the function nargout. It returns the number of random values in the first output argument and then fills the remaining output arguments with random numbers taken from a Gaussian distribution. Note that the function uses varargout to hold the random numbers; consequently, there can be an arbitrary number of output values.

```
function [nvals,varargout] = test2(mult)
% nvals is the number of random values returned
% varargout contains the random values returned
nvals = nargout - 1;
for ii = 1:nargout-1
    varargout{ii} = randn * mult;
end
```

```
end & function test2
```

When this function is executed, it produces the results shown below.

### Good Programming Practice

3

Use cell array arguments varargin and varargout to create functions that support varying numbers of input and output arguments.

4.15	
Plot structure of a cell array.	
Convert a 2-D character array to a cell array of strings.	
Convert a cell array of strings to a 2-D character array.	

Table 7.2 Common MATLAB Cell Functions

#### Summary of cell Functions

The common MATLAB cell functions are summarized in Table 7.2.

### 7.3 Structure Arrays

An *array* is a data type in which there is a name for the whole data structure, but individual elements within the array are known only by number. Thus, the fifth element in the array named arr would be accessed as arr(5). All of the individual elements in an array must be of the *same* type.

A cell array is a data type in which there is a name for the whole data structure, but individual elements within the array are known only by number. However, the individual elements in the cell array may be of *different* types.

In contrast, a structure is a data type in which each individual element is has a name. The individual elements of a structure are known as **fields**, and each field in a structure may have a different type. The individual fields are addressed by combining the name of the structure with the name of the field, separated by a period.

Figure 7.6 shows a sample structure named student. This structure has five fields, called name, addr1, city, state, and zip. The field called "name" would be addressed as student.name.

A structure array is an array of structures. Each structure in the array will have identically the same fields, but the data stored in each field can differ. For example, a class could be described by an array of the structure student. The first student's name would be addressed as student(1).name, the second student's city would be addressed as student(2).gity, and so forth.

#### Creating Structure Arrays

Structure arrays can be created in the following two ways:

- A field at a time using assignment statements
- All at once using the struct function

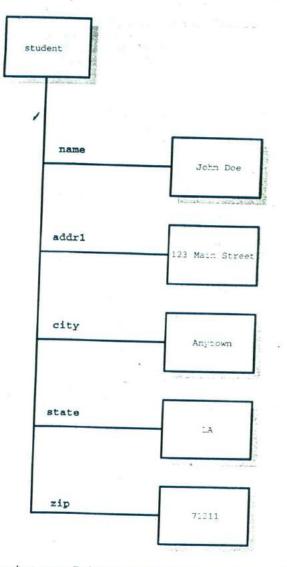


Figure 7.6 A sample structure. Each element within the structure is called a field, and each field is addressed by name.

# Building a Structure with Assignment Statements

You can build a structure one field at a time using assignment statements. Each time that data is assigned to a field, that field is automatically created. For example, the structure shown in Figure 7.6 can be created with the following statements:

3

```
» student.name='John Doe';
» student.addr1='123 Main Street';
» student.city ='Anytown';
» student.zip='71211'
student =
    name: 'John Doe'
    addr1: '123 Main Street'
    city: 'Anytown'
    state: 'LA'
    zip: '71211'
```

A second student can be added to the structure by adding a subscript to the structure name (*before* the period).

```
» student(2).name = 'Jane Q. Public'
student =
1x2 struct array with fields:
    name
    addr1
    city
    state
    zip
```

student is now a  $1 \times 2$  array. Note that when a structure array has more than one element, only the field names are listed, not their contents. The contents of each element can be listed by typing the element separately in the Command Window:

```
» student(1)
ans =
      name: 'John Doe'
     addr1: '123 Main Street'
      city: 'Anytown'
     state: 'LA'
        zip: '71211'
» student(2)
ans =
      name:
              'Jane Q. Public'
     addr1:
              []
      city:
              []
     state:
              []
              []
       zip:
```

Note that all of the fields of a structure are created for each array element whenever that element is defined, even if they are not initialized. The uninitialized fields will contain empty arrays, which can be initialized with assignment statements at a later time.

\$

The field names used in a structure can be recovered at any time using the field names function. This function returns a list of the field names in a cel array of strings, and is very useful for working with structure arrays with a program.

# Creating Structures with the struct Function

The struct function allows you to preallocate a structure or an arriv of sametures. The basic form of this function is

```
str_array = struct('field1',val1,'field2',val2, ...)
```

where the arguments are field names and their initial values. With this symfunction struct initializes every field to the specified value.

- To preallocate an entire array with the struct function to the *last value* the array. All of the values before that will be automatically created at the same time. For example, the statements shown below create an array containing 1000 sturctures of type student.

```
student(1000) = struct('name',[].'addr1',[], ...
'city',[],'state',[],'zip',[])
student =
lx1000 struct array with fields:
    name
    addr1
    city
    state
    zip
```

All of the elements of the structure are preallocated, which will speed up any  $p=o_{p-1}$  gram using the structure.

There is another version of the struct function that will preallocate array and at the same time assign initial values to all of its field. You will to asked to do this in an end of chapter exercise.

### Adding Fields to Structures

If a new field name is defined for any element is a structure array, the  $f^{2}$  du is automatically added to all of the elements in the array. For example, support that we add some exam scores to Jane Public's record:

```
» student(2).exams = [90 82 88]
student =
1x2 struct array with fields:
    name
    addr1
    city
    state
    zip
    exams
```

There is now a field called exams in every record of the array, as shown below. This field will be initialized for student (2), and will be an empty array for all other students until appropriate assignment statements are issued.

» student(1)
ans =

```
name: 'John Doe'
addr1: '123 Main Street'
city: 'Anytown'
state: 'LA'
zip: '71211'
exams: []
» student(2)
```

ans =

name: 'Jane Q. Public' addr1: [] city: [] state: [] zip: [] exams: [90 82 88]

#### Removing Fields from Structures

A field may be gemoved from a structure array using the rmfield function. The form of this function is:

```
struct2 = rmfield(str_array, 'field')
```

where str\_array is a structure array, 'field' is the field to remove, and struct2 is the name of a new structure with that field removed. For example, we can remove the field 'zip' from structure array student with the following statement:

```
» stu2 = rmfield(student,'zip')
stu2 =
1x2 struct array with fields:
    name
    addr1
    city
    state
    exams
```

### Using Data in Structure Arrays

Now let's assume that structure array student has been extended to include three students and all data has been filled in, as shown in Figure 7.7. How do we use the data in this structure array?

To access the information in any field of any array element, just name the array element followed by a period and the field name:

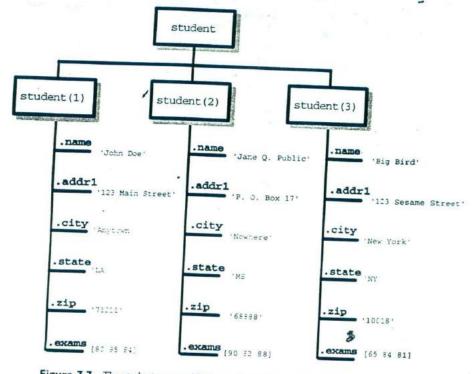


Figure 7.7 The student array with three elements and all fields filled in.

To access an individual item within a field, add a subscript after the field name. For example, the second exam of the third student is

The fields in a structure array can be used as arguments in any function that supports that type of data. For example, to calculate student (2)'s exam average, we could use the function

```
» mean(student(2).exams)
ans =
    86.6667
```

Unfortunately, we can *not* extract the values from a given field across multiple array elements at the same time. For example, we cannot get access to an array of zip codes with the expression student.zip. That expression returns the three zip codes of the three students in three separate arrays. If we want to get the zip codes of all of the students in a single array, we must use a for loop:

```
for ii = 1:length(student)
    zip(ii) = student(ii).zip;
end
```

Similarly, if we wanted to get the average of *all* exams from *all* students, we cannot use the function mean (student.exams). Instead, we must build up an array containing all the exam scores by accessing each student's exams separately and then call mean with that array.

```
exam_list = [];
for ii = 1:length(student)
    exam_list = [exam_list student(ii).exams];
end
mean(exam_list)
```

### The getfield and setfield Functions

Two MATLAB functions are available to make structure arrays easier to use in programs. Function getfield gets the current value stored in a field and function setfield inserts a new value into a field. The structure of function getfield is

```
f = getfield(array, {array_index}, 'field', {field_index})
```

where the field\_index is optional and array\_index is optional for a 1-by-1 structure array. The function call corresponds to the statement

f = array(array\_index).field(field\_index);

but it can be used even if the programmer doesn't know the names of the fields in the structure array at the time the program is written.

For example, suppose that we needed to write a function to read and manipulate the data in an unknown structure array. This function could determine the field names in the structure using a call to fieldnames, and could then read the data using function getfield. To read the zip code of the second student, the function would be

```
» zip = getfield(student,{2},'zip')
zip =
68888
```

Similarly, a program could modify values in the structure using function setfield. The structure of function setfield is

```
f = setfield(array, {array_index}, 'field', {field_index}, value)
```

where f is the output structure array, the field\_index is optional, and array\_index is optional for a 1-by-1 structure array. The function call corresponds to the statement

```
array(array_index).field(field_index) = value:
```

### **Dynamic Field Names**

Beginning with MATLAB 7.0, there is an alternative way to access the elements of a structure: **dynamic field names**. A dynamic field name is a string enclosed in parentheses at a location where a field name is expected. For example, the name of student 1 can be retrieved with either static or dynamic field names as shown below:

```
» student(1).name
ans =
John Doe
» student(1).('n&me')
ans =
John Doe
```

```
% Static field name
```

% Dynamic field name

Dynamic field names perform the same function as static field names, but *dynamic field names can be changed during program execution*. This allows a user to access different information in the same function within a program.

For example, the following function accepts a structure array and a field name and calculates the average of the values in the specified field for all elements in the structure array. It returns that average (and optionally the number of values averaged) to the calling program.

```
function [ave, nvals] = calc_average(structure,field)
%CALC_AVERAGE Calculate the average of values in a field.
% Function CALC_AVERAGE calculates the average value
% of the elements in a particular field of a structure
% array. It returns the average value and (optionally)
% the number of items averaged.
```

% Define variables:

8	arr	Array of values to average
8	ave	Average of arr
8	ii	Index variable
8		

```
Record of revisions:
8
                                    Description of change
       Date
                  Programmer
8
                                    ------
8
       ====
                  ==========
     01/18/04
                 S. J. Chapman
                                    Original code
R
8
% Check for a legal number of input arguments.
msg = nargchk(2,2,nargin);
error (msg);
% Create an array of values from the field
arr = [];
for ii = 1:length(structure)
   arr = [arr structure(ii).(field)];
end
% Calculate average
ave = mean(arr);
% Return number of values averaged
if nargout == 2
  nvals = length(arr);
end
```

```
end % function calc_average
```

3

A program can average the values in different fields by simply calling this function multiple times with different structure names and different field names. For example, we can calculate the average values in fields exams and zip as follows:

```
» [ave,nvals] = calc_average(student,'exams')
ave =
    83.2222
nvals =
    9
» ave = calc_average(student,'zip')
ave =
    50039
```

#### Using the size Function with Structure Arrays

When the size function is used with a structure array, it returns the size of the structure array itself. When the size function is used with a *field* from a particular element in a structure array, it returns the size of that field instead of the size of the whole array. For example,

R

### **Nesting Structure Arrays**

Each field of a structure array can be of any data type, including a cell array or a structure array. For example, the following statements define a new structure array as a field under array student to carry information about each class that the student in enrolled in.

```
student(1).class(1).name = 'COSC 2021'
student(1).class(2).name = 'PHYS 1001'
student(1).class(1).instructor = 'Mr. Jones'
student(1).class(2).instructor = 'Mrs. Smith'
```

After these statements are issued, student(1) contains the following data. Note the technique used to access the data in the nested structures.

```
> student(1)
 ans =
       name: 'John Doe'
      addr1: '123 Main Street'
       city: 'Anytown'
      state:
              'LA'
        zip:
              '71211'
      exams: [80 95 84]
      class: [1x2 struct]
» student(1).class
ans =
1x2 struct array with fields:
    name
    instructor
» student(1).class(1)
ans =
                'COSC 2021'
          name:
    instructor:
                 'Mr. Jones'
» student(1).class(2)
ans =
          name:
                 'PHYS 1001 '
    instructor: 'Mrs. Smith'
» student(1).class(2).name
ans =
PHYS 1001
```

Chapter 7 Advanced Features

Function	Description Return a list of field names in a cell array of strings.				
fieldnames					
getfield	Get current value from a field.				
rmfield	Remove a field from a structure array.				
setfield	Set new value into a field.				
struct	Pre-define a structure array.				

# Table 7.3 Common MATLAB Structure Functions

### Summary of structure Functions

The common MATLAB structure functions are summarized in Table 7.3 on the above.

## 7.4 Function Handles

A function handle is a MATLAB data type that holds information to be used in referencing a function. When you create a function handle, MATLAB captures all the information about the function that it needs to execute it later on. Once the handle is created, it can be used to execute the function at any time.

As is shown in Chapter 10, function handles are key to the operation of MATLAB graphical user interfaces. We will learn about them here, and apply that knowledge in Chapter 10.

#### **Creating and Using Function Handles**

A function handle can be created either of two possible way: the @ operator or the str2func function. To create a function handle with the @ operator, just place it in front of the function name. To create a function handle with the str2func function, call the function with the function name in a string. For example, suppose that function my\_func is defined as follows:

```
function res = my_func(x)
res = x.^2 - 2*x + 1;
end % function my_func
```

Then either of the following lines will create a function handle for function my\_func:

```
hndl = @my_func
hndl = str2func('my_func');
```

346

Once a function handle has been created, the function can be executed by naming the function handle followed by any calling parameters. The result will be exactly the same as if the function itself were named.

```
» hndl = @my_func
hndl =
    @my_func
>> hndl(4)
ans =
    9
>> my_func(4)
ans =
    9
```

If a function has no calling parameters, then the function handle must be followed by empty parentheses when it is used to call the function:

```
» h1 = @randn;
» h1()
ans =
-0.4326
```

After a function handle is created, it appears in the current workspace with the data type "function handle":

» whos			
Name	Size	Bytes	Class
ans	1x1	8	double array
h1	1×1	16	function_handle array
hndl	1x1	16	function_handle array

Grand total is 3 elements using 40 bytes

A function handle can also be executed using the feval function. This provides a convenient way to execute function handles within a MATLAB program.

```
» feval(hndl,4)
ans =
```

9

It is possible to recover the function name from a function handle using the func2str function.

```
» func2str(hndl)
ans =
my_func
```

This feature is very useful when we want to create descriptive messages, error mes-\_\_\_\_\_\_ sages, or labels inside a function that accepts and evaluates function handles. For

example, the function shown below accepts a function handle in the first argument, and plots the function at the points specified in the second argument. It also prints out a title containing the name of the function being plotted.

```
function plotfunc(fun, points)
 & PLOTFUNC Plots a function between the specified points.
 % Function PLOTFUNC accepts a function handle, and
 % plots the function at the points specified.
  Define variables:
 *
     fun
              -- Function handle
 *
     msa
              -- Error message
 $
 % Record of revisions:
 8
      Date
                 Programmer
                                     Description of change
 8
      ====
                 8
    01/21/04
                S. J. Chapman
                                    Original code
% Check for a legal number of input arguments.
msg = nargchk(2,1,nargin);
error(msg);
% Get function name
fname = func2str(fun);
% Plot the data and label the plot
plot(points, fun(points));
title(['\bfPlot cf ' fname '(x) vs x']);
xlabel('\bfx');
vlabel(['\bf' fname '(x)']);
grid on:
```

end % function plotfunc

For example, this function can be used to plot the function sin x from  $-2\pi$  to  $2\pi$  with the following statement:

```
plotfunc(@sin, [-2*pi:pi/10:2*pi])
```

The resulting function is shown in Figure 7.8.

Some common MATLAB functions used with function handles are summarized in Table 7.4.

# The Significance of Function Handles

Either function names or function handles can be used to execute most functions. However, function handles have certain advantages over function names. These advantages include:

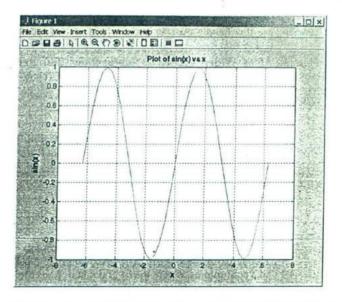


Figure 7.8 Plot of function sin x from  $-2\pi$  to  $2\pi$ , created using function plot func.

- Passing Function Access Information to Other Functions. As we saw in the previous section, you can pass a function handle as an argument in a call to another function. The function handle enables the receiving function to call the function attached to the handle. You can execute a function handle from within another function even if the handle's function is not in the scope of the evaluating function. This is because the function handle has a complete description of the function to execute; the calling function does not have to search for it.
- 2. Improved Performance in Repeated Operation. MATLAB performs a search for a function at the time you create a function handle and then

Function	Description
e	Create a function handle.
feval	Evaluate a function using a function handle.
func2str	Recover the function name associated with a given function handle.
functions	Recover miscellaneous information from a function handle. The data is returned in a structure.
str2func	Create a function handle from a specified string.

Table 7.4 MATLAB Functions that Manipulate Function Handles

3

- stores this access information in the handle itself. Once defined, you can
   use this handle over and over without having to look it up again. This makes function execution faster.
- 3. Allow Wider Access to Subfunctions and Private Functions. All MATLAB functions have a certain scope. They are visible to other MATLAB entities within that scope but not visible outside of it. You can call a function directly from another function that is within its scope, but not from a function outside that scope. Subfunctions, private functions, and nested functions are limited in their visibility to other MATLAB functions. You can invoke a subfunction only from another function that is defined within the same M-file. You can invoke a private function only from a function in the directory immediately above the private subdirectory. You can invoke a nested function only from within the host function or another nested function at the same level. However, when you create a handle to a function that has limited scope, the function handle stores all the information MATLAB needs to evaluate the function from any location in the MATLAB environment. If you create a handle to a subfunction within the M-file that defines the subfunction, you can then pass the handle to code that resides outside of that M-file and evaluate the subfunction from beyond its usual scope. The same holds true for private functions and nested functions.

4. Include More Functions per M-File for Easier File Management. You can use function handles to help reduce the number of M-files required to contain your functions. The problem with grouping a number of functions in one M-file has been that this defines them as subfunctions, and thus reduces their scope in MATLAB. Using function handles to access these subfunctions removes this limitation. This enables you to group functions as you want and reduce the number of files you have to manage.

### Function Handles and Nested Functions

When MATLAB invokes an ordinary function, a special workspace is created to contain the function's variables. The function executes to completion, after which the workspace is destroyed. All the data in the function workspace is lost, except for any values labeled persistent. If the function is executed again, a completely new workspace is created for the new execution.

By contrast, when a host function creates a handle for a nested function and returns that handle to a calling program, the host function's workspace is created and *remains in existence for as long as the function handle remains in existence*. Since the nested function has access to the host function's variables, MATLAB has to preserve the host's function's data as long as there is any chance that the nested function will be used. This means that we can save data in a function between uses.

This idea is illustrated in the function shown below. When function count\_calls is executed, it initializes a local variable current\_count to

a user-specified initial count and then creates and returns a handle to the nested function increment\_count. When increment\_count is called using that function handle, the count is increased by one and the new value is returned.

# function fhandle = count\_calls(initial\_value)

% Save initial value in a local variable % in the host function. current\_count = initial value;

% Create and return a function handle to the % nested function below.

fhandle = @increment\_count;

% Define a nested function to increment counter function count = increment\_count current\_count = current\_count + 1; count = current\_count; end % function increment\_count

## end % function count\_calls

When this program is executed, the results are as shown below. Each call to the function handle increments the count by one.

```
>> fh = count_calls(4);
>> fh()
ans =
5
>> fh()
ans =
6
>> fh()
ans =
7
```

Even more importantly, each function handle created for a function has its own independent workspace. If we create two different handles for this function, each one will have its own local data and they will be independent of each other. As you can see, we can increment either counter independently by calling the function with the proper handle.

```
>> fh1 = count_calls(4);
>> fh2 = count_calls(20);
>> fh1()
ans =
5
>> fh1()
ans =
6
```

```
>> fh2()
ans =
    21
>> fh1()
ans =
    7
```

You can use this feature to run multiple counters and so forth within a program without them interfering with each other.

### Quiz 7.1

This quiz provides a quick check to see if you have understood the concepts introduced in Sections 7.1 through 7.4. If you have trouble with the quiz, reread the section, ask your instructor, or discuss the material with a fellow student. The answers to this quiz are found in the back of the book.

- What is a sparse array? How does it differ from a full array? How can you convert from a sparse array to a full array and vice versa?
- 2. What is a cell array? How does it differ from an ordinary array?
- 3. What is the difference between content indexing and cell indexing?
- 4. What is a structure? How does it differ from oglinary arrays and cell arrays?
- 5. What is the purpose of varargin? How does it work?
- 6. What is a function handle? How do you create a function handle? How do you call a function using a function handle?
- Given the definition of array a shown below, what will be produced by each of the following sets of statements? (*Note:* some of these statements may be illegal. If a statement is illegal, explain why.)

```
a{1,1} = [1 2 3; 4 5 6; 7 8 9];
a(1,2) = {'Comment line'};
a{2,1} = j;
a{2,2} = a{1,1} - a{1,1}(2,2);
(a) a(1,1)
(b) a{1,1}
```

- (c) 2\*a(1,1)
  (d) 2\*a(1,1)
- (4) 2 4(2).
- (e) a{2,2}
- $(f) a(2,3) = \{ [-17; 17] \}$
- (g) a{2,2}(2,2)
- 8. Given the definition of structure array b shown below, what will be produced by each of the following sets of statements? (*Note:* some

of these statements may be illegal. If a statement is illegal, explain why.)

b(1).a = -2\*eye(3); b(1).b = 'Element 1'; b(1).c = [1 2 3]; b(2).a = [b(1).c' [-1; -2; -3] b(1).c']; b(2).b = 'Element 2'; b(2).c = [1 0 -1];
(a) b(1).a - b(2).a

- (b) strncmp(b(1).b,b(2).b,6)
- (c) mean(b(1).c)
- (d) mean(b,c)

(e) b

(f)b(1).('b')

(g) b(1)

9. What will be returned by the following function, if it is called with the expression myfun (@cosh)?

function res = myfun(x)
res = func2str(x);
end % function myfun

# 7.5 Summary

Sparse arrays are special arrays in which memory is allocated only for nonzero elements. Three values are saved for each nonzero element—a row number, a column number, and the value itself. This form of storage is much more efficient than for arrays for the situation where only a tiny fraction of the elements are nonzero. MATLAB includes functions and intrinsic calculations for sparse arrays, so they can be freely and transparently mixed with full arrays.

Cell arrays are arrays whose elements are *cells*, containers that can hold other MATLAB arrays. Any sort of data may be stored in a cell, including structure arrays and other cell arrays. They provide a very flexible way to store data and are used in many internal MATLAB graphical user interface functions.

Structure arrays are a data type in which each individual element is given a name. The individual elements of a structure are known as fields, and each field in a structure may have a different type. The individual fields are addressed by combining the name of the structure with the name of the field, separated by a period. Structure arrays are useful for grouping together all of the data related to a particular person or thing into a single location.

Function handles are a special data type containing all the information required to invoke a function. Function handles are created with the @ operator or

#### 354 | Chapter 7 Advanced Features

the str2func function and are used by naming the handle following by parentheses and the required calling arguments. If a function handle is created for a nested function, the workspace of the host function will be preserved between calls to the nested function using the function handle.

e	Create a function handle.					
cell	Predefine a cell array structure.					
celldisp	Display contents of a cell array.					
cellplot	Plot structure of a cell array.					
cellstr	Convert a 2-D character array to a cell array of strings.					
feval	Evaluate a function using a function handle.					
fieldnames	Return a list of field names in a cell array of strings. Get the name of the function pointed to by the specified function handle.					
func2str						
functions	Recover miscellaneous information from a function handle in a structure.					
getfield	Get current value from a field.					
full	Convert a sparse matrix into a full matrix					
nnz	Number of nonzero matrix elements.					
nonzeros .	Return a column vector containing the nonzero elements in a matrix.					
nzmax	Amount of storage allocated for nonzero matrix elements.					
rmfield	Remove a field from a structure array.					
setfield	Set new value into a field.					
spalloc	Allocate space for a sparse matrix.					
sparse	Convert a full matrix into a sparse matrix.					
speye	Create a sparse identity matrix.					
spfun	Apply function to nonzero matrix elements.					
spones	Replace nonzero sparse matrix elements with ones					
sprand	Create a sparse uniformly-distributed random matrix.					
sprandn	Create a sparse normally-distributed random matrix.					
sprintf	Write formatted data to string.					
spy	Visualize sparsity pattern as a plot					
str2func	Create a function handle for the function named in a string argument.					
struct	Preallocate a structure array					

3

## Summary of Good Programming Practice

The following guidelines should be adhered to:

- Always preallocate all cell arrays before assigning values to the elements of the array. This practice greatly increases the execution speed of a program.
- Use cell array arguments varargin and varargout to create functions that support varying numbers of input and output arguments.

#### MATLAB Summary

The summary (see page 354) lists all of the MATLAB commands and functions described in this chapter, along with a brief description of each one.

## 7.6 Exercises

- 7.1 Write a MATLAB function that will accept a cell array of strings and sort them into ascending order according to the lexicographic order of the ASCII character set. (You may use function c\_strcmp from Chapter 6 for the comparisons if you wish.)
- 7.2 Write a MATLAB function that will accept a cell array of strings and sort them into ascending order according to *alphabetical order*. (This implies that you must treat 'A' and 'a' as the same letter.)
- 7.3 Create a sparse  $100 \times 100$  array a in which about 5% of the elements contain normally distributed random values and all of the other elements are zero (use function sprandn to generate these values). Next, set all of the diagonal elements in the array to 1. Next, define a 100-element sparse column array b and initialize that array with 100 uniformly distributed values produced by function rand. Answer the following questions about these arrays:
  - (a) Create a full array a\_full from the sparse array a. Compare the memory required to store the full array and the sparse array. Which is more efficient?
  - (b) Plot the distribution of values in array a using function spy.
  - (c) Create a full array b\_full from the sparse array b. Compare the memory required to store the full array and the sparse array. Which is more efficient?
  - (d) Solve the system of equations a \* x = b for using both the full arrays and the sparse arrays. How do the two sets of answers compare? Time the two solutions. Which one is faster?
- 7.4 Create a function that accepts any number of numeric input arguments and sums up all of individual elements in the arguments. Test your function by

\$

	4		1	0	3	1
passing it the four arguments $a = 10, b =$	-2	, c =	$, c = \begin{vmatrix} -5 & 1 \end{vmatrix}$	1	2	, and
	2		1	2	0	

$$d = [1 \ 5 \ -2].$$

7.5 Modify the function of the previous exercise so that it can accept either ordinary numeric arrays or cell arrays containing numeric values. Test your

function by passing it to the two arguments a and b, where $a = \begin{bmatrix} 1 & -2 \end{bmatrix}$						=	1	4	
$b{1} = [1$	5	2], and b{2} =	$=\begin{bmatrix}1\\2\end{bmatrix}$	$\begin{bmatrix} -2 \\ 1 \end{bmatrix}$ .	14	Ľ	2	3_	1

**7.6** Create a structure array containing all of the information needed to plot a data set. At a minimum, the structure array should have the following fields:

- x\_data x-data (one or more data sets in separate cells)
  - y-data (one or more data sets in separate cells) linear, semilogx, etc.
- plot\_title plot title

v data

type

- x\_label x-axis label
- y\_label v-axis label
- x\_range x-axis range to plot
- y\_range y-axis range to plot

You may add additional fields that would enhance your control of the final plot.

After this structure array has been created, create a MATLAB function that accepts an array of this structure and produces one plot for each structure in the array. The function should apply intelligent defaults if some data fields are missing. For example, if the plot\_title field is an empty matrix, the function should not place a title on the graph. Think carefully about the proper defaults before starting to write your function!

To test your function, create a structure array containing the data for three plots of three different types and pass that structure array to your function. The function should correctly plot all three data sets in three different figure windows.

7.7 Define a structure point containing two fields x and y. The x field will contain the x-position of the point, and the y field will contain the y-position of the point. Then write a function dist3 that accepts two points, and returns the distance between the two points on the Cartesian plane. Be sure to check the number of input arguments in your function.

**7.8** Write a function that will accept a structure as a argument and return two cell arrays containing the names of the fields of that structure as well as the data types of each field. Be sure to check that the input argument is a structure and generate an error message if it is not.

- 7.9 Write a function that will accept a structure array of student as defined in this chapter, and calculate the final average of each one assuming that all exams have equal weighting. Add a new field to each array to contain the final average for that student, and return the updated structure to the calling program. Also, calculate and return the final class average.
- **7.10** Write a function that will accept two arguments, the first a structure array and the second a field name stored in a string. Check to make sure that these input arguments are valid. If they are not valid, print out an error message. If they are valid and the designated field is a string, concatenate all of the strings in the specified field of each element in the array and return the resulting string to the calling program.
- 7.11 Calculating Directory Sizes. Function dir returns the contents of a specified directory. The dir command returns a structure array with four fields, as shown below:

```
» d = dir('chap7')
d =
36x1 struct array with fields:
    name
    date
    bytes
    isdir
```

The field name contains the names of each file, date contains the last modification date for the file, bytes contains the size of the file in bytes, and isdir is 0 for conventional files and 1 for directories. Write a function that accepts a directory name and path and returns the total size of all files in the directory, in bytes.

- **7.12 Recursion.** A function is said to be *recursive* if the function calls itself. Modify the function created in Problem 7.11 so that it calls itself when it finds a subdirectory and sums up the size of all file in the current directory plus all subdirectories.
- 7.13 Function Generators. Write a nested function that evaluates a polynomial of the form  $y = ax^2 + bx + c$ . The host function gen\_func should have three calling arguments—a, b, and c—to initialize the coefficients of the polynomial. It should also create and return a function handle for the nested function eval\_func. The nested function eval\_func(x) should calculate a value of y for a given value of x, using the values of a, b, and c stored in the host function. This is effectively a function handle that evaluates a unique polynomial. Then perform the following steps:
  - (a) Call gen\_func (1,2,1) and save the resulting function handle in variable h1. This handle now evaluates the function  $y = x^2 + 2x + 1$ .

358 | Chapter 7 Advanced Features

- (b) Call gen\_func (1, 4, 3) and save the resulting function handle in variable h2. This handle now evaluates the function  $y = x^2 + 4x + 3$ .
- (c) Write a function that accepts a function handle and plots the specified function between two specified limits.
- (d) Use this function to plot the two polynomials generated in parts (a) and (b) above.
- 7.14 Function Generators. Generalize the function generator of the previous problem to handle polynomial of arbitrary dimension. Test it by creating function handles and plots the same way that you did in the previous problem. [*Hint*: Use varagrin.]
- 7.15 Look up function struct in the MATLAB Help Browser, and learn how to preallocate a structure and simultaneously initialize all of the elements in the structure array to the same value. Then create a 2000 element array of type student, with the values in every array element initialized with the fields shown below:

name: 'John Doe' addr1: '123 Main Street' city: 'Anytown' state: 'LA' zip: '71211'

3