## CHAPTER 61

# Cerebral Blood Flow, Cerebrospinal Fluid, and Brain Metabolism



Thus far, we have discussed the function of the brain as if it were independent of its blood flow, its metabolism, and its fluids. However, this is far from true because abnormalities of any of these can profoundly affect brain func-

tion. For instance, total cessation of blood flow to the brain causes unconsciousness within 5 to 10 seconds. This occurs because lack of oxygen delivery to the brain cells nearly shuts down metabolism in these cells. Also, on a longer time scale, abnormalities of the cerebrospinal fluid, either its composition or its fluid pressure, can have equally severe effects on brain function.

#### **Cerebral Blood Flow**

Blood flow of the brain is supplied by four large arteries two carotid and two vertebral arteries—which merge to form the *circle of Willis* at the base of the brain. The arteries arising from the circle of Willis travel along the brain surface and give rise to *pial* arteries, which branch out into smaller vessels called *penetrating arteries and arterioles* (Figure 61-1). The penetrating vessels are separated slightly from the brain tissue by an extension of the subarachnoid space called the *Virchow-Robin space*. The penetrating vessels dive down into the brain tissue, giving rise to intracerebral arterioles, which eventually branch into capillaries where exchange among the blood and the tissues of oxygen, nutrients, carbon dioxide, and metabolites occurs.

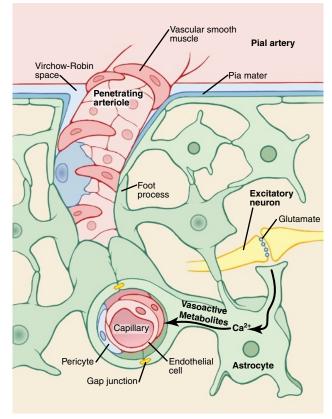
#### Normal Rate of Cerebral Blood Flow

Normal blood flow through the brain of the adult person averages 50 to 65 milliliters per 100 grams of brain tissue per minute. For the entire brain, this amounts to 750 to 900 ml/ min. Thus, the brain comprises only about 2 percent of the body weight but receives 15 percent of the resting cardiac output.

#### **Regulation of Cerebral Blood Flow**

As in most other vascular areas of the body, cerebral blood flow is highly related to metabolism of the tissue. Several metabolic factors are believed to contribute to cerebral blood flow regulation: (1) carbon dioxide concentration, (2) hydrogen ion concentration, (3) oxygen concentration, and (4) substances released from *astrocytes*, which are specialized, non-neuronal cells that appear to couple neuronal activity with local blood flow regulation.

Increase of Cerebral Blood Flow in Response to Excess Carbon Dioxide or Excess Hydrogen Ion Concentration. An increase in carbon dioxide concentration in the arterial blood perfusing the brain greatly increases cerebral blood flow. This is demonstrated in Figure 61-2, which shows that a 70 percent increase in arterial  $PCO_2$  approximately doubles cerebral blood flow.



**Figure 61-1** Architecture of cerebral blood vessels and potential mechanism for blood flow regulation by astrocytes. The pial arteries lie on the glia limitans and the penetrating arteries are surrounded by astrocyte foot processes. Note that the astrocytes also have fine processes that are closely associated with synapses.

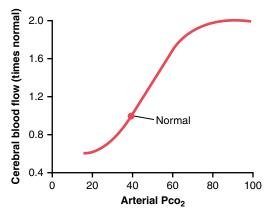


Figure 61-2 Relationship between arterial  $Pco_2$  and cerebral blood flow.

Carbon dioxide is believed to increase cerebral blood flow by combining first with water in the body fluids to form carbonic acid, with subsequent dissociation of this acid to form hydrogen ions. The hydrogen ions then cause vasodilation of the cerebral vessels—the dilation being almost directly proportional to the increase in hydrogen ion concentration up to a blood flow limit of about twice normal.

Other substances that increase the acidity of the brain tissue and therefore increase hydrogen ion concentration will likewise increase cerebral blood flow. Such substances include lactic acid, pyruvic acid, and any other acidic material formed during the course of tissue metabolism.

Importance of Cerebral Blood Flow Control by Carbon Dioxide and Hydrogen Ions. Increased hydrogen ion concentration greatly depresses neuronal activity. Therefore, it is fortunate that increased hydrogen ion concentration also causes increased blood flow, which in turn carries hydrogen ions, carbon dioxide, and other acid-forming substances away from the brain tissues. Loss of carbon dioxide removes carbonic acid from the tissues; this, along with removal of other acids, reduces the hydrogen ion concentration back toward normal. Thus, this mechanism helps maintain a constant hydrogen ion concentration in the cerebral fluids and thereby helps to maintain a normal, constant level of neuronal activity.

Oxygen Deficiency as a Regulator of Cerebral Blood Flow. Except during periods of intense brain activity, the rate of utilization of oxygen by the brain tissue remains within narrow limits—almost exactly  $3.5 (\pm 0.2)$  milliliters of oxygen per 100 grams of brain tissue per minute. If blood flow to the brain ever becomes insufficient to supply this needed amount of oxygen, the oxygen deficiency almost immediately causes vasodilation, returning the brain blood flow and transport of oxygen to the cerebral tissues to near normal. Thus, this local blood flow regulatory mechanism is almost exactly the same in the brain as in coronary blood vessels, in skeletal muscle, and in most other circulatory areas of the body.

Experiments have shown that a decrease in cerebral *tissue*  $PO_2$  below about 30 mm Hg (normal value is 35 to 40 mm Hg) immediately begins to increase cerebral blood flow. This is fortuitous because brain function becomes deranged at lower values of  $PO_2$ , especially so at  $PO_2$  levels below 20 mm Hg. Even coma can result at these low levels. Thus, the

oxygen mechanism for local regulation of cerebral blood flow is an important protective response against diminished cerebral neuronal activity and, therefore, against derangement of mental capability.

Substances Released from Astrocytes as Regulators of Cerebral Blood Flow. Increasing evidence suggests that the close coupling between neuronal activity and cerebral blood flow is due, in part, to substances released from *astrocytes* (also called *astroglial cells*) that surround blood vessels of the central nervous system. Astrocytes are star-shaped *non-neuronal cells* that support and protect neurons, as well as provide nutrition. They have numerous projections that make contact with neurons and the surrounding blood vessels, providing a potential mechanism for neurovascular communication. Gray matter astrocytes (*protoplasmic astrocytes*) extend fine processes that are closely apposed to the vascular wall (see Figure 61-1).

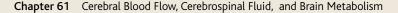
Experimental studies have shown that electrical stimulation of excitatory glutaminergic neurons leads to increases in intracellular calcium ion concentration in astrocyte foot processes and vasodilation of nearby arterioles. Additional studies have suggested that the vasodilation is mediated by several vasoactive metabolites released from astrocytes. Although the precise mediators are still unclear, nitric oxide, metabolites of arachidonic acid, potassium ions, adenosine, and other substances generated by astrocytes in response to stimulation of adjacent excitatory neurons have all been suggested to be important in mediating local vasodilation.

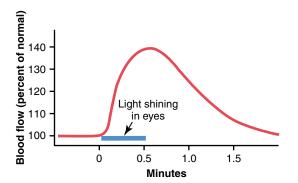
Measurement of Cerebral Blood Flow, and Effect of Brain Activity on the Flow. A method has been developed to record blood flow in as many as 256 isolated segments of the human cerebral cortex simultaneously. To do this, a radioactive substance, such as radioactive xenon, is injected into the carotid artery; then the radioactivity of each segment of the cortex is recorded as the radioactive substance passes through the brain tissue. For this purpose, 256 small radioactive scintillation detectors are pressed against the surface of the cortex. The rapidity of rise and decay of radioactivity in each tissue segment is a direct measure of the rate of blood flow through that segment.

Using this technique, it has become clear that blood flow in each individual segment of the brain changes as much as 100 to 150 percent within seconds in response to changes in local neuronal activity. For instance, simply making a fist of the hand causes an immediate increase in blood flow in the motor cortex of the opposite side of the brain. Reading a book increases the blood flow, especially in the visual areas of the occipital cortex and in the language perception areas of the temporal cortex. This measuring procedure can also be used for localizing the origin of epileptic attacks because local brain blood flow increases acutely and markedly at the focal point of each attack.

Demonstrating the effect of local neuronal activity on cerebral blood flow, Figure 61-3 shows a typical increase in occipital blood flow recorded in a cat's brain when intense light is shined into its eyes for one-half minute.

Cerebral Blood Flow Autoregulation Protects the Brain From Fluctuations in Arterial Pressure Changes. During normal daily activities, arterial pressure can fluctuate widely, rising to high levels during states of excitement or

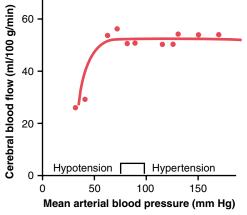




**Figure 61-3** Increase in blood flow to the occipital regions of a cat's brain when light is shined into its eyes.

strenuous activity and falling to low levels during sleep. However, cerebral blood flow is "autoregulated" extremely well between arterial pressure limits of 60 and 140 mm Hg. That is, mean arterial pressure can be decreased acutely to as low as 60 mm Hg or increased to as high as 140 mm Hg without significant change in cerebral blood flow. And, in people who have hypertension, autoregulation of cerebral blood flow occurs even when the mean arterial pressure rises to as high as 160 to 180 mm Hg. This is demonstrated in Figure 61-4, which shows cerebral blood flow measured in both persons with normal blood pressure and hypertensive and hypotensive patients. Note the extreme constancy of cerebral blood flow between the limits of 60 and 180 mm Hg mean arterial pressure. But, if the arterial pressure falls below 60 mm Hg, cerebral blood flow becomes severely decreased.

Role of the Sympathetic Nervous System in Controlling Cerebral Blood Flow. The cerebral circulatory system has strong sympathetic innervation that passes upward from the superior cervical sympathetic ganglia in the neck and then into the brain along with the cerebral arteries. This innervation supplies both the large brain arteries and the arteries that penetrate into the substance of the brain. However, transection of the sympathetic nerves or mild to moderate stimulation of them usually causes little change in cerebral blood flow because the blood flow autoregulation mechanism can override the nervous effects.



**Figure 61-4** Effect of differences in mean arterial pressure, from hypotensive to hypertensive level, on cerebral blood flow in different human beings. (Modified from Lassen NA: Cerebral blood flow and oxygen consumption in man. Physiol Rev 39:183, 1959.)

When mean arterial pressure rises acutely to an exceptionally high level, such as during strenuous exercise or during other states of excessive circulatory activity, the sympathetic nervous system normally constricts the large- and intermediate-sized brain arteries enough to prevent the high pressure from reaching the smaller brain blood vessels. This is important in preventing vascular hemorrhages into the brain—that is, for preventing the occurrence of "cerebral stroke."

#### **Cerebral Microcirculation**

As is true for almost all other tissues of the body, the number of blood capillaries in the brain is greatest where the metabolic needs are greatest. The overall metabolic rate of the brain gray matter where the neuronal cell bodies lie is about four times as great as that of white matter; correspondingly, the number of capillaries and rate of blood flow are also about four times as great in the gray matter.

An important structural characteristic of the brain capillaries is that most of them are much less "leaky" than the blood capillaries in almost any other tissue of the body. One reason for this is that the capillaries are supported on all sides by "glial feet," which are small projections from the surrounding glial cells (e.g. astroglial cells) that abut against all surfaces of the capillaries and provide physical support to prevent overstretching of the capillaries in case of high capillary blood pressure.

The walls of the small arterioles leading to the brain capillaries become greatly thickened in people who develop high blood pressure, and these arterioles remain significantly constricted all the time to prevent transmission of the high pressure to the capillaries. We shall see later in the chapter that whenever these systems for protecting against transudation of fluid into the brain break down, serious brain edema ensues, which can lead rapidly to coma and death.

#### Cerebral "Stroke" Occurs When Cerebral Blood Vessels Are Blocked

Almost all elderly people have blockage of some small arteries in the brain, and up to 10 percent eventually have enough blockage to cause serious disturbance of brain function, a condition called a "stroke."

Most strokes are caused by arteriosclerotic plaques that occur in one or more of the feeder arteries to the brain. The plaques can activate the clotting mechanism of the blood, causing a blood clot to occur and block blood flow in the artery, thereby leading to acute loss of brain function in a localized area.

In about one quarter of people who develop strokes, high blood pressure makes one of the blood vessels burst; hemorrhage then occurs, compressing the local brain tissue and further compromising its functions. The neurological effects of a stroke are determined by the brain area affected. One of the most common types of stroke is blockage of the middle cerebral artery that supplies the midportion of one brain hemisphere. For instance, if the middle cerebral artery is blocked on the left side of the brain, the person is likely to become almost totally demented because of lost function in Wernicke's speech comprehension area in the left cerebral hemisphere, and he or she also becomes unable to speak words because of loss of Broca's motor area for word formation. In addition, loss of function of neural motor control areas of the left hemisphere can create spastic paralysis of most muscles on the opposite side of the body.

In a similar manner, blockage of a *posterior cerebral artery* will cause infarction of the occipital pole of the hemisphere on the same side as the blockage, which causes loss of vision in both eyes in the half of the retina on the same side as the stroke lesion. Especially devastating are strokes that involve the blood supply to the midbrain because this can block nerve conduction in major pathways between the brain and spinal cord, causing *both sensory and motor abnormalities*.

#### **Cerebrospinal Fluid System**

The entire cerebral cavity enclosing the brain and spinal cord has a capacity of about 1600 to 1700 milliliters; about 150 milliliters of this capacity is occupied by *cerebrospinal fluid* and the remainder by the brain and cord. This fluid, as shown in Figure 61-5, is present in the *ventricles of the brain*, in the *cisterns around the outside of the brain*, and in the *subarachnoid space around both the brain and the spinal cord*. All these chambers are connected with one another, and the pressure of the fluid is maintained at a surprisingly constant level.

#### **Cushioning Function of the Cerebrospinal Fluid**

A major function of the cerebrospinal fluid is to cushion the brain within its solid vault. The brain and the cerebrospinal fluid have about the same specific gravity (only about 4 percent different), so the brain simply floats in the fluid. Therefore, a blow to the head, if it is not too intense, moves the entire brain simultaneously with the skull, causing no one portion of the brain to be momentarily contorted by the blow.

**Contrecoup.** When a blow to the head is extremely severe, it may not damage the brain on the side of the head where the blow is struck but on the opposite side. This phenomenon is known as "contrecoup," and the reason for this effect is the following: When the blow is struck, the fluid on the struck side is so incompressible that as the skull moves, the fluid pushes the brain at the same time in unison with the skull. On the side opposite to the area that is struck, the sudden movement of the whole skull causes the skull to pull away from the brain momentarily because of the brain's inertia,

creating for a split second a vacuum space in the cranial vault in the area opposite to the blow. Then, when the skull is no longer being accelerated by the blow, the vacuum suddenly collapses and the brain strikes the inner surface of the skull.

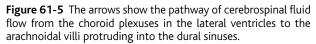
The poles and the inferior surfaces of the frontal and temporal lobes, where the brain comes into contact with bony protuberances in the base of the skull, are often the sites of injury and *contusions* (bruises) after a severe blow to the head, such as that experienced by a boxer. If the contusion occurs on the same side as the impact injury, it is a *coup injury*; if it occurs on the opposite side, the contusion is a *contrecoup injury*.

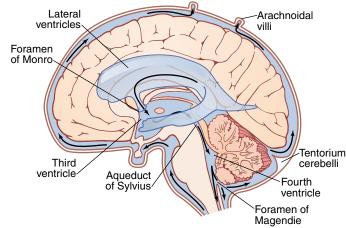
Coup and contrecoup injuries can also be caused by rapid acceleration or deceleration alone in the absence of physical impact due to a blow to the head. In these instances the brain may bounce off the wall of the skull causing a coup injury and then also bounce off the opposite side causing a contrecoup contusion. Such injuries are thought to occur, for example, in "shaken baby syndrome" or sometimes in vehicular accidents.

## Formation, Flow, and Absorption of Cerebrospinal Fluid

Cerebrospinal fluid is formed at a rate of about 500 milliliters each day, which is three to four times as much as the total volume of fluid in the entire cerebrospinal fluid system. About two thirds or more of this fluid originates as *secretion from the choroid plexuses* in the four ventricles, *mainly in the two lateral ventricles*. Additional small amounts of fluid are secreted by the ependymal surfaces of all the ventricles and by the arachnoidal membranes. A small amount comes from the brain itself through the perivascular spaces that surround the blood vessels passing through the brain.

The arrows in Figure 61-5 show that the main channels of fluid flow from the *choroid plexuses* and then through the cerebrospinal fluid system. The fluid secreted in the *lateral ventricles* passes first into the *third ventricle;* then, after addition of minute amounts of fluid from the third ventricle, it flows downward along the *aqueduct of Sylvius* into the *fourth ventricle,* where still another minute amount of fluid is added. Finally, the fluid passes out of the fourth ventricle through three small openings, *two lateral foramina of Luschka* and a *midline foramen of Magendie,* entering the *cisterna magna,* a fluid space that lies behind the medulla and beneath the cerebellum.





The cisterna magna is continuous with the *subarachnoid space* that surrounds the entire brain and spinal cord. Almost all the cerebrospinal fluid then flows upward from the cisterna magna through the subarachnoid spaces surrounding the cerebrum. From here, the fluid flows into and through multiple *arachnoidal villi* that project into the large sagittal venous sinus and other venous sinuses of the cerebrum. Thus, any extra fluid empties into the venous blood through pores of these villi.

Secretion by the Choroid Plexus. The *choroid plexus*, a section of which is shown in Figure 61-6, is a cauliflower-like growth of blood vessels covered by a thin layer of epithelial cells. This plexus projects into the temporal horn of each lateral ventricle, the posterior portion of the third ventricle, and the roof of the fourth ventricle.

Secretion of fluid into the ventricles by the choroid plexus depends mainly on active transport of sodium ions through the epithelial cells lining the outside of the plexus. The sodium ions in turn pull along large amounts of chloride ions as well because the positive charge of the sodium ion attracts the chloride ion's negative charge. The two ions combined increase the quantity of osmotically active sodium chloride in the cerebrospinal fluid, which then causes almost immediate osmosis of water through the membrane, thus providing the fluid of the secretion.

Less important transport processes move small amounts of glucose into the cerebrospinal fluid and both potassium and bicarbonate ions out of the cerebrospinal fluid into the capillaries. Therefore, the resulting characteristics of the cerebrospinal fluid become the following: osmotic pressure, approximately equal to that of plasma; sodium ion concentration, also approximately equal to that of plasma; chloride ion, about 15 percent greater than in plasma; potassium ion, approximately 40 percent less; and glucose, about 30 percent less.

Absorption of Cerebrospinal Fluid Through the Arachnoidal Villi. The *arachnoidal villi* are microscopic fingerlike inward projections of the arachnoidal membrane through

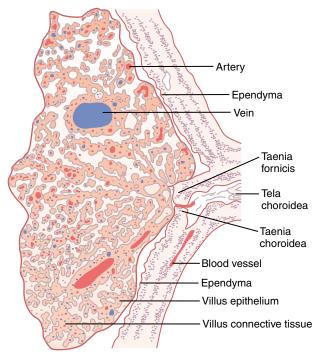


Figure 61-6 Choroid plexus in a lateral ventricle.

the walls and into the venous sinuses. Conglomerates of these villi form macroscopic structures called *arachnoidal granulations* that can be seen protruding into the sinuses. The endothelial cells covering the villi have been shown by electron microscopy to have vesicular passages directly through the bodies of the cells large enough to allow relatively free flow of (1) cerebrospinal fluid, (2) dissolved protein molecules, and (3) even particles as large as red and white blood cells into the venous blood.

**Perivascular Spaces and Cerebrospinal Fluid.** The large arteries and veins of the brain lie on the surface of the brain but their ends penetrate inward, carrying with them a layer of *pia mater*, the membrane that covers the brain, as shown in Figure 61-7. The pia is only loosely adherent to the vessels, so a space, the *perivascular space*, exists between it and each vessel. Therefore, perivascular spaces follow both the arteries and the veins into the brain as far as the arterioles and venules go.

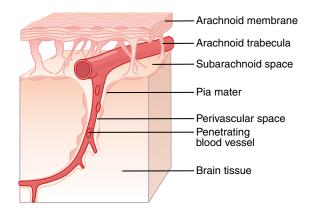
Lymphatic Function of the Perivascular Spaces. As is true elsewhere in the body, a small amount of protein leaks out of the brain capillaries into the interstitial spaces of the brain. Because no true lymphatics are present in brain tissue, excess protein in the brain tissue leaves the tissue flowing with fluid through the perivascular spaces into the subarachnoid spaces. On reaching the subarachnoid spaces, the protein then flows with the cerebrospinal fluid, to be absorbed through the *arachnoidal villi* into the large cerebral veins. Therefore, perivascular spaces, in effect, are a specialized lymphatic system for the brain.

In addition to transporting fluid and proteins, the perivascular spaces transport extraneous particulate matter out of the brain. For instance, whenever infection occurs in the brain, dead white blood cells and other infectious debris are carried away through the perivascular spaces.

#### **Cerebrospinal Fluid Pressure**

The normal pressure in the cerebrospinal fluid system *when one is lying in a horizontal position* averages 130 mm of water (10 mm Hg), although this may be as low as 65 mm of water or as high as 195 mm of water even in the normal healthy person.

Regulation of Cerebrospinal Fluid Pressure by the Arachnoidal Villi. The normal rate of cerebrospinal fluid formation remains nearly constant, so changes in fluid



**Figure 61-7** Drainage of a perivascular space into the subarachnoid space. (Redrawn from Ranson SW, Clark SL: Anatomy of the Nervous System. Philadelphia: WB Saunders, 1959.) formation are seldom a factor in pressure control. Conversely, the arachnoidal villi function like "valves" that allow cerebrospinal fluid and its contents to flow readily into the blood of the venous sinuses while not allowing blood to flow backward in the opposite direction. Normally, this valve action of the villi allows cerebrospinal fluid to begin to flow into the blood when cerebrospinal fluid pressure is about 1.5 mm Hg greater than the pressure of the blood in the venous sinuses. Then, if the cerebrospinal fluid pressure rises still higher, the valves open more widely. Under normal conditions, the cerebrospinal fluid pressure almost never rises more than a few millimeters of mercury higher than the pressure in the cerebral venous sinuses.

Conversely, in disease states, the villi sometimes become blocked by large particulate matter, by fibrosis, or by excesses of blood cells that have leaked into the cerebrospinal fluid in brain diseases. Such blockage can cause high cerebrospinal fluid pressure, as follows.

High Cerebrospinal Fluid Pressure in Pathological Conditions of the Brain. Often a large *brain tumor* elevates the cerebrospinal fluid pressure by decreasing reabsorption of the cerebrospinal fluid back into the blood. As a result, the cerebrospinal fluid pressure can rise to as much as 500 mm of water (37 mm Hg) or about four times normal.

The cerebrospinal fluid pressure also rises considerably when *hemorrhage* or *infection* occurs in the cranial vault. In both these conditions, large numbers of red and/or white blood cells suddenly appear in the cerebrospinal fluid and can cause serious blockage of the small absorption channels through the arachnoidal villi. This also sometimes elevates the cerebrospinal fluid pressure to 400 to 600 mm of water (about four times normal).

Some babies are born with high cerebrospinal fluid pressure. This is often caused by abnormally high resistance to fluid reabsorption through the arachnoidal villi, resulting either from too few arachnoidal villi or from villi with abnormal absorptive properties. This is discussed later in connection with *hydrocephalus*.

Measurement of Cerebrospinal Fluid Pressure. The usual procedure for measuring cerebrospinal fluid pressure is simple: First, the person lies exactly horizontally on his or her side so that the fluid pressure in the spinal canal is equal to the pressure in the cranial vault. A spinal needle is then inserted into the lumbar spinal canal below the lower end of the cord, and the needle is connected to a vertical glass tube that is open to the air at its top. The spinal fluid is allowed to rise in the tube as high as it will. If it rises to a level 136 mm of water pressure or, dividing this by 13.6, which is the specific gravity of mercury, about 10 mm Hg pressure.

High Cerebrospinal Fluid Pressure Causes Edema of the Optic Disc—Papilledema. Anatomically, the dura of the brain extends as a sheath around the optic nerve and then connects with the sclera of the eye. When the pressure rises in the cerebrospinal fluid system, it also rises inside the optic nerve sheath. The retinal artery and vein pierce this sheath a few millimeters behind the eye and then pass along with the optic nerve fibers into the eye itself. Therefore, (1) high cerebrospinal fluid pressure pushes fluid first into the optic nerve sheath and then along the spaces between the optic nerve fibers to the interior of the eyeball; (2) the high pressure

decreases outward fluid flow in the optic nerves, causing accumulation of excess fluid in the optic disc at the center of the retina; and (3) the pressure in the sheath also impedes flow of blood in the retinal vein, thereby increasing the retinal capillary pressure throughout the eye, which results in still more retinal edema.

The tissues of the optic disc are much more distensible than those of the remainder of the retina, so the disc becomes far more edematous than the remainder of the retina and swells into the cavity of the eye. The swelling of the disc can be observed with an ophthalmoscope and is called *papilledema*. Neurologists can estimate the cerebrospinal fluid pressure by assessing the extent to which the edematous optic disc protrudes into the eyeball.

#### Obstruction to Flow of Cerebrospinal Fluid Can Cause Hydrocephalus

"Hydrocephalus" means excess water in the cranial vault. This condition is frequently divided into *communicating hydrocephalus* and *noncommunicating hydrocephalus*. In communicating hydrocephalus fluid flows readily from the ventricular system into the subarachnoid space, whereas in noncommunicating hydrocephalus fluid flow out of one or more of the ventricles is blocked.

Usually the *noncommunicating* type of hydrocephalus is caused by a *block in the aqueduct of Sylvius*, resulting from *atresia* (closure) before birth in many babies or from blockage by a brain tumor at any age. As fluid is formed by the choroid plexuses in the two lateral and the third ventricles, the volumes of these three ventricles increase greatly. This flattens the brain into a thin shell against the skull. In neonates, the increased pressure also causes the whole head to swell because the skull bones have not yet fused.

The *communicating* type of hydrocephalus is usually caused by blockage of fluid flow in the subarachnoid spaces around the basal regions of the brain or by blockage of the arachnoidal villi where the fluid is normally absorbed into the venous sinuses. Fluid therefore collects both on the outside of the brain and to a lesser extent inside the ventricles. This will also cause the head to swell tremendously if it occurs in infancy when the skull is still pliable and can be stretched, and it can damage the brain at any age. A therapy for many types of hydrocephalus is surgical placement of a silicone tube shunt all the way from one of the brain ventricles to the peritoneal cavity where the excess fluid can be absorbed into the blood.

#### Blood-Cerebrospinal Fluid and Blood-Brain Barriers

It has already been pointed out that the concentrations of several important constituents of cerebrospinal fluid are not the same as in extracellular fluid elsewhere in the body. Furthermore, many large molecular substances hardly pass at all from the blood into the cerebrospinal fluid or into the interstitial fluids of the brain, even though these same substances pass readily into the usual interstitial fluids of the body. Therefore, it is said that barriers, called the *bloodcerebrospinal fluid barrier* and the *blood-brain barrier*, exist between the blood and the cerebrospinal fluid and brain fluid, respectively.

Barriers exist both at the choroid plexus and at the tissue capillary membranes in essentially all areas of the brain parenchyma *except in some areas of the hypothalamus*, *pineal gland*, and *area postrema*, where substances diffuse with greater ease into the tissue spaces. The ease of diffusion in these areas is important because they have sensory receptors that respond to specific changes in the body fluids, such as changes in osmolality and in glucose concentration, as well as receptors for peptide hormones that regulate thirst, such as angiotensin II. The blood-brain barrier also has specific carrier molecules that facilitate transport of hormones, such as leptin, from the blood into the hypothalamus where they bind to specific receptors that control other functions such as appetite and sympathetic nervous system activity.

In general, the blood-cerebrospinal fluid and bloodbrain barriers are highly permeable to water, carbon dioxide, oxygen, and most lipid-soluble substances such as alcohol and anesthetics; slightly permeable to electrolytes such as sodium, chloride, and potassium; and almost totally impermeable to plasma proteins and most non-lipid-soluble large organic molecules. Therefore, the blood-cerebrospinal fluid and blood-brain barriers often make it impossible to achieve effective concentrations of therapeutic drugs, such as protein antibodies and non-lipid-soluble drugs, in the cerebrospinal fluid or parenchyma of the brain.

The cause of the low permeability of the blood-cerebrospinal fluid and blood-brain barriers is the manner in which the endothelial cells of the brain tissue capillaries are joined to one another. They are joined by so-called *tight junctions*. That is, the membranes of the adjacent endothelial cells are tightly fused rather than having large slit-pores between them, as is the case for most other capillaries of the body.

#### **Brain Edema**

One of the most serious complications of abnormal cerebral fluid dynamics is the development of *brain edema*. Because the brain is encased in a solid cranial vault, accumulation of extra edema fluid compresses the blood vessels, often causing seriously decreased blood flow and destruction of brain tissue.

The usual cause of brain edema is either greatly increased capillary pressure or damage to the capillary wall that makes the wall leaky to fluid. A common cause is a serious blow to the head, leading to *brain concussion,* in which the brain tissues and capillaries are traumatized and capillary fluid leaks into the traumatized tissues.

Once brain edema begins, it often initiates two vicious circles because of the following positive feedbacks: (1) Edema compresses the vasculature. This in turn decreases blood flow and causes brain ischemia. The ischemia in turn causes arteriolar dilation with still further increase in capillary pressure. The increased capillary pressure then causes more edema fluid, so the edema becomes progressively worse. (2) The decreased cerebral blood flow also decreases oxygen delivery. This increases the permeability of the capillaries, allowing still more fluid leakage. It also turns off the sodium pumps of the neuronal tissue cells, thus allowing these cells to swell in addition.

Once these two vicious circles have begun, heroic measures must be used to prevent total destruction of the brain. One such measure is to infuse intravenously a concentrated osmotic substance, such as a concentrated mannitol solution. This pulls fluid by osmosis from the brain tissue and breaks up the vicious circles. Another procedure is to remove fluid quickly from the lateral ventricles of the brain by means of ventricular needle puncture, thereby relieving the intracerebral pressure.

#### **Brain Metabolism**

Like other tissues, the brain requires oxygen and food nutrients to supply its metabolic needs. However, there are special peculiarities of brain metabolism that require mention.

Total Brain Metabolic Rate and Metabolic Rate of Neurons. Under resting but awake conditions, the metabolism of the brain accounts for about 15 percent of the total metabolism in the body, even though the mass of the brain is only 2 percent of the total body mass. Therefore, under resting conditions, brain metabolism per unit mass of tissue is about 7.5 times the average metabolism in non-nervous system tissues.

Most of this excess metabolism of the brain occurs in the neurons, not in the glial supportive tissues. The major need for metabolism in the neurons is to pump ions through their membranes, mainly to transport sodium and calcium ions to the outside of the neuronal membrane and potassium ions to the interior. Each time a neuron conducts an action potential, these ions move through the membranes, increasing the need for additional membrane transport to restore proper ionic concentration differences across the neuron membranes. Therefore, during high levels of brain activity, neuronal metabolism can increase as much as 100 to 150 percent.

**Special Requirement of the Brain for Oxygen—Lack of Significant Anaerobic Metabolism.** Most tissues of the body can live without oxygen for several minutes and some for as long as 30 minutes. During this time, the tissue cells obtain their energy through processes of anaerobic metabolism, which means release of energy by partially breaking down glucose and glycogen but without combining these with oxygen. This delivers energy only at the expense of consuming tremendous amounts of glucose and glycogen. However, it does keep the tissues alive.

The brain is not capable of much anaerobic metabolism. One of the reasons for this is the high metabolic rate of the neurons, so most neuronal activity depends on second-by-second delivery of oxygen from the blood. Putting these factors together, one can understand why sudden cessation of blood flow to the brain or sudden total lack of oxygen in the blood can cause unconsciousness within 5 to 10 seconds.

Under Normal Conditions Most Brain Energy Is Supplied by Glucose. Under normal conditions, almost all the energy used by the brain cells is supplied by glucose derived from the blood. As is true for oxygen, most of this is derived minute by minute and second by second from the capillary blood, with a total of only about a 2-minute supply of glucose normally stored as glycogen in the neurons at any given time.

A special feature of glucose delivery to the neurons is that its transport into the neurons through the cell membrane is not dependent on insulin, even though insulin is required for glucose transport into most other body cells. Therefore, in patients who have serious diabetes with essentially zero secretion of insulin, glucose still diffuses readily into the neurons, which is most fortunate in preventing loss of mental function in diabetic patients. Yet when a diabetic patient is overtreated with insulin, the blood glucose concentration can fall extremely low because the excess insulin causes almost all the glucose in the blood to be transported rapidly into the vast numbers of insulin-sensitive non-neural cells throughout the body, especially into muscle and liver cells. When this happens, not enough glucose is left in the blood to supply the neurons properly and mental function becomes seriously deranged, leading sometimes to coma and even more often to mental imbalances and psychotic disturbances—all caused by overtreatment with insulin.

#### Bibliography

- Ainslie PN, Duffin J: Integration of cerebrovascular CO<sub>2</sub> reactivity and chemoreflex control of breathing: mechanisms of regulation, measurement, and interpretation, Am J Physiol Regul Integr Comp Physiol 296:R1473, 2009.
- Alawneh JA, Moustafa RR, Baron JC: Hemodynamic factors and perfusion abnormalities in early neurological deterioration, *Stroke* 40:e443–e450, 2009.
- Barres BA: The mystery and magic of glia: a perspective on their roles in health and disease, *Neuron* 60:430, 2008.
- Chesler M: Regulation and modulation of pH in the brain, *Physiol Rev* 83:1183, 2003.
- Duelli R, Kuschinsky W: Brain glucose transporters: relationship to local energy demand, *News Physiol Sci* 16:71, 2001.

- Faraci FM: Reactive oxygen species: influence on cerebral vascular tone, J Appl Physiol 100:739, 2006.
- Gore JC: Principles and practice of functional MRI of the human brain, *J Clin Invest* 112:4, 2003.
- Haydon PG, Carmignoto G: Astrocyte control of synaptic transmission and neurovascular coupling, *Physiol Rev* 86:1009, 2006.
- ladecola C, Davisson RL: Hypertension and cerebrovascular dysfunction, *Cell Metab* 7:476, 2008.
- ladecola C, Nedergaard M: Glial regulation of the cerebral microvasculature, *Nat Neurosci* 10:1369, 2007.
- ladecola C, Park L, Capone C: Threats to the mind: aging, amyloid, and hypertension, *Stroke* 40(Suppl 3):S40, 2009.
- Johnston M, Papaiconomou C: Cerebrospinal fluid transport: a lymphatic perspective, *News Physiol Sci* 17:227, 2002.
- Koehler RC, Roman RJ, Harder DR: Astrocytes and the regulation of cerebral blood flow, *Trends Neurosci* 32:160, 2009.
- Moore CI, Cao R: The hemo-neural hypothesis: on the role of blood flow in information processing, *J Neurophysiol* 99:2035, 2008.
- Murkin JM: Cerebral autoregulation: the role of CO<sub>2</sub> in metabolic homeostasis, *Semin Cardiothorac Vasc Anesth* 11:269, 2007.
- Paulson OB: Blood-brain barrier, brain metabolism and cerebral blood flow, *Eur Neuropsychopharmacol* 12:495, 2002.
- Syková E, Nicholson C: Diffusion in brain extracellular space, *Physiol Rev* 88:1277, 2008.
- Toda N, Ayajiki K, Okamura T: Cerebral blood flow regulation by nitric oxide: recent advances, *Pharmacol Rev* 61:62, 2009.
- Yenari M, Kitagawa K, Lyden P, Perez-Pinzon M: Metabolic downregulation: a key to successful neuroprotection?, *Stroke* 39:2910, 2008.

## CHAPTER 62

# UNIT XII

# General Principles of Gastrointestinal Function— Motility, Nervous Control, and Blood Circulation



The alimentary tract provides the body with a continual supply of water, electrolytes, vitamins, and nutrients. To achieve this requires (1) movement of food through the alimen-

tary tract; (2) secretion of digestive juices and digestion of the food; (3) absorption of water, various electrolytes, vitamins, and digestive products; (4) circulation of blood through the gastrointestinal organs to carry away the absorbed substances; and (5) control of all these functions by local, nervous, and hormonal systems.

Figure 62-1 shows the entire alimentary tract. Each part is adapted to its specific functions: some to simple passage of food, such as the esophagus; others to temporary storage of food, such as the stomach; and others to digestion and absorption, such as the small intestine. In this chapter, we discuss the basic principles of function in the entire alimentary tract; in the following chapters, we discuss the specific functions of different segments of the tract.

#### General Principles of Gastrointestinal Motility

## Physiologic Anatomy of the Gastrointestinal Wall

Figure 62-2 shows a typical cross section of the intestinal wall, including the following layers from outer surface inward: (1) the *serosa*, (2) a *longitudinal smooth muscle layer*, (3) a *circular smooth muscle layer*, (4) the *submucosa*, and (5) the *mucosa*. In addition, sparse bundles of smooth muscle fibers, the *mucosal muscle*, lie in the deeper layers of the mucosa. The motor functions of the gut are performed by the different layers of smooth muscle.

The general characteristics of smooth muscle and its function are discussed in Chapter 8, which should be reviewed as a background for the following sections of this chapter. The specific characteristics of smooth muscle in the gut are the following.

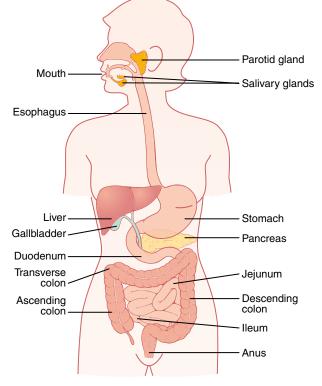


Figure 62-1 Alimentary tract.

Gastrointestinal Smooth Muscle Functions as a Syncytium. The individual smooth muscle fibers in the gastrointestinal tract are 200 to 500 micrometers in length and 2 to 10 micrometers in diameter, and they are arranged in bundles of as many as 1000 parallel fibers. In the *longitudinal muscle layer*, the bundles extend longitudinally down the intestinal tract; in the *circular muscle layer*, they extend around the gut.

Within each bundle, the muscle fibers are electrically connected with one another through large numbers of *gap junctions* that allow low-resistance movement of ions from one muscle cell to the next. Therefore, electrical signals that initiate muscle contractions can travel readily from one fiber to the next within each bundle but more rapidly along the length of the bundle than sideways.

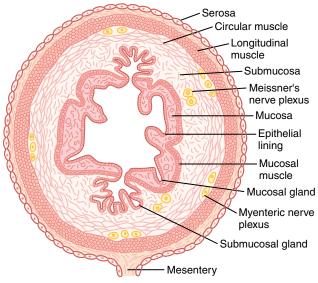


Figure 62-2 Typical cross section of the gut.

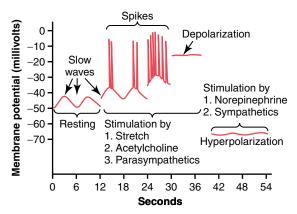
Each bundle of smooth muscle fibers is partly separated from the next by loose connective tissue, but the muscle bundles fuse with one another at many points, so in reality each muscle layer represents a branching latticework of smooth muscle bundles. Therefore, each muscle layer functions as a *syncytium;* that is, when an action potential is elicited anywhere within the muscle mass, it generally travels in all directions in the muscle. The distance that it travels depends on the excitability of the muscle; sometimes it stops after only a few millimeters and at other times it travels many centimeters or even the entire length and breadth of the intestinal tract.

Also, a few connections exist between the longitudinal and circular muscle layers, so excitation of one of these layers often excites the other as well.

#### Electrical Activity of Gastrointestinal Smooth Muscle

The smooth muscle of the gastrointestinal tract is excited by almost continual slow, intrinsic electrical activity along the membranes of the muscle fibers. This activity has two basic types of electrical waves: (1) *slow waves* and (2) *spikes*, both of which are shown in Figure 62-3. In addition, the voltage of the resting membrane potential of the gastrointestinal smooth muscle can be made to change to different levels, and this, too, can have important effects in controlling motor activity of the gastrointestinal tract.

**Slow Waves.** Most gastrointestinal contractions occur rhythmically, and this rhythm is determined mainly by the frequency of so-called "slow waves" of smooth muscle membrane potential. These waves, shown in Figure 62-3, are not action potentials. Instead, they are slow, undulating changes in the resting membrane potential. Their intensity usually varies between 5 and 15 millivolts, and their frequency ranges in different parts of the human gastrointestinal tract from 3 to 12 per minute: about 3



**Figure 62-3** Membrane potentials in intestinal smooth muscle. Note the slow waves, the spike potentials, total depolarization, and hyperpolarization, all of which occur under different physiologic conditions of the intestine.

in the body of the stomach, as much as 12 in the duodenum, and about 8 or 9 in the terminal ileum. Therefore, the rhythm of contraction of the body of the stomach is usually about 3 per minute, of the duodenum about 12 per minute, and of the ileum 8 to 9 per minute.

The precise cause of the slow waves is not completely understood, although they appear to be caused by complex interactions among the smooth muscle cells and specialized cells, called the *interstitial cells of Cajal*, that are believed to act as *electrical pacemakers* for smooth muscle cells. These interstitial cells form a network with each other and are interposed between the smooth muscle layers, with synaptic-like contacts to smooth muscle cells. The interstitial cells of Cajal undergo cyclic changes in membrane potential due to unique ion channels that periodically open and produce inward (pacemaker) currents that may generate slow wave activity.

The slow waves usually do not by themselves cause muscle contraction in most parts of the gastrointestinal tract, *except perhaps in the stomach*. Instead, they mainly excite the appearance of intermittent spike potentials, and the spike potentials in turn actually excite the muscle contraction.

**Spike Potentials.** The spike potentials are true action potentials. They occur automatically when the resting membrane potential of the gastrointestinal smooth muscle becomes more positive than about –40 millivolts (the normal resting membrane potential in the smooth muscle fibers of the gut is between –50 and –60 millivolts). Note in Figure 62-3 that each time the peaks of the slow waves temporarily become more positive than –40 millivolts, spike potentials appear on these peaks. The higher the slow wave potential rises, the greater the frequency of the spike potentials, usually ranging between 1 and 10 spikes per second. The spike potentials last 10 to 40 times as long in gastrointestinal muscle as the action potentials in large nerve fibers, each gastrointestinal spike lasting as long as 10 to 20 milliseconds.

Another important difference between the action potentials of the gastrointestinal smooth muscle and

those of nerve fibers is the manner in which they are generated. In nerve fibers, the action potentials are caused almost entirely by rapid entry of sodium ions through sodium channels to the interior of the fibers. In gastrointestinal smooth muscle fibers, the channels responsible for the action potentials are somewhat different; they allow especially large numbers of calcium ions to enter along with smaller numbers of sodium ions and therefore are called *calcium-sodium channels*. These channels are much slower to open and close than are the rapid sodium channels of large nerve fibers. The slowness of opening and closing of the calcium-sodium channels accounts for the long duration of the action potentials. Also, the movement of large amounts of calcium ions to the interior of the muscle fiber during the action potential plays a special role in causing the intestinal muscle fibers to contract, as we discuss shortly.

**Changes in Voltage of the Resting Membrane Potential.** In addition to the slow waves and spike potentials, the baseline voltage level of the smooth muscle resting membrane potential can also change. Under normal conditions, the resting membrane potential averages about –56 millivolts, but multiple factors can change this level. When the potential becomes less negative, which is called *depolarization* of the membrane, the muscle fibers become more excitable. When the potential becomes more negative, which is called *hyperpolarization*, the fibers become less excitable.

Factors that depolarize the membrane—that is, make it more excitable—are (1) *stretching* of the muscle, (2) stimulation by *acetylcholine* released from the endings of *parasympathetic nerves*, and (3) stimulation by several *specific gastrointestinal hormones*.

Important factors that make the membrane potential more negative—that is, hyperpolarize the membrane and make the muscle fibers less excitable—are (1) the effect of *norepinephrine* or *epinephrine* on the fiber membrane and (2) stimulation of the sympathetic nerves that secrete mainly norepinephrine at their endings.

**Calcium lons and Muscle Contraction.** Smooth muscle contraction occurs in response to entry of calcium ions into the muscle fiber. As explained in Chapter 8, calcium ions, acting through a calmodulin control mechanism, activate the myosin filaments in the fiber, causing attractive forces to develop between the myosin filaments and the actin filaments, thereby causing the muscle to contract.

The slow waves do not cause calcium ions to enter the smooth muscle fiber (only sodium ions). Therefore, the slow waves by themselves usually cause no muscle contraction. Instead, it is during the spike potentials, generated at the peaks of the slow waves, that significant quantities of calcium ions do enter the fibers and cause most of the contraction.

**Tonic Contraction of Some Gastrointestinal Smooth Muscle.** Some smooth muscle of the gastrointestinal tract exhibits *tonic contraction*, as well as, or instead of, rhythmical contractions. Tonic contraction is continuous, not associated with the basic electrical rhythm of the slow waves but often lasting several minutes or even hours. The tonic contraction often increases or decreases in intensity but continues.

Tonic contraction is sometimes caused by continuous repetitive spike potentials—the greater the frequency, the greater the degree of contraction. At other times, tonic contraction is caused by hormones or other factors that bring about continuous partial depolarization of the smooth muscle membrane without causing action potentials. A third cause of tonic contraction is continuous entry of calcium ions into the interior of the cell brought about in ways not associated with changes in membrane potential. The details of these mechanisms are still unclear.

#### Neural Control of Gastrointestinal Function—Enteric Nervous System

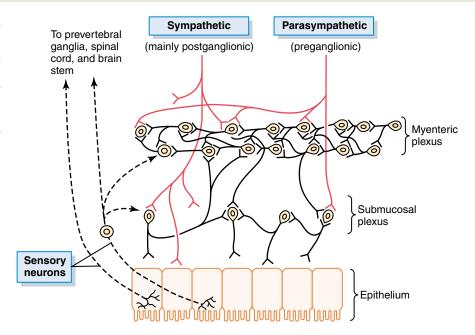
The gastrointestinal tract has a nervous system all its own called the *enteric nervous system*. It lies entirely in the wall of the gut, beginning in the esophagus and extending all the way to the anus. The number of neurons in this enteric system is about 100 million, almost exactly equal to the number in the entire spinal cord. This highly developed enteric nervous system is especially important in controlling gastrointestinal movements and secretion.

The enteric nervous system is composed mainly of two plexuses, shown in Figure 62-4: (1) an outer plexus lying between the longitudinal and circular muscle layers, called the *myenteric plexus* or *Auerbach's plexus*, and (2) an inner plexus, called the *submucosal plexus* or *Meissner's plexus*, that lies in the submucosa. The nervous connections within and between these two plexuses are also shown in Figure 62-4.

The myenteric plexus controls mainly the gastrointestinal movements, and the submucosal plexus controls mainly gastrointestinal secretion and local blood flow.

Note especially in Figure 62-4 the extrinsic sympathetic and parasympathetic fibers that connect to both the myenteric and submucosal plexuses. Although the enteric nervous system can function independently of these extrinsic nerves, stimulation by the parasympathetic and sympathetic systems can greatly enhance or inhibit gastrointestinal functions, as we discuss later.

Also shown in Figure 62-4 are sensory nerve endings that originate in the gastrointestinal epithelium or gut wall and send afferent fibers to both plexuses of the enteric system, as well as (1) to the prevertebral ganglia of the sympathetic nervous system, (2) to the spinal cord, and (3) in the vagus nerves all the way to the brain stem. These sensory nerves can elicit local reflexes within the gut wall itself and still other reflexes that are relayed to the gut from either the prevertebral ganglia or the basal regions of the brain. **Figure 62-4** Neural control of the gut wall, showing (1) the myenteric and submucosal plexuses (*black fibers*); (2) extrinsic control of these plexuses by the sympathetic and parasympathetic nervous systems (*red fibers*); and (3) sensory fibers passing from the luminal epithelium and gut wall to the enteric plexuses, then to the prevertebral ganglia of the spinal cord and directly to the spinal cord and brain stem (*dashed fibers*).



#### Differences Between the Myenteric and Submucosal Plexuses

The *myenteric plexus* consists mostly of a linear chain of many interconnecting neurons that extends the entire length of the gastrointestinal tract. A section of this chain is shown in Figure 62-4.

Because the myenteric plexus extends all the way along the intestinal wall and because it lies between the longitudinal and circular layers of intestinal smooth muscle, it is concerned mainly with controlling muscle activity along the length of the gut. When this plexus is stimulated, its principal effects are (1) increased tonic contraction, or "tone," of the gut wall; (2) increased intensity of the rhythmical contractions; (3) slightly increased rate of the rhythm of contraction; and (4) increased velocity of conduction of excitatory waves along the gut wall, causing more rapid movement of the gut peristaltic waves.

The *myenteric plexus* should not be considered entirely excitatory because some of its neurons are *inhibitory*; their fiber endings secrete an inhibitory transmitter, possibly *vasoactive intestinal polypeptide* or some other inhibitory peptide. The resulting inhibitory signals are especially useful for inhibiting some of the intestinal sphincter muscles that impede movement of food along successive segments of the gastrointestinal tract, such as the *pyloric sphincter*, which controls emptying of the stomach into the duodenum, and the *sphincter of the ileocecal valve*, which controls emptying from the small intestine into the cecum.

The *submucosal plexus*, in contrast to the myenteric plexus, is mainly concerned with controlling function within the inner wall of each minute segment of the intestine. For instance, many sensory signals originate from the gastrointestinal epithelium and are then integrated in the submucosal plexus to help control local *intestinal secretion*, local *absorption*, and local *contraction of the* 

*submucosal muscle* that causes various degrees of infolding of the gastrointestinal mucosa.

#### Types of Neurotransmitters Secreted by Enteric Neurons

In an attempt to understand better the multiple functions of the gastrointestinal enteric nervous system, research workers the world over have identified a dozen or more different neurotransmitter substances that are released by the nerve endings of different types of enteric neurons. Two of them with which we are already familiar are (1) *acetylcholine* and (2) *norepinephrine*. Others are (3) *adenosine triphosphate*, (4) *serotonin*, (5) *dopamine*, (6) *cholecystokinin*, (7) *substance P*, (8) *vasoactive intestinal polypeptide*, (9) *somatostatin*, (10) *leu-enkephalin*, (11) *met-enkephalin*, and (12) *bombesin*. The specific functions of many of these are not known well enough to justify discussion here, other than to point out the following.

Acetylcholine most often excites gastrointestinal activity. Norepinephrine almost always inhibits gastrointestinal activity. This is also true of *epinephrine*, which reaches the gastrointestinal tract mainly by way of the blood after it is secreted by the adrenal medullae into the circulation. The other aforementioned transmitter substances are a mixture of excitatory and inhibitory agents, some of which we discuss in the following chapter.

#### Autonomic Control of the Gastrointestinal Tract

**Parasympathetic Stimulation Increases Activity of the Enteric Nervous System.** The parasympathetic supply to the gut is divided into *cranial* and *sacral divisions*, which were discussed in Chapter 60.

Except for a few parasympathetic fibers to the mouth and pharyngeal regions of the alimentary tract, the *cranial parasympathetic* nerve fibers are almost entirely in the *vagus nerves.* These fibers provide extensive innervation to the esophagus, stomach, and pancreas and somewhat less to the intestines down through the first half of the large intestine.

The *sacral parasympathetics* originate in the second, third, and fourth sacral segments of the spinal cord and pass through the *pelvic nerves* to the distal half of the large intestine and all the way to the anus. The sigmoidal, rectal, and anal regions are considerably better supplied with parasympathetic fibers than are the other intestinal areas. These fibers function especially to execute the defecation reflexes, discussed in Chapter 63.

The *postganglionic neurons* of the gastrointestinal parasympathetic system are located mainly in the myenteric and submucosal plexuses. Stimulation of these parasympathetic nerves causes general increase in activity of the entire enteric nervous system. This in turn enhances activity of most gastrointestinal functions.

Sympathetic Stimulation Usually Inhibits Gastrointestinal Tract Activity. The sympathetic fibers to the gastrointestinal tract originate in the spinal cord between segments T5 and L2. Most of the preganglionic fibers that innervate the gut, after leaving the cord, enter the sympathetic chains that lie lateral to the spinal column, and many of these fibers then pass on through the chains to outlying ganglia such as to the *celiac ganglion* and various mesenteric ganglia. Most of the postganglionic sympathetic neuron bodies are in these ganglia, and postganglionic fibers then spread through postganglionic sympathetic nerves to all parts of the gut. The sympathetics innervate essentially all of the gastrointestinal tract, rather than being more extensive nearest the oral cavity and anus, as is true of the parasympathetics. The sympathetic nerve endings secrete mainly norepinephrine but also small amounts of epinephrine.

In general, stimulation of the sympathetic nervous system *inhibits* activity of the gastrointestinal tract, causing many effects opposite to those of the parasympathetic system. It exerts its effects in two ways: (1) to a slight extent by direct effect of secreted norepinephrine to inhibit intestinal tract smooth muscle (except the mucosal muscle, which it excites) and (2) to a major extent by an inhibitory effect of norepinephrine on the neurons of the entire enteric nervous system.

Strong stimulation of the sympathetic system can inhibit motor movements of the gut so greatly that this can literally block movement of food through the gastrointestinal tract.

#### Afferent Sensory Nerve Fibers from the Gut

Many afferent sensory nerve fibers innervate the gut. Some of them have their cell bodies in the enteric nervous system itself and some in the dorsal root ganglia of the spinal cord. These sensory nerves can be stimulated by (1) irritation of the gut mucosa, (2) excessive distention of the gut, or (3) presence of specific chemical substances in the gut. Signals transmitted through the fibers can then cause *excitation* or, under other conditions, *inhibition* of intestinal movements or intestinal secretion.

In addition, other sensory signals from the gut go all the way to multiple areas of the spinal cord and even the brain stem. For example, 80 percent of the nerve fibers in the vagus nerves are afferent rather than efferent. These afferent fibers transmit sensory signals from the gastrointestinal tract into the brain medulla, which in turn initiates vagal reflex signals that return to the gastrointestinal tract to control many of its functions.

#### **Gastrointestinal Reflexes**

The anatomical arrangement of the enteric nervous system and its connections with the sympathetic and parasympathetic systems support three types of gastrointestinal reflexes that are essential to gastrointestinal control. They are the following:

- **1.** *Reflexes that are integrated entirely within the gut wall enteric nervous system.* These include reflexes that control much gastrointestinal secretion, peristalsis, mixing contractions, local inhibitory effects, and so forth.
- 2. Reflexes from the gut to the prevertebral sympathetic ganglia and then back to the gastrointestinal tract. These reflexes transmit signals long distances to other areas of the gastrointestinal tract, such as signals from the stomach to cause evacuation of the colon (the gastrocolic reflex), signals from the colon and small intestine to inhibit stomach motility and stomach secretion (the enterogastric reflexes), and reflexes from the colon to inhibit emptying of ileal contents into the colon (the colon (the colon)).
- **3.** *Reflexes from the gut to the spinal cord or brain stem and then back to the gastrointestinal tract.* These include especially (1) reflexes from the stomach and duodenum to the brain stem and back to the stomach—by way of the vagus nerves—to control gastric motor and secretory activity; (2) pain reflexes that cause general inhibition of the entire gastrointestinal tract; and (3) defecation reflexes that travel from the colon and rectum to the spinal cord and back again to produce the powerful colonic, rectal, and abdominal contractions required for defecation (the defecation reflexes).

#### Hormonal Control of Gastrointestinal Motility

The gastrointestinal hormones are released into the portal circulation and exert physiological actions on target cells with specific receptors for the hormone. The effects of the hormones persist even after all nervous connections between the site of release and the site of action have been severed. Table 62-1 outlines the actions of each gastrointestinal hormone, as well as the stimuli for secretion and sites at which secretion takes place.

In Chapter 64, we discuss the extreme importance of several hormones for controlling gastrointestinal secretion. Most of these same hormones also affect motility in some parts of the gastrointestinal tract. Although the

Hormone	Stimuli for Secretion	Site of Secretion	Actions
Gastrin	Protein Distention Nerve (Acid inhibits release)	G cells of the antrum, duodenum, and jejunum	Stimulates Gastric acid secretion Mucosal growth
Cholecystokinin	Protein Fat Acid	I cells of the duodenum, jejunum, and ileum	Stimulates Pancreatic enzyme secretion Pancreatic bicarbonate secretion Gallbladder contraction Growth of exocrine pancreas Inhibits Gastric emptying
Secretin	Acid Fat	S cells of the duodenum, jejunum, and ileum	Stimulates Pepsin secretion Pancreatic bicarbonate secretion Biliary bicarbonate secretion Growth of exocrine pancreas Inhibits Gastric acid secretion
Gastric inhibitory peptide	Protein Fat Carbohydrate	K cells of the duodenum and jejunum	Stimulates Insulin release Inhibits Gastric acid secretion
Motilin	Fat Acid Nerve	M cells of the duodenum and jejunum	Stimulates Gastric motility Intestinal motility

Table 62-1 Gastrointestinal Hormone Actions, Stimuli for Secretion, and Site of Secretion

motility effects are usually less important than the secretory effects of the hormones, some of the more important of them are the following.

*Gastrin* is secreted by the "G" cells of the *antrum of the stomach* in response to stimuli associated with ingestion of a meal, such as distention of the stomach, the products of proteins, and *gastrin releasing peptide*, which is released by the nerves of the gastric mucosa during vagal stimulation. The primary actions of gastrin are (1) *stimulation of gastric acid secretion* and (2) *stimulation of growth of the gastric mucosa*.

Cholecystokinin (CCK) is secreted by "I" cells in the mucosa of the duodenum and jejunum mainly in response to digestive products of fat, fatty acids, and monoglycerides in the intestinal contents. This hormone strongly contracts the gallbladder, expelling bile into the small intestine, where the bile in turn plays important roles in emulsifying fatty substances, and allowing them to be digested and absorbed. CCK also inhibits stomach contraction moderately. Therefore, at the same time that this hormone causes emptying of the gallbladder, it also slows the emptying of food from the stomach to give adequate time for digestion of the fats in the upper intestinal tract. CCK also inhibits appetite to prevent overeating during meals by stimulating sensory afferent nerve fibers in the duodenum; these fibers, in turn, send signals by way of the vagus nerve

to inhibit feeding centers in the brain as discussed in Chapter 71.

*Secretin* was the first gastrointestinal hormone discovered and is secreted by the "S" cells in the *mucosa of the duodenum* in response to acidic gastric juice emptying into the duodenum from the pylorus of the stomach. Secretin has a mild effect on motility of the gastrointestinal tract and acts to promote pancreatic secretion of bicarbonate, which in turn helps to neutralize the acid in the small intestine.

Gastric inhibitory peptide (GIP) is secreted by the *mucosa of the upper small intestine*, mainly in response to fatty acids and amino acids but to a lesser extent in response to carbohydrate. It has a mild effect in decreasing motor activity of the stomach and therefore slows emptying of gastric contents into the duodenum when the upper small intestine is already overloaded with food products. GIP, at blood levels even lower than those needed to inhibit gastric motility, also stimulates insulin secretion and for this reason is also known as *glucose-dependent insulinotropic peptide*.

*Motilin* is secreted by the stomach and *upper duodenum* during fasting, and the only known function of this hormone is to *increase gastrointestinal motility*. Motilin is released cyclically and stimulates waves of gastrointestinal motility called *interdigestive myoelectric complexes* that move through the stomach and small intestine every 90 minutes in a fasted person. Motilin secretion is inhibited after ingestion by mechanisms that are not fully understood.

#### Functional Types of Movements in the Gastrointestinal Tract

Two types of movements occur in the gastrointestinal tract: (1) *propulsive movements*, which cause food to move forward along the tract at an appropriate rate to accommodate digestion and absorption, and (2) *mixing movements*, which keep the intestinal contents thoroughly mixed at all times.

#### **Propulsive Movements—Peristalsis**

The basic propulsive movement of the gastrointestinal tract is *peristalsis*, which is illustrated in Figure 62-5. A contractile ring appears around the gut and then moves forward; this is analogous to putting one's fingers around a thin distended tube, then constricting the fingers and sliding them forward along the tube. Any material in front of the contractile ring is moved forward.

Peristalsis is an inherent property of many syncytial smooth muscle tubes; stimulation at any point in the gut can cause a contractile ring to appear in the circular muscle, and this ring then spreads along the gut tube. (Peristalsis also occurs in the bile ducts, glandular ducts, ureters, and many other smooth muscle tubes of the body.)

The usual stimulus for intestinal peristalsis is *distention of the gut.* That is, if a large amount of food collects at any point in the gut, the stretching of the gut wall stimulates the enteric nervous system to contract the gut wall 2 to 3 centimeters behind this point, and a contractile ring appears that initiates a peristaltic movement. Other stimuli that can initiate peristalsis include chemical or physical irritation of the epithelial lining in the gut. Also, strong parasympathetic nervous signals to the gut will elicit strong peristalsis.

Function of the Myenteric Plexus in Peristalsis. Peristalsis occurs only weakly or not at all in any portion of the gastrointestinal tract that has congenital absence of the myenteric plexus. Also, it is greatly depressed or

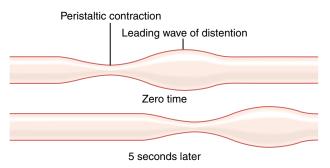


Figure 62-5 Peristalsis.

completely blocked in the entire gut when a person is treated with atropine to paralyze the cholinergic nerve endings of the myenteric plexus. Therefore, *effectual* peristalsis requires an active myenteric plexus.

Directional Movement of Peristaltic Waves Toward the Anus. Peristalsis, theoretically, can occur in either direction from a stimulated point, but it normally dies out rapidly in the orad (toward the mouth) direction while continuing for a considerable distance toward the anus. The exact cause of this directional transmission of peristalsis has never been ascertained, although it probably results mainly from the fact that the myenteric plexus itself is "polarized" in the anal direction, which can be explained as follows.

**Peristaltic Reflex and the "Law of the Gut".** When a segment of the intestinal tract is excited by distention and thereby initiates peristalsis, the contractile ring causing the peristalsis normally begins on the orad side of the distended segment and moves toward the distended segment, pushing the intestinal contents in the anal direction for 5 to 10 centimeters before dying out. At the same time, the gut sometimes relaxes several centimeters downstream toward the anus, which is called "receptive relaxation," thus allowing the food to be propelled more easily toward the anus than toward the mouth.

This complex pattern does not occur in the absence of the myenteric plexus. Therefore, the complex is called the *myenteric reflex* or the *peristaltic reflex*. The peristaltic reflex plus the anal direction of movement of the peristalsis is called the "law of the gut."

#### **Mixing Movements**

Mixing movements differ in different parts of the alimentary tract. In some areas, the peristaltic contractions themselves cause most of the mixing. This is especially true when forward progression of the intestinal contents is blocked by a sphincter so that a peristaltic wave can then only churn the intestinal contents, rather than propelling them forward. At other times, local intermittent constrictive contractions occur every few centimeters in the gut wall. These constrictions usually last only 5 to 30 seconds; then new constrictions occur at other points in the gut, thus "chopping" and "shearing" the contents first here and then there. These peristaltic and constrictive movements are modified in different parts of the gastrointestinal tract for proper propulsion and mixing, as discussed for each portion of the tract in Chapter 63.

## Gastrointestinal Blood Flow—"Splanchnic Circulation"

The blood vessels of the gastrointestinal system are part of a more extensive system called the *splanchnic circulation*, shown in Figure 62-6. It includes the blood flow

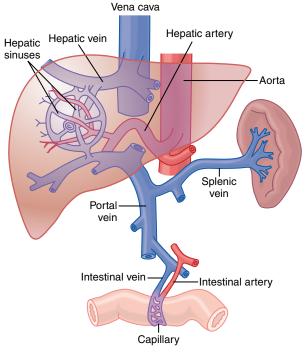


Figure 62-6 Splanchnic circulation.

through the gut itself plus blood flows through the spleen, pancreas, and liver. The design of this system is such that all the blood that courses through the gut, spleen, and pancreas then flows immediately into the liver by way of the *portal vein*. In the liver, the blood passes through millions of minute *liver sinusoids* and finally leaves the liver by way of *hepatic veins* that empty into the vena cava of the general circulation. This flow of blood through the liver, before it empties into the vena cava, allows the *retic-uloendothelial cells* that line the liver sinusoids to remove bacteria and other particulate matter that might enter the blood from the gastrointestinal tract, thus preventing direct transport of potentially harmful agents into the remainder of the body.

The *nonfat*, *water-soluble nutrients* absorbed from the gut (such as carbohydrates and proteins) are transported in the portal venous blood to the same liver sinusoids. Here, both the reticuloendothelial cells and the principal parenchymal cells of the liver, the *hepatic cells*, absorb and store temporarily from one half to three quarters of the nutrients. Also, much chemical intermediary processing of these nutrients occurs in the liver cells. We discuss these nutritional functions of the liver in Chapters 67 through 71. Almost all of the *fats* absorbed from the intestinal tract *are not carried in the portal blood* but instead are absorbed into the intestinal lymphatics and then conducted to the systemic circulating blood by way of the *thoracic duct*, bypassing the liver.

#### Anatomy of the Gastrointestinal Blood Supply

Figure 62-7 shows the general plan of the arterial blood supply to the gut, including the superior mesenteric and inferior mesenteric arteries supplying the walls of the

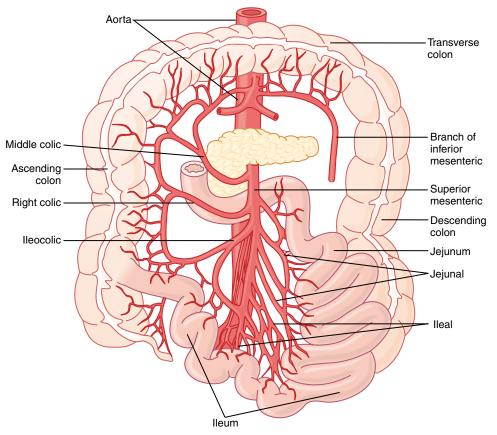


Figure 62-7 Arterial blood supply to the intestines through the mesenteric web.

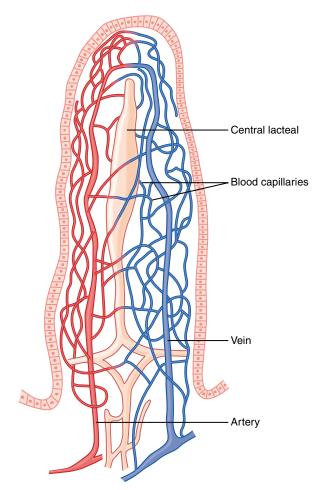
small and large intestines by way of an arching arterial system. Not shown in the figure is the celiac artery, which provides a similar blood supply to the stomach.

On entering the wall of the gut, the arteries branch and send smaller arteries circling in both directions around the gut, with the tips of these arteries meeting on the side of the gut wall opposite the mesenteric attachment. From the circling arteries, still much smaller arteries penetrate into the intestinal wall and spread (1) along the muscle bundles, (2) into the intestinal villi, and (3) into submucosal vessels beneath the epithelium to serve the secretory and absorptive functions of the gut.

Figure 62-8 shows the special organization of the blood flow through an intestinal villus, including a small arteriole and venule that interconnect with a system of multiple looping capillaries. The walls of the arterioles are highly muscular and are highly active in controlling villus blood flow.

## Effect of Gut Activity and Metabolic Factors on Gastrointestinal Blood Flow

Under normal conditions, the blood flow in each area of the gastrointestinal tract, as well as in each layer of the gut wall, is directly related to the level of local activity. For instance, during active absorption of nutrients, blood



**Figure 62-8** Microvasculature of the villus, showing a countercurrent arrangement of blood flow in the arterioles and venules.

flow in the villi and adjacent regions of the submucosa is increased as much as eightfold. Likewise, blood flow in the muscle layers of the intestinal wall increases with increased motor activity in the gut. For instance, after a meal, the motor activity, secretory activity, and absorptive activity all increase; likewise, the blood flow increases greatly but then decreases back to the resting level over another 2 to 4 hours.

Possible Causes of the Increased Blood Flow During Gastrointestinal Activity. Although the precise causes of the increased blood flow during increased gastrointestinal activity are still unclear, some facts are known.

First, several vasodilator substances are released from the mucosa of the intestinal tract during the digestive process. Most of these are peptide hormones, including *cholecystokinin, vasoactive intestinal peptide, gastrin,* and *secretin.* These same hormones control specific motor and secretory activities of the gut, as discussed in Chapters 63 and 64.

Second, some of the gastrointestinal glands also release into the gut wall two kinins, *kallidin* and *bradykinin*, at the same time that they secrete other substances into the lumen. These kinins are powerful vasodilators that are believed to cause much of the increased mucosal vasodilation that occurs along with secretion.

Third, *decreased oxygen concentration* in the gut wall can increase intestinal blood flow at least 50 to 100 percent; therefore, the increased mucosal and gut wall metabolic rate during gut activity probably lowers the oxygen concentration enough to cause much of the vasodilation. The decrease in oxygen can also lead to as much as a fourfold increase of *adenosine*, a well-known vasodilator that could be responsible for much of the increased flow.

Thus, the increased blood flow during increased gastrointestinal activity is probably a combination of many of the aforementioned factors plus still others yet undiscovered.

"Countercurrent" Blood Flow in the Villi. Note in Figure 62-8 that the arterial flow into the villus and the venous flow out of the villus are in directions opposite to each other, and that the vessels lie in close apposition to each other. Because of this vascular arrangement, much of the blood oxygen diffuses out of the arterioles directly into the adjacent venules without ever being carried in the blood to the tips of the villi. As much as 80 percent of the oxygen may take this shortcircuit route and therefore not be available for local metabolic functions of the villi. The reader will recognize that this type of countercurrent mechanism in the villi is analogous to the countercurrent mechanism in the vasa recta of the kidney medulla, discussed in detail in Chapter 28.

Under normal conditions, this shunting of oxygen from the arterioles to the venules is not harmful to the villi, but in disease conditions in which blood flow to the gut becomes greatly curtailed, such as in circulatory shock, the oxygen deficit in the tips of the villi can become so great that the villus tip or even the whole villus suffers ischemic death and can disintegrate. Therefore, for this reason and others, in many gastrointestinal diseases the villi become seriously blunted, leading to greatly diminished intestinal absorptive capacity.

#### Nervous Control of Gastrointestinal Blood Flow

Stimulation of the parasympathetic nerves going to the *stomach* and *lower colon* increases local blood flow at the same time that it increases glandular secretion. This increased flow probably results secondarily from the increased glandular activity and not as a direct effect of the nervous stimulation.

Sympathetic stimulation, by contrast, has a direct effect on essentially all the gastrointestinal tract to cause intense vasoconstriction of the arterioles with greatly decreased blood flow. After a few minutes of this vasoconstriction, the flow often returns to near normal by means of a mechanism called "autoregulatory escape." That is, the local metabolic vasodilator mechanisms that are elicited by ischemia override the sympathetic vasoconstriction, returning toward normal the necessary nutrient blood flow to the gastrointestinal glands and muscle.

Importance of Nervous Depression of Gastrointestinal Blood Flow When Other Parts of the Body Need Extra Blood Flow. A major value of sympathetic vasoconstriction in the gut is that it allows shutoff of gastrointestinal and other splanchnic blood flow for short periods of time during heavy exercise, when the skeletal muscle and heart need increased flow. Also, in circulatory shock, when all the body's vital tissues are in danger of cellular death for lack of blood flow—especially the brain and the heart—sympathetic stimulation can decrease splanchnic blood flow to very little for many hours.

Sympathetic stimulation also causes strong vasoconstriction of the large-volume *intestinal* and *mesenteric veins*. This decreases the volume of these veins, thereby displacing large amounts of blood into other parts of the circulation. In hemorrhagic shock or other states of low blood volume, this mechanism can provide as much as 200 to 400 milliliters of extra blood to sustain the general circulation.

#### Bibliography

- Adelson DW, Million M: Tracking the moveable feast: sonomicrometry and gastrointestinal motility, *News Physiol Sci* 19:27, 2004.
- Daniel EE: Physiology and pathophysiology of the interstitial cell of Cajal: from bench to bedside. III. Interaction of interstitial cells of Cajal with neuromediators: an interim assessment, *Am J Physiol Gastrointest Liver Physiol* 281:G1329, 2001.
- Grundy D, Al-Chaer ED, Aziz Q, et al: Fundamentals of neurogastroenterology: basic science, *Gastroenterology* 130:1391, 2006.
- Hobson AR, Aziz Q: Central nervous system processing of human visceral pain in health and disease, *News Physiol Sci* 18:109, 2003.
- Holst JJ: The physiology of glucagon-like peptide 1, *Physiol Rev* 87:1409, 2009.
- Huizinga JD: Physiology and pathophysiology of the interstitial cell of Cajal: from bench to bedside. II. Gastric motility: lessons from mutant mice on slow waves and innervation, *Am J Physiol Gastrointest Liver Physiol* 281:G1129, 2001.
- Huizinga JD, Lammers WJ: Gut peristalsis is governed by a multitude of cooperating mechanisms, *Am J Physiol Gastrointest Liver Physiol* 296:G1, 2009.
- Jeays AD, Lawford PV, Gillott R, et al: A framework for the modeling of gut blood flow regulation and postprandial hyperaemia, World J Gastroenterol 13:1393, 2007.
- Johnson LR: Gastrointestinal Physiology, ed 3, St. Louis, 2001, Mosby.
- Kim W, Egan JM: The role of incretins in glucose homeostasis and diabetes treatment, *Pharmacol Rev* 60:470, 2009.
- Kolkman JJ, Bargeman M, Huisman AB, Geelkerken RH: Diagnosis and management of splanchnic ischemia, *World J Gastroenterol* 14:7309, 2008.
- Lammers WJ, Slack JR: Of slow waves and spike patches, *News Physiol Sci* 16:138, 2001.
- Moran TH, Dailey MJ: Minireview: Gut peptides: targets for antiobesity drug development? *Endocrinology* 150:2526, 2009.
- Nauck MA: Unraveling the science of incretin biology, *Am J Med* 122(Suppl 6):S3, 2009.
- Powley TL, Phillips RJ: Musings on the wanderer: what's new in our understanding of vago-vagal reflexes? I. Morphology and topography of vagal afferents innervating the GI tract, Am J Physiol Gastrointest Liver Physiol 283:G1217, 2002.
- Phillips RJ, Powley TL: Innervation of the gastrointestinal tract: patterns of aging, Auton Neurosci 136:1, 2007.
- Sanders KM, Ordog T, Ward SM: Physiology and pathophysiology of the interstitial cells of Cajal: from bench to bedside. IV. Genetic and animal models of GI motility disorders caused by loss of interstitial cells of Cajal, *Am J Physiol Gastrointest Liver Physiol* 282:G747, 2002.
- Schubert ML, Peura DA: Control of gastric acid secretion in health and disease, *Gastroenterology* 134:1842, 2008.
- Vanden Berghe P, Tack J, Boesmans W: Highlighting synaptic communication in the enteric nervous system, *Gastroenterology* 135:20, 2008.

## CHAPTER 63

# Propulsion and Mixing of Food in the Alimentary Tract



The time that food remains in each part of the alimentary tract is critical for optimal processing and absorption of nutrients. Also, appropriate mixing must be provided. Because the requirements

for mixing and propulsion are quite different at each stage of processing, multiple automatic nervous and hormonal mechanisms control the timing of each of these so that they will occur optimally, not too rapidly, not too slowly.

The purpose of this chapter is to discuss these movements, especially the automatic mechanisms of this control.

#### **Ingestion of Food**

The amount of food that a person ingests is determined principally by intrinsic desire for food called *hunger*. The type of food that a person preferentially seeks is determined by *appetite*. These mechanisms are extremely important for maintaining an adequate nutritional supply for the body and are discussed in Chapter 71 in relation to nutrition of the body. The current discussion of food ingestion is confined to the mechanics of ingestion, especially *mastication* and *swallowing*.

#### Mastication (Chewing)

The teeth are admirably designed for chewing. The anterior teeth (incisors) provide a strong cutting action and the posterior teeth (molars) a grinding action. All the jaw muscles working together can close the teeth with a force as great as 55 pounds on the incisors and 200 pounds on the molars.

Most of the muscles of chewing are innervated by the motor branch of the fifth cranial nerve, and the chewing process is controlled by nuclei in the brain stem. Stimulation of specific reticular areas in the brain stem taste centers will cause rhythmical chewing movements. Also, stimulation of areas in the hypothalamus, amygdala, and even the cerebral cortex near the sensory areas for taste and smell can often cause chewing. Much of the chewing process is caused by a *chewing reflex.* The presence of a bolus of food in the mouth at first initiates reflex inhibition of the muscles of mastication, which allows the lower jaw to drop. The drop in turn initiates a stretch reflex of the jaw muscles that leads to *rebound* contraction. This automatically raises the jaw to cause closure of the teeth, but it also compresses the bolus again against the linings of the mouth, which inhibits the jaw muscles once again, allowing the jaw to drop and rebound another time; this is repeated again and again.

Chewing is important for digestion of all foods, but especially important for most fruits and raw vegetables because these have indigestible cellulose membranes around their nutrient portions that must be broken before the food can be digested. Also, chewing aids the digestion of food for still another simple reason: *Digestive enzymes act only on the surfaces of food particles*; therefore, the rate of digestion is absolutely dependent on the total surface area exposed to the digestive secretions. In addition, grinding the food to a very fine particulate consistency prevents excoriation of the gastrointestinal tract and increases the ease with which food is emptied from the stomach into the small intestine, then into all succeeding segments of the gut.

#### Swallowing (Deglutition)

Swallowing is a complicated mechanism, principally because the pharynx subserves respiration and swallowing. The pharynx is converted for only a few seconds at a time into a tract for propulsion of food. It is especially important that respiration not be compromised because of swallowing.

In general, swallowing can be divided into (1) a *voluntary stage*, which initiates the swallowing process; (2) a *pharyngeal stage*, which is involuntary and constitutes passage of food through the pharynx into the esophagus; and (3) an *esophageal stage*, another involuntary phase that transports food from the pharynx to the stomach.

Voluntary Stage of Swallowing. When the food is ready for swallowing, it is "voluntarily" squeezed or rolled posteriorly into the pharynx by pressure of the tongue upward and backward against the palate, as shown in

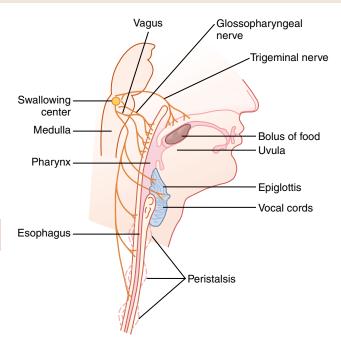


Figure 63-1 Swallowing mechanism.

Figure 63-1. From here on, swallowing becomes entirely or almost entirely—automatic and ordinarily cannot be stopped.

**Pharyngeal Stage of Swallowing.** As the bolus of food enters the posterior mouth and pharynx, it stimulates *epithelial swallowing receptor areas* all around the opening of the pharynx, especially on the tonsillar pillars, and impulses from these pass to the brain stem to initiate a series of automatic pharyngeal muscle contractions as follows:

- **1.** The soft palate is pulled upward to close the posterior nares, to prevent reflux of food into the nasal cavities.
- **2.** The palatopharyngeal folds on each side of the pharynx are pulled medially to approximate each other. In this way, these folds form a sagittal slit through which the food must pass into the posterior pharynx. This slit performs a selective action, allowing food that has been masticated sufficiently to pass with ease. Because this stage of swallowing lasts less than 1 second, any large object is usually impeded too much to pass into the esophagus.
- **3.** The vocal cords of the larynx are strongly approximated, and the larynx is pulled upward and anteriorly by the neck muscles. These actions, combined with the presence of ligaments that prevent upward movement of the epiglottis, cause the epiglottis to swing backward over the opening of the larynx. All these effects acting together prevent passage of food into the nose and trachea. Most essential is the tight approximation of the vocal cords, but the epiglottis helps to prevent food from ever getting as far as the vocal cords. Destruction of the vocal cords or of the muscles that approximate them can cause strangulation.

- **4.** The upward movement of the larynx also pulls up and enlarges the opening to the esophagus. At the same time, the upper 3 to 4 centimeters of the esophageal muscular wall, called the *upper esophageal sphincter* (also called the *pharyngoesophageal sphincter*), relaxes. Thus, food moves easily and freely from the posterior pharynx into the upper esophagus. Between swallows, this sphincter remains strongly contracted, thereby preventing air from going into the esophagus during respiration. The upward movement of the larynx also lifts the glottis out of the main stream of food flow, so the food mainly passes on each side of the epiglottis rather than over its surface; this adds still another protection against entry of food into the trachea.
- **5.** Once the larynx is raised and the pharyngoesophageal sphincter becomes relaxed, the entire muscular wall of the pharynx contracts, beginning in the superior part of the pharynx, then spreading downward over the middle and inferior pharyngeal areas, which propels the food by peristalsis into the esophagus.

To summarize the mechanics of the pharyngeal stage of swallowing: The trachea is closed, the esophagus is opened, and a fast peristaltic wave initiated by the nervous system of the pharynx forces the bolus of food into the upper esophagus, the entire process occurring in less than 2 seconds.

Nervous Initiation of the Pharyngeal Stage of Swallowing. The most sensitive tactile areas of the posterior mouth and pharynx for initiating the pharyngeal stage of swallowing lie in a ring around the pharyngeal opening, with greatest sensitivity on the tonsillar pillars. Impulses are transmitted from these areas through the sensory portions of the trigeminal and glossopharyngeal nerves into the medulla oblongata, either into or closely associated with the *tractus solitarius*, which receives essentially all sensory impulses from the mouth.

The successive stages of the swallowing process are then automatically initiated in orderly sequence by neuronal areas of the reticular substance of the medulla and lower portion of the pons. The sequence of the swallowing reflex is the same from one swallow to the next, and the timing of the entire cycle also remains constant from one swallow to the next. The areas in the medulla and lower pons that control swallowing are collectively called the *deglutition* or *swallowing center*.

The motor impulses from the swallowing center to the pharynx and upper esophagus that cause swallowing are transmitted successively by the fifth, ninth, tenth, and twelfth cranial nerves and even a few of the superior cervical nerves.

In summary, the pharyngeal stage of swallowing is principally a reflex act. It is almost always initiated by voluntary movement of food into the back of the mouth, which in turn excites involuntary pharyngeal sensory receptors to elicit the swallowing reflex. Effect of the Pharyngeal Stage of Swallowing on Respiration. The entire pharyngeal stage of swallowing usually occurs in less than 6 seconds, thereby interrupting respiration for only a fraction of a usual respiratory cycle. The swallowing center specifically inhibits the respiratory center of the medulla during this time, halting respiration at any point in its cycle to allow swallowing to proceed. Yet even while a person is talking, swallowing interrupts respiration for such a short time that it is hardly noticeable.

**Esophageal Stage of Swallowing.** The esophagus functions primarily to conduct food rapidly from the pharynx to the stomach, and its movements are organized specifically for this function.

The esophagus normally exhibits two types of peristaltic movements: *primary peristalsis* and *secondary peristalsis*. Primary peristalsis is simply continuation of the peristaltic wave that begins in the pharynx and spreads into the esophagus during the pharyngeal stage of swallowing. This wave passes all the way from the pharynx to the stomach in about 8 to 10 seconds. Food swallowed by a person who is in the upright position is usually transmitted to the lower end of the esophagus even more rapidly than the peristaltic wave itself, in about 5 to 8 seconds, because of the additional effect of gravity pulling the food downward.

If the primary peristaltic wave fails to move into the stomach all the food that has entered the esophagus, *secondary peristaltic waves* result from distention of the esophagus itself by the retained food; these waves continue until all the food has emptied into the stomach. The secondary peristaltic waves are initiated partly by intrinsic neural circuits in the myenteric nervous system and partly by reflexes that begin in the pharynx and are then transmitted upward through *vagal afferent fibers* to the medulla and back again to the esophagus through *glossopharyngeal* and *vagal efferent nerve fibers*.

The musculature of the pharyngeal wall and upper third of the esophagus is *striated muscle*. Therefore, the peristaltic waves in these regions are controlled by skeletal nerve impulses from the glossopharyngeal and vagus nerves. In the lower two thirds of the esophagus, the musculature is smooth muscle, but this portion of the esophagus is also strongly controlled by the vagus nerves acting through connections with the esophageal myenteric nervous system. When the vagus nerves to the esophagus are cut, the myenteric nerve plexus of the esophagus becomes excitable enough after several days to cause strong secondary peristaltic waves even without support from the vagal reflexes. Therefore, even after paralysis of the brain stem swallowing reflex, food fed by tube or in some other way into the esophagus still passes readily into the stomach.

**Receptive Relaxation of the Stomach.** When the esophageal peristaltic wave approaches toward the stom-

ach, a wave of relaxation, transmitted through myenteric inhibitory neurons, precedes the peristalsis. Furthermore, the entire stomach and, to a lesser extent, even the duodenum become relaxed as this wave reaches the lower end of the esophagus and thus are prepared ahead of time to receive the food propelled into the esophagus during the swallowing act.

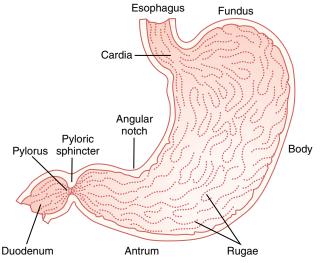
Function of the Lower Esophageal Sphincter (Gastroesophageal Sphincter). At the lower end of the esophagus, extending upward about 3 centimeters above its juncture with the stomach, the esophageal circular muscle functions as a broad lower esophageal sphincter, also called the gastroesophageal sphincter. This sphincter normally remains tonically constricted with an intraluminal pressure at this point in the esophagus of about 30 mm Hg, in contrast to the midportion of the esophagus, which normally remains relaxed. When a peristaltic swallowing wave passes down the esophagus, there is "receptive relaxation" of the lower esophageal sphincter ahead of the peristaltic wave, which allows easy propulsion of the swallowed food into the stomach. Rarely, the sphincter does not relax satisfactorily, resulting in a condition called achalasia. This is discussed in Chapter 66.

The stomach secretions are highly acidic and contain many proteolytic enzymes. The esophageal mucosa, except in the lower one eighth of the esophagus, is not capable of resisting for long the digestive action of gastric secretions. Fortunately, the tonic constriction of the lower esophageal sphincter helps to prevent significant reflux of stomach contents into the esophagus except under abnormal conditions.

Additional Prevention of Esophageal Reflux by Valvelike Closure of the Distal End of the Esophagus. Another factor that helps to prevent reflux is a valvelike mechanism of a short portion of the esophagus that extends slightly into the stomach. Increased intra-abdominal pressure caves the esophagus inward at this point. Thus, this valvelike closure of the lower esophagus helps to prevent high intra-abdominal pressure from forcing stomach contents backward into the esophagus. Otherwise, every time we walked, coughed, or breathed hard, we might expel stomach acid into the esophagus.

#### Motor Functions of the Stomach

The motor functions of the stomach are threefold: (1) storage of large quantities of food until the food can be processed in the stomach, duodenum, and lower intestinal tract; (2) mixing of this food with gastric secretions until it forms a semifluid mixture called *chyme*; and (3) slow emptying of the chyme from the stomach into the small intestine at a rate suitable for proper digestion and absorption by the small intestine.



**Figure 63-2** Physiologic anatomy of the stomach.

Figure 63-2 shows the basic anatomy of the stomach. Anatomically, the stomach is usually divided into two major parts: (1) the *body* and (2) the *antrum*. Physiologically, it is more appropriately divided into (1) the "*orad*" portion, comprising about the first two thirds of the body, and (2) the "*caudad*" portion, comprising the remainder of the body plus the antrum.

#### Storage Function of the Stomach

As food enters the stomach, it forms concentric circles of the food in the orad portion of the stomach, the newest food lying closest to the esophageal opening and the oldest food lying nearest the outer wall of the stomach. Normally, when food stretches the stomach, a "vagovagal reflex" from the stomach to the brain stem and then back to the stomach reduces the tone in the muscular wall of the body of the stomach so that the wall bulges progressively outward, accommodating greater and greater quantities of food up to a limit in the completely relaxed stomach of 0.8 to 1.5 liters. The pressure in the stomach remains low until this limit is approached.

#### Mixing and Propulsion of Food in the Stomach— Basic Electrical Rhythm of the Stomach Wall

The digestive juices of the stomach are secreted by *gas*tric glands, which are present in almost the entire wall of the body of the stomach except along a narrow strip on the lesser curvature of the stomach. These secretions come immediately into contact with that portion of the stored food lying against the mucosal surface of the stomach. As long as food is in the stomach, weak peristaltic *constrictor waves*, called *mixing waves*, begin in the mid to upper portions of the stomach wall and move toward the antrum about once every 15 to 20 seconds. These waves are initiated by the gut wall *basic electrical rhythm*, which was discussed in Chapter 62, consisting of electrical "slow waves" that occur spontaneously in the stomach wall. As the constrictor waves progress from the body of the stomach into the antrum, they become more intense, some becoming extremely intense and providing powerful *peristaltic action potential*-driven constrictor rings that force the antral contents under higher and higher pressure toward the pylorus.

These constrictor rings also play an important role in mixing the stomach contents in the following way: Each time a peristaltic wave passes down the antral wall toward the pylorus, it digs deeply into the food contents in the antrum. Yet the opening of the pylorus is still small enough that only a few milliliters or less of antral contents are expelled into the duodenum with each peristaltic wave. Also, as each peristaltic wave approaches the pylorus, the pyloric muscle itself often contracts, which further impedes emptying through the pylorus. Therefore, most of the antral contents are squeezed upstream through the peristaltic ring toward the body of the stomach, not through the pylorus. Thus, the moving peristaltic constrictive ring, combined with this upstream squeezing action, called "retropulsion," is an exceedingly important mixing mechanism in the stomach.

**Chyme.** After food in the stomach has become thoroughly mixed with the stomach secretions, the resulting mixture that passes down the gut is called *chyme*. The degree of fluidity of the chyme leaving the stomach depends on the relative amounts of food, water, and stomach secretions and on the degree of digestion that has occurred. The appearance of chyme is that of a murky semifluid or paste.

**Hunger Contractions.** Besides the peristaltic contractions that occur when food is present in the stomach, another type of intense contractions, called *hunger contractions*, often occurs *when the stomach has been empty* for several hours or more. They are rhythmical peristaltic contractions in the *body* of the stomach. When the successive contractions become extremely strong, they often fuse to cause a continuing tetanic contraction that sometimes lasts for 2 to 3 minutes.

Hunger contractions are most intense in young, healthy people who have high degrees of gastrointestinal tonus; they are also greatly increased by the person's having lower than normal levels of blood sugar. When hunger contractions occur in the stomach, the person sometimes experiences mild pain in the pit of the stomach, called *hunger pangs.* Hunger pangs usually do not begin until 12 to 24 hours after the last ingestion of food; in starvation, they reach their greatest intensity in 3 to 4 days and gradually weaken in succeeding days.

#### **Stomach Emptying**

Stomach emptying is promoted by intense peristaltic contractions in the stomach antrum. At the same time, emptying is opposed by varying degrees of resistance to passage of chyme at the pylorus.

Intense Antral Peristaltic Contractions During Stomach Emptying-"Pyloric Pump." Most of the time, the rhythmical stomach contractions are weak and function mainly to cause mixing of food and gastric secretions. However, for about 20 percent of the time while food is in the stomach, the contractions become intense, beginning in midstomach and spreading through the caudad stomach; these contractions are strong peristaltic, very tight ringlike constrictions that can cause stomach emptying. As the stomach becomes progressively more and more empty, these constrictions begin farther and farther up the body of the stomach, gradually pinching off the food in the body of the stomach and adding this food to the chyme in the antrum. These intense peristaltic contractions often create 50 to 70 centimeters of water pressure, which is about six times as powerful as the usual mixing type of peristaltic waves.

When pyloric tone is normal, each strong peristaltic wave forces up to several milliliters of chyme into the duodenum. Thus, the peristaltic waves, in addition to causing mixing in the stomach, also provide a pumping action called the "pyloric pump."

Role of the Pylorus in Controlling Stomach Emptying. The distal opening of the stomach is the *pylorus*. Here the thickness of the circular wall muscle becomes 50 to 100 percent greater than in the earlier portions of the stomach antrum, and it remains slightly tonically contracted almost all the time. Therefore, the pyloric circular muscle is called the *pyloric sphincter*.

Despite normal tonic contraction of the pyloric sphincter, the pylorus usually is open enough for water and other fluids to empty from the stomach into the duodenum with ease. Conversely, the constriction usually prevents passage of food particles until they have become mixed in the chyme to almost fluid consistency. The degree of constriction of the pylorus is increased or decreased under the influence of nervous and humoral reflex signals from both the stomach and the duodenum, as discussed shortly.

#### **Regulation of Stomach Emptying**

The rate at which the stomach empties is regulated by signals from both the stomach and the duodenum. However, the duodenum provides by far the more potent of the signals, controlling the emptying of chyme into the duodenum at a rate no greater than the rate at which the chyme can be digested and absorbed in the small intestine.

#### Gastric Factors That Promote Emptying

Effect of Gastric Food Volume on Rate of Emptying. Increased food volume in the stomach promotes increased emptying from the stomach. But this increased emptying does not occur for the reasons that one would expect. It is not increased storage pressure of the food in the stomach that causes the increased emptying because, in the usual normal range of volume, the increase in volume does not increase the pressure much. However, stretching of the stomach wall does elicit local myenteric reflexes in the wall that greatly accentuate activity of the pyloric pump and at the same time inhibit the pylorus.

Effect of the Hormone *Gastrin* on Stomach Emptying. In Chapter 64, we discuss how stomach wall stretch and the presence of certain types of foods in the stomach—particularly digestive products of meat—elicit release of the hormone *gastrin* from the antral mucosa. This has potent effects to cause secretion of highly acidic gastric juice by the stomach glands. Gastrin also has mild to moderate stimulatory effects on motor functions in the body of the stomach. Most important, it seems to enhance the activity of the pyloric pump. Thus, gastrin likely promotes stomach emptying.

#### Powerful Duodenal Factors That Inhibit Stomach Emptying

Inhibitory Effect of Enterogastric Nervous Reflexes from the Duodenum. When food enters the duodenum, multiple nervous reflexes are initiated from the duodenal wall. They pass back to the stomach to slow or even stop stomach emptying if the volume of chyme in the duodenum becomes too much. These reflexes are mediated by three routes: (1) directly from the duodenum to the stomach through the enteric nervous system in the gut wall, (2) through extrinsic nerves that go to the prevertebral sympathetic ganglia and then back through inhibitory sympathetic nerve fibers to the stomach, and (3) probably to a slight extent through the vagus nerves all the way to the brain stem, where they inhibit the normal excitatory signals transmitted to the stomach through the vagi. All these parallel reflexes have two effects on stomach emptying: First, they strongly inhibit the "pyloric pump" propulsive contractions, and second, they increase the tone of the pyloric sphincter.

The types of factors that are continually monitored in the duodenum and that can initiate enterogastric inhibitory reflexes include the following:

- **1.** The degree of distention of the duodenum
- **2.** The presence of any degree of irritation of the duodenal mucosa
- **3.** The degree of acidity of the duodenal chyme
- 4. The degree of osmolality of the chyme
- **5.** The presence of certain breakdown products in the chyme, especially breakdown products of proteins and, perhaps to a lesser extent, of fats

The enterogastric inhibitory reflexes are especially sensitive to the presence of irritants and acids in the duodenal chyme, and they often become strongly activated within as little as 30 seconds. For instance, whenever the pH of the chyme in the duodenum falls below about 3.5 to 4, the reflexes frequently block further release of acidic stomach contents into the duodenum until the duodenal chyme can be neutralized by pancreatic and other secretions.

Breakdown products of protein digestion also elicit inhibitory enterogastric reflexes; by slowing the rate of stomach emptying, sufficient time is ensured for adequate protein digestion in the duodenum and small intestine.

Finally, either hypotonic or hypertonic fluids (especially hypertonic) elicit the inhibitory reflexes. Thus, too rapid flow of nonisotonic fluids into the small intestine is prevented, thereby also preventing rapid changes in electrolyte concentrations in the whole-body extracellular fluid during absorption of the intestinal contents.

Hormonal Feedback from the Duodenum Inhibits Gastric Emptying—Role of Fats and the Hormone Cholecystokinin. Not only do nervous reflexes from the duodenum to the stomach inhibit stomach emptying, but hormones released from the upper intestine do so as well. The stimulus for releasing these inhibitory hormones is mainly fats entering the duodenum, although other types of foods can increase the hormones to a lesser degree.

On entering the duodenum, the fats extract several different hormones from the duodenal and jejunal epithelium, either by binding with "receptors" on the epithelial cells or in some other way. In turn, the hormones are carried by way of the blood to the stomach, where they inhibit the pyloric pump and at the same time increase the strength of contraction of the pyloric sphincter. These effects are important because fats are much slower to be digested than most other foods.

Precisely which hormones cause the hormonal feedback inhibition of the stomach is not fully clear. The most potent appears to be *cholecystokinin* (CCK), which is released from the mucosa of the jejunum in response to fatty substances in the chyme. This hormone acts as an inhibitor to block increased stomach motility caused by gastrin.

Other possible inhibitors of stomach emptying are the hormones *secretin* and *gastric inhibitory peptide* (GIP), also called *glucose-dependent insulinotropic peptide*. Secretin is released mainly from the duodenal mucosa in response to gastric acid passed from the stomach through the pylorus. GIP has a general but weak effect of decreasing gastrointestinal motility.

GIP is released from the upper small intestine in response mainly to fat in the chyme, but to a lesser extent to carbohydrates as well. Although GIP inhibits gastric motility under some conditions, its main effect at physiologic concentrations is probably mainly to stimulate secretion of insulin by the pancreas.

These hormones are discussed at greater length elsewhere in this text, especially in Chapter 64 in relation to control of gallbladder emptying and control of rate of pancreatic secretion.

In summary, hormones, especially CCK, can inhibit gastric emptying when excess quantities of chyme, especially acidic or fatty chyme, enter the duodenum from the stomach.

#### Summary of the Control of Stomach Emptying

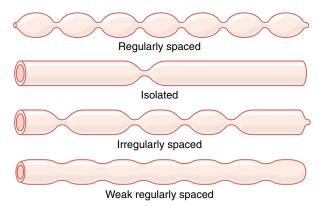
Emptying of the stomach is controlled only to a moderate degree by stomach factors such as the degree of filling in the stomach and the excitatory effect of gastrin on stomach peristalsis. Probably the more important control of stomach emptying resides in inhibitory feedback signals from the duodenum, including both enterogastric inhibitory nervous feedback reflexes and hormonal feedback by CCK. These feedback inhibitory mechanisms work together to slow the rate of emptying when (1) too much chyme is already in the small intestine or (2) the chyme is excessively acidic, contains too much unprocessed protein or fat, is hypotonic or hypertonic, or is irritating. In this way, the rate of stomach emptying is limited to that amount of chyme that the small intestine can process.

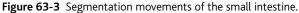
#### Movements of the Small Intestine

The movements of the small intestine, like those elsewhere in the gastrointestinal tract, can be divided into *mixing contractions* and *propulsive contractions*. To a great extent, this separation is artificial because essentially all movements of the small intestine cause at least some degree of both mixing and propulsion. The usual classification of these processes is the following.

#### Mixing Contractions (Segmentation Contractions)

When a portion of the small intestine becomes distended with chyme, stretching of the intestinal wall elicits localized concentric contractions spaced at intervals along the intestine and lasting a fraction of a minute. The contractions cause "segmentation" of the small intestine, as shown in Figure 63-3. That is, they divide the intestine into spaced segments that have the appearance of a chain of sausages. As one set of segmentation contractions relaxes, a new set often begins, but the contractions this time occur mainly at new points between the previous contractions. Therefore, the segmentation contractions "chop" the chyme two to three times per minute, in this way promoting progressive mixing of the food with secretions of the small intestine.





The maximum frequency of the segmentation contractions in the small intestine is determined by the frequency of *electrical slow waves* in the intestinal wall, which is the basic electrical rhythm described in Chapter 62. Because this frequency normally is not over 12 per minute in the duodenum and proximal jejunum, the *maximum* frequency of the segmentation contractions in these areas is also about 12 per minute, but this occurs only under extreme conditions of stimulation. In the terminal ileum, the maximum frequency is usually eight to nine contractions per minute.

The segmentation contractions become exceedingly weak when the excitatory activity of the enteric nervous system is blocked by the drug atropine. Therefore, even though it is the slow waves in the smooth muscle itself that cause the segmentation contractions, these contractions are not effective without background excitation mainly from the myenteric nerve plexus.

#### **Propulsive Movements**

**Peristalsis in the Small Intestine.** Chyme is propelled through the small intestine by *peristaltic waves*. These can occur in any part of the small intestine, and they move toward the anus at a velocity of 0.5 to 2.0 cm/ sec, faster in the proximal intestine and slower in the terminal intestine. They are normally weak and usually die out after traveling only 3 to 5 centimeters, rarely farther than 10 centimeters, so forward movement of the chyme is very slow, so slow that *net* movement along the small intestine normally averages only 1 cm/min. This means that 3 to 5 hours are required for passage of chyme from the pylorus to the ileocecal valve.

**Control of Peristalsis by Nervous and Hormonal Signals.** Peristaltic activity of the small intestine is greatly increased after a meal. This is caused partly by the beginning entry of chyme into the duodenum causing stretch of the duodenal wall. Also, peristaltic activity is increased by the so-called *gastroenteric reflex* that is initiated by distention of the stomach and conducted principally through the myenteric plexus from the stomach down along the wall of the small intestine.

In addition to the nervous signals that may affect small intestinal peristalsis, several hormonal factors also affect peristalsis. They include *gastrin*, *CCK*, *insulin*, *motilin*, and *serotonin*, all of which enhance intestinal motility and are secreted during various phases of food processing. Conversely, *secretin* and *glucagon* inhibit small intestinal motility. The physiologic importance of each of these hormonal factors for controlling motility is still questionable.

The function of the peristaltic waves in the small intestine is not only to cause progression of chyme toward the ileocecal valve but also to spread out the chyme along the intestinal mucosa. As the chyme enters the intestines from the stomach and elicits peristalsis, this immediately spreads the chyme along the intestine; and this process intensifies as additional chyme enters the duodenum. On reaching the ileocecal valve, the chyme is sometimes blocked for several hours until the person eats another meal; at that time, a *gastroileal* reflex intensifies peristalsis in the ileum and forces the remaining chyme through the ileocecal valve into the cecum of the large intestine.

**Propulsive Effect of the Segmentation Movements.** The segmentation movements, although lasting for only a few seconds at a time, often also travel 1 centimeter or so in the anal direction and during that time help propel the food down the intestine. The difference between the segmentation and the peristaltic movements is not as great as might be implied by their separation into these two classifications.

**Peristaltic Rush.** Although peristalsis in the small intestine is normally weak, intense irritation of the intestinal mucosa, as occurs in some severe cases of infectious diarrhea, can cause both powerful and rapid peristalsis, called the *peristaltic rush*. This is initiated partly by nervous reflexes that involve the autonomic nervous system and brain stem and partly by intrinsic enhancement of the myenteric plexus reflexes within the gut wall itself. The powerful peristaltic contractions travel long distances in the small intestine within minutes, sweeping the contents of the intestine into the colon and thereby relieving the small intestine of irritative chyme and excessive distention.

Movements Caused by the Muscularis Mucosae and Muscle Fibers of the Villi The *muscularis mucosae* can cause short folds to appear in the intestinal mucosa. In addition, individual fibers from this muscle extend into the intestinal villi and cause them to contract intermittently. The mucosal folds increase the surface area exposed to the chyme, thereby increasing absorption. Also, contractions of the villi—shortening, elongating, and shortening again—"milk" the villi so that lymph flows freely from the central lacteals of the villi into the lymphatic system. These mucosal and villous contractions are initiated mainly by local nervous reflexes in the submucosal nerve plexus that occur in response to chyme in the small intestine.

#### Function of the Ileocecal Valve

A principal function of the ileocecal valve is to prevent backflow of fecal contents from the colon into the small intestine. As shown in Figure 63-4, the ileocecal valve itself protrudes into the lumen of the cecum and therefore is forcefully closed when excess pressure builds up in the cecum and tries to push cecal contents backward against the valve lips. The valve usually can resist reverse pressure of at least 50 to 60 centimeters of water.

In addition, the wall of the ileum for several centimeters immediately upstream from the ileocecal valve has a thickened circular muscle called the *ileocecal sphincter*. This sphincter normally remains mildly constricted and slows emptying of ileal contents into the cecum. However, immediately after a meal, a gastroileal reflex (described

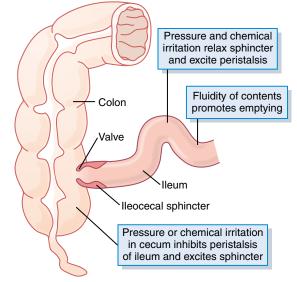


Figure 63-4 Emptying at the ileocecal valve.

earlier) intensifies peristalsis in the ileum, and emptying of ileal contents into the cecum proceeds.

Resistance to emptying at the ileocecal valve prolongs the stay of chyme in the ileum and thereby facilitates absorption. Normally, only 1500 to 2000 milliliters of chyme empty into the cecum each day.

Feedback Control of the Ileocecal Sphincter. The degree of contraction of the ileocecal sphincter and the intensity of peristalsis in the terminal ileum are controlled significantly by reflexes from the cecum. When the cecum is distended, contraction of the ileocecal sphincter becomes intensified and ileal peristalsis is inhibited, both of which greatly delay emptying of additional chyme into the cecum from the ileum. Also, any irritant in the cecum delays emptying. For instance, when a person has an inflamed appendix, the irritation of this vestigial remnant of the cecum can cause such intense spasm of the ileocecal sphincter and partial paralysis of the ileum that these effects together block emptying of the ileum into the cecum. The reflexes from the cecum to the ileocecal sphincter and ileum are mediated both by way of the myenteric plexus in the gut wall itself and of the extrinsic autonomic nerves, especially by way of the prevertebral sympathetic ganglia.

#### Movements of the Colon

The principal functions of the colon are (1) absorption of water and electrolytes from the chyme to form solid feces and (2) storage of fecal matter until it can be expelled. The proximal half of the colon, shown in Figure 63-5, is concerned principally with absorption, and the distal half with storage. Because intense colon wall movements are not required for these functions, the movements of the colon are normally sluggish. Yet in a sluggish manner, the movements still have characteris-

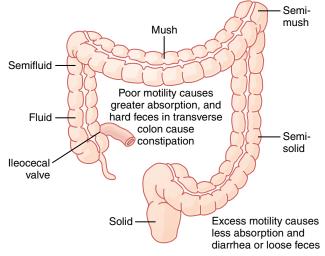


Figure 63-5 Absorptive and storage functions of the large intestine.

tics similar to those of the small intestine and can be divided once again into mixing movements and propulsive movements.

Mixing Movements—"Haustrations." In the same manner that segmentation movements occur in the small intestine, large circular constrictions occur in the large intestine. At each of these constrictions, about 2.5 centimeters of the circular muscle contract, sometimes constricting the lumen of the colon almost to occlusion. At the same time, the longitudinal muscle of the colon, which is aggregated into three longitudinal strips called the *teniae coli*, contracts. These combined contractions of the circular and longitudinal strips of muscle cause the unstimulated portion of the large intestine to bulge outward into baglike sacs called *haustrations*.

Each haustration usually reaches peak intensity in about 30 seconds and then disappears during the next 60 seconds. They also at times move slowly toward the anus during contraction, especially in the cecum and ascending colon, and thereby provide a minor amount of forward propulsion of the colonic contents. After another few minutes, new haustral contractions occur in other areas nearby. Therefore, the fecal material in the large intestine is slowly *dug into and rolled over* in much the same manner that one spades the earth. In this way, all the fecal material is gradually exposed to the mucosal surface of the large intestine, and fluid and dissolved substances are progressively absorbed until only 80 to 200 milliliters of feces are expelled each day.

**Propulsive Movements—"Mass Movements."** Much of the propulsion in the cecum and ascending colon results from the slow but persistent haustral contractions, requiring as many as 8 to 15 hours to move the chyme from the ileocecal valve through the colon, while the chyme itself becomes fecal in quality, a semisolid slush instead of semifluid. From the cecum to the sigmoid, *mass movements* can, for many minutes at a time, take over the propulsive role. These movements usually occur only one to three times each day, in many people especially for about 15 minutes during the first hour after eating breakfast.

A mass movement is a modified type of peristalsis characterized by the following sequence of events: First, a *constrictive ring* occurs in response to a distended or irritated point in the colon, usually in the transverse colon. Then, rapidly, the 20 or more centimeters of colon *distal to the constrictive ring* lose their haustrations and instead contract as a unit, propelling the fecal material in this segment *en masse* further down the colon. The contraction develops progressively more force for about 30 seconds, and relaxation occurs during the next 2 to 3 minutes. Then, another mass movement occurs, this time perhaps farther along the colon.

A series of mass movements usually persists for 10 to 30 minutes. Then they cease but return perhaps a half day later. When they have forced a mass of feces into the rectum, the desire for defecation is felt.

Initiation of Mass Movements by Gastrocolic and Duodenocolic Reflexes. Appearance of mass movements after meals is facilitated by *gastrocolic* and *duodenocolic reflexes*. These reflexes result from distention of the stomach and duodenum. They occur either not at all or hardly at all when the extrinsic autonomic nerves to the colon have been removed; therefore, the reflexes almost certainly are transmitted by way of the autonomic nervous system.

Irritation in the colon can also initiate intense mass movements. For instance, a person who has an ulcerated condition of the colon mucosa *(ulcerative colitis)* frequently has mass movements that persist almost all the time.

#### Defecation

Most of the time, the rectum is empty of feces. This results partly from the fact that a weak functional sphincter exists about 20 centimeters from the anus at the juncture between the sigmoid colon and the rectum. There is also a sharp angulation here that contributes additional resistance to filling of the rectum.

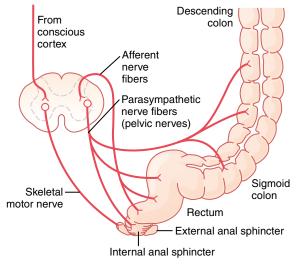
When a mass movement forces feces into the rectum, the desire for defecation occurs immediately, including reflex contraction of the rectum and relaxation of the anal sphincters.

Continual dribble of fecal matter through the anus is prevented by tonic constriction of (1) an *internal anal sphincter*, a several-centimeters-long thickening of the circular smooth muscle that lies immediately inside the anus, and (2) an *external anal sphincter*, composed of striated voluntary muscle that both surrounds the internal sphincter and extends distal to it. The external sphincter is controlled by nerve fibers in the *pudendal nerve*, which is part of the somatic nervous system and therefore is under *voluntary, conscious*, or at least *subconscious con*- *trol;* subconsciously, the external sphincter is usually kept continuously constricted unless conscious signals inhibit the constriction.

**Defecation Reflexes.** Ordinarily, defecation is initiated by *defecation reflexes*. One of these reflexes is an *intrinsic reflex* mediated by the local enteric nervous system in the rectal wall. This can be described as follows: When feces enter the rectum, distention of the rectal wall initiates afferent signals that spread through the *myenteric plexus* to initiate peristaltic waves in the descending colon, sigmoid, and rectum, forcing feces toward the anus. As the peristaltic wave approaches the anus, the *internal* anal sphincter is relaxed by inhibitory signals from the myenteric plexus; if the *external* anal sphincter is also consciously, voluntarily relaxed at the same time, defecation occurs.

The intrinsic myenteric defecation reflex functioning by itself normally is relatively weak. To be effective in causing defecation, it usually must be fortified by another type of defecation reflex, a parasympathetic defecation *reflex* that involves the sacral segments of the spinal cord, shown in Figure 63-6. When the nerve endings in the rectum are stimulated, signals are transmitted first into the spinal cord and then reflexly back to the descending colon, sigmoid, rectum, and anus by way of parasympathetic nerve fibers in the *pelvic nerves*. These parasympathetic signals greatly intensify the peristaltic waves and relax the internal anal sphincter, thus converting the intrinsic myenteric defecation reflex from a weak effort into a powerful process of defecation that is sometimes effective in emptying the large bowel all the way from the splenic flexure of the colon to the anus.

Defecation signals entering the spinal cord initiate other effects, such as taking a deep breath, closure of the glottis, and contraction of the abdominal wall muscles to force the fecal contents of the colon downward and at the same time cause the pelvic floor to relax downward and pull outward on the anal ring to evaginate the feces.



**Figure 63-6** Afferent and efferent pathways of the parasympathetic mechanism for enhancing the defecation reflex.

When it becomes convenient for the person to defecate, the defecation reflexes can purposely be activated by taking a deep breath to move the diaphragm downward and then contracting the abdominal muscles to increase the pressure in the abdomen, thus forcing fecal contents into the rectum to cause new reflexes. Reflexes initiated in this way are almost never as effective as those that arise naturally, for which reason people who too often inhibit their natural reflexes are likely to become severely constipated.

In newborn babies and in some people with transected spinal cords, the defecation reflexes cause automatic emptying of the lower bowel at inconvenient times during the day because of lack of conscious control exercised through voluntary contraction or relaxation of the external anal sphincter.

#### Other Autonomic Reflexes That Affect Bowel Activity

Aside from the duodenocolic, gastrocolic, gastroileal, enterogastric, and defecation reflexes that have been discussed in this chapter, several other important nervous reflexes also can affect the overall degree of bowel activity. They are the peritoneointestinal reflex, renointestinal reflex, and vesicointestinal reflex.

The *peritoneointestinal reflex* results from irritation of the peritoneum; it strongly inhibits the excitatory enteric nerves and thereby can cause intestinal paralysis, especially in patients with peritonitis. The *renointestinal* and *vesicointestinal reflexes* inhibit intestinal activity as a result of kidney or bladder irritation, respectively.

#### Bibliography

Adelson DW, Million M: Tracking the moveable feast: sonomicrometry and gastrointestinal motility, *News Physiol Sci* 19:27, 2004.

Cooke HJ, Wunderlich J, Christofi FL: "The force be with you": ATP in gut mechanosensory transduction, *News Physiol Sci* 18:43, 2003.

- Gonella J, Bouvier M, Blanquet F: Extrinsic nervous control of motility of small and large intestines and related sphincters, *Physiol Rev* 67:902, 1987.
- Grundy D, Al-Chaer ED, Aziz Q, et al: Fundamentals of neurogastroenterology: basic science, *Gastroenterology* 130:1391, 2006.
- Hall KE: Aging and neural control of the GI tract. II. Neural control of the aging gut: can an old dog learn new tricks? *Am J Physiol Gastrointest Liver Physiol* 283:G827, 2002.
- Hatoum OA, Miura H, Binion DG: The vascular contribution in the pathogenesis of inflammatory bowel disease, *Am J Physiol Heart Circ Physiol* 285:H1791, 2003.
- Huizinga JD, Lammers WJ: Gut peristalsis is governed by a multitude of cooperating mechanisms, *Am J Physiol Gastrointest Liver Physiol* 296:G1, 2009.
- Laroux FS, Pavlick KP, Wolf RE, Grisham MB: Dysregulation of intestinal mucosal immunity: implications in inflammatory bowel disease, *News Physiol Sci* 16:272, 2001.
- Orr WC, Chen CL: Aging and neural control of the GI tract: IV. Clinical and physiological aspects of gastrointestinal motility and aging, *Am J Physiol Gastrointest Liver Physiol* 283:G1226, 2002.
- Parkman HP, Jones MP: Tests of gastric neuromuscular function, *Gastroenterology* 136:1526, 2009.
- Sanders KM, Ordog T, Koh SD, Ward SM: A novel pacemaker mechanism drives gastrointestinal rhythmicity, *News Physiol Sci* 15:291, 2000.
- Sarna SK: Molecular, functional, and pharmacological targets for the development of gut promotility drugs, *Am J Physiol Gastrointest Liver Physiol* 291:G545, 2006.
- Sarna SK: Are interstitial cells of Cajal plurifunction cells in the gut? Am J Physiol Gastrointest Liver Physiol 294:G372, 2008.
- Sharma A, Lelic D, Brock C, Paine P, Aziz Q: New technologies to investigate the brain-gut axis, *World J Gastroenterol* 15:182, 2009.
- Szarka LA, Camilleri M: Methods for measurement of gastric motility, Am J Physiol Gastrointest Liver Physiol 296:G461, 2009.
- Timmons S, Liston R, Moriarty KJ: Functional dyspepsia: motor abnormalities, sensory dysfunction, and therapeutic options, *Am J Gastroenterol* 99:739, 2004.
- Wood JD: Neuropathophysiology of functional gastrointestinal disorders, World J Gastroenterol 13:1313, 2007.
- Xue J, Askwith C, Javed NH, Cooke HJ: Autonomic nervous system and secretion across the intestinal mucosal surface, *Auton Neurosci* 133:55, 2007.

## **CHAPTER 64**

# **Secretory Functions of the Alimentary Tract**



Throughout the gastrointestinal tract, secretory glands subserve two primary functions: First, *digestive enzymes* are secreted in most areas of the alimentary tract, from the mouth to the

distal end of the ileum. Second, mucous glands, from the mouth to the anus, provide *mucus* for lubrication and protection of all parts of the alimentary tract.

Most digestive secretions are formed only in response to the presence of food in the alimentary tract, and the quantity secreted in each segment of the tract is usually the precise amount needed for proper digestion. Furthermore, in some portions of the gastrointestinal tract, even the *types of enzymes* and other constituents of the secretions are varied in accordance with the types of food present. The purpose of this chapter is to describe the different alimentary secretions, their functions, and regulation of their production.

#### **General Principles of Alimentary Tract Secretion**

#### Anatomical Types of Glands

Several types of glands provide the different types of alimentary tract secretions. First, on the surface of the epithelium in most parts of the gastrointestinal tract are billions of *singlecell mucous glands* called simply *mucous cells* or sometimes *goblet cells* because they look like goblets. They function mainly in response to local irritation of the epithelium: They extrude *mucus* directly onto the epithelial surface to act as a lubricant that also protects the surfaces from excoriation and digestion.

Second, many surface areas of the gastrointestinal tract are lined by *pits* that represent invaginations of the epithelium into the submucosa. In the small intestine, these pits, called *crypts of Lieberkühn*, are deep and contain specialized secretory cells. One of these cells is shown in Figure 64-1.

Third, in the stomach and upper duodenum are large numbers of deep *tubular glands*. A typical tubular gland can be seen in Figure 64-4, which shows an acid- and pepsinogen-secreting gland of the stomach (oxyntic gland).

Fourth, also associated with the alimentary tract are several complex glands—the *salivary glands, pancreas*, and *liver*—that provide secretions for digestion or emulsification of food. The liver has a highly specialized structure that is discussed in Chapter 70. The salivary glands and the pancreas are compound acinous glands of the type shown in Figure 64-2. These glands lie outside the walls of the alimentary tract and, in this, differ from all other alimentary glands. They contain millions of *acini* lined with secreting glandular cells; these acini feed into a system of ducts that finally empty into the alimentary tract itself.

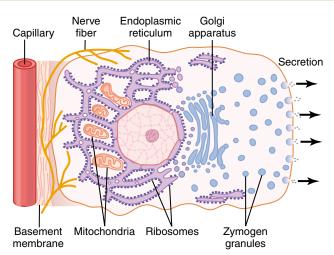
## Basic Mechanisms of Stimulation of the Alimentary Tract Glands

Contact of Food with the Epithelium Stimulates Secretion—Function of Enteric Nervous Stimuli. The mechanical presence of food in a particular segment of the gastrointestinal tract usually causes the glands of that region and adjacent regions to secrete moderate to large quantities of juices. Part of this local effect, especially the secretion of mucus by mucous cells, results from direct contact stimulation of the surface glandular cells by the food.

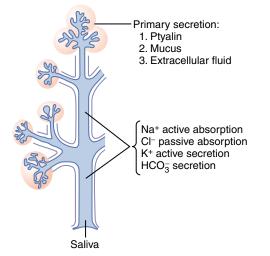
In addition, local epithelial stimulation also activates the *enteric nervous system* of the gut wall. The types of stimuli that do this are (1) tactile stimulation, (2) chemical irritation, and (3) distention of the gut wall. The resulting nervous reflexes stimulate both the mucous cells on the gut epithelial surface and the deep glands in the gut wall to increase their secretion.

#### Autonomic Stimulation of Secretion

Parasympathetic Stimulation Increases Alimentary Tract Glandular Secretion Rate. Stimulation of the parasympathetic nerves to the alimentary tract almost invariably increases the rates of alimentary glandular secretion. This is especially true of the glands in the upper portion of the tract (innervated by the glossopharyngeal and vagus parasympathetic nerves) such as the salivary glands, esophageal glands, gastric glands, pancreas, and Brunner's glands in the duodenum. It is also true of some glands in the distal portion of the large intestine, innervated by pelvic parasympathetic nerves. Secretion in the remainder of the small intestine and in the first two thirds of the large intestine occurs mainly



**Figure 64-1** Typical function of a glandular cell for formation and secretion of enzymes and other secretory substances.



**Figure 64-2** Formation and secretion of saliva by a submandibular salivary gland.

in response to local neural and hormonal stimuli in each segment of the gut.

Sympathetic Stimulation Has a Dual Effect on Alimentary Tract Glandular Secretion Rate. Stimulation of the sympathetic nerves going to the gastrointestinal tract causes a slight to moderate increase in secretion by some of the local glands. But sympathetic stimulation also results in constriction of the blood vessels that supply the glands. Therefore, sympathetic stimulation can have a dual effect: (1) sympathetic stimulation alone usually slightly increases secretion and (2) if parasympathetic or hormonal stimulation is already causing copious secretion by the glands, superimposed sympathetic stimulation usually reduces the secretion, sometimes significantly so, mainly because of vasoconstrictive reduction of the blood supply.

**Regulation of Glandular Secretion by Hormones.** In the stomach and intestine, several different *gastrointestinal hormones* help regulate the volume and character of the secretions. These hormones are liberated from the gastrointestinal mucosa in response to the presence of food in the lumen of the gut. The hormones are then absorbed into the blood and carried to the glands, where they stimulate secretion. This type of stimulation is particularly valuable to increase the output of gastric juice and pancreatic juice when food enters the stomach or duodenum.

Chemically, the gastrointestinal hormones are polypeptides or polypeptide derivatives.

#### Basic Mechanism of Secretion by Glandular Cells

**Secretion of Organic Substances.** Although all the basic mechanisms by which glandular cells function are not known, experimental evidence points to the following principles of secretion, as shown in Figure 64-1.

- **1.** The nutrient material needed for formation of the secretion must first diffuse or be actively transported by the blood in the capillaries into the base of the glandular cell.
- **2.** Many *mitochondria* located inside the glandular cell near its base use oxidative energy to form adenosine triphosphate (ATP).
- **3.** Energy from the ATP, along with appropriate substrates provided by the nutrients, is then used to synthesize the organic secretory substances; this synthesis occurs almost entirely in the *endoplasmic reticulum* and *Golgi complex* of the glandular cell. *Ribosomes* adherent to the reticulum are specifically responsible for formation of the proteins that are secreted.
- **4.** The secretory materials are transported through the tubules of the endoplasmic reticulum, passing in about 20 minutes all the way to the vesicles of the Golgi complex.
- **5.** In the Golgi complex, the materials are modified, added to, concentrated, and discharged into the cytoplasm in the form of *secretory vesicles*, which are stored in the apical ends of the secretory cells.
- **6.** These vesicles remain stored until nervous or hormonal control signals cause the cells to extrude the vesicular contents through the cells' surface. This probably occurs in the following way: The control signal first *increases the cell membrane permeability to calcium ions,* and calcium enters the cell. The *calcium* in turn causes many of the vesicles to fuse with the apical cell membrane. Then the apical cell membrane breaks open, thus emptying the vesicles to the exterior; this process is called *exocytosis*.

Water and Electrolyte Secretion. A second necessity for glandular secretion is secretion of sufficient water and electrolytes to go along with the organic substances. Secretion by the salivary glands, discussed in more detail later, provides an example of how nervous stimulation causes water and salts to pass through the glandular cells in great profusion, washing the organic substances through the secretory border of the cells at the same time. Hormones acting on the cell membrane of some glandular cells are believed also to cause secretory effects similar to those caused by nervous stimulation.

## Lubricating and Protective Properties of Mucus, and Importance of Mucus in the Gastrointestinal Tract

Mucus is a thick secretion composed mainly of water, electrolytes, and a mixture of several glycoproteins, which themselves are composed of large polysaccharides bound with much smaller quantities of protein. Mucus is slightly different in different parts of the gastrointestinal tract, but everywhere it has several important characteristics that make it both an excellent lubricant and a protectant for the wall of the gut. First, mucus has adherent qualities that make it adhere tightly to the food or other particles and to spread as a thin film over the surfaces. Second, it has sufficient body that it coats the wall of the gut and prevents actual contact of most food particles with the mucosa. Third, mucus has a low resistance for slippage, so the particles can slide along the epithelium with great ease. Fourth, mucus causes fecal particles to adhere to one another to form the feces that are expelled during a bowel movement. Fifth, mucus is strongly resistant to digestion by the gastrointestinal enzymes. And sixth, the glycoproteins of mucus have amphoteric properties, which means that they are capable of buffering small amounts of either acids or alkalies; also, mucus often contains moderate quantities of bicarbonate ions, which specifically neutralize acids.

In summary, mucus has the ability to allow easy slippage of food along the gastrointestinal tract and to prevent excoriative or chemical damage to the epithelium. A person becomes acutely aware of the lubricating qualities of mucus when the salivary glands fail to secrete saliva, because then it is difficult to swallow solid food even when it is eaten along with large amounts of water.

#### Secretion of Saliva

Saliva Contains a Serous Secretion and a Mucus Secretion. The principal glands of salivation are the *parotid, submandibular,* and *sublingual glands;* in addition, there are many tiny *buccal* glands. Daily secretion of saliva normally ranges between 800 and 1500 milliliters, as shown by the average value of 1000 milliliters in Table 64-1.

Saliva contains two major types of protein secretion: (1) a *serous secretion* that contains *ptyalin* (an  $\alpha$ -amylase), which is an enzyme for digesting starches, and (2) *mucus secretion* that contains *mucin* for lubricating and for surface protective purposes.

The parotid glands secrete almost entirely the serous type of secretion, whereas the submandibular and sublingual glands secrete both serous secretion and mucus. The buccal glands secrete only mucus. Saliva has a pH between 6.0 and 7.0, a favorable range for the digestive action of ptyalin. Table 64-1 Daily Secretion of Intestinal Juices

	Daily Volume (ml)	рН
Saliva	1000	6.0-7.0
Gastric secretion	1500	1.0-3.5
Pancreatic secretion	1000	8.0-8.3
Bile	1000	7.8
Small intestine secretion	1800	7.5-8.0
Brunner's gland secretion	200	8.0-8.9
Large intestinal secretion	200	7.5-8.0
Total	6700	

**Secretion of lons in Saliva.** Saliva contains especially large quantities of potassium and bicarbonate ions. Conversely, the concentrations of both sodium and chloride ions are several times less in saliva than in plasma. One can understand these special concentrations of ions in the saliva from the following description of the mechanism for secretion of saliva.

Figure 64-2 shows secretion by the submandibular gland, a typical compound gland that contains *acini* and *salivary ducts*. Salivary secretion is a two-stage operation: The first stage involves the acini, and the second, the salivary ducts. The acini secrete a *primary secretion* that contains ptyalin and/or mucin in a solution of ions in concentrations not greatly different from those of typical extracellular fluid. As the primary secretion flows through the ducts, two major active transport processes take place that markedly modify the ionic composition of the fluid in the saliva.

First, *sodium ions* are actively reabsorbed from all the salivary ducts and *potassium ions* are actively secreted in exchange for the sodium. Therefore, the sodium ion concentration of the saliva becomes greatly reduced, whereas the potassium ion concentration becomes increased. However, there is excess sodium reabsorption over potassium secretion, and this creates electrical negativity of about –70 millivolts in the salivary ducts; this in turn causes chloride ions to be reabsorbed passively. Therefore, the chloride ion concentration in the salivary fluid falls to a very low level, matching the ductal decrease in sodium ion concentration.

Second, *bicarbonate ions* are secreted by the ductal epithelium into the lumen of the duct. This is at least partly caused by passive exchange of bicarbonate for chloride ions, but it may also result partly from an active secretory process.

The net result of these transport processes is that *under resting conditions*, the concentrations of sodium and chloride ions in the saliva are only about 15 mEq/L each, about one-seventh to one-tenth their concentrations in plasma. Conversely, the concentration of potassium ions is about 30 mEq/L, seven times as great as in plasma, and the concentration of bicarbonate ions is 50 to 70 mEq/L, about two to three times that of plasma.

During maximal salivation, the salivary ionic concentrations change considerably because the rate of formation of primary secretion by the acini can increase as much as 20-fold. This acinar secretion then flows through the ducts so rapidly that the ductal reconditioning of the secretion is considerably reduced. Therefore, when copious quantities of saliva are being secreted, the sodium chloride concentration is about one-half or two-thirds that of plasma, and the potassium concentration rises to only four times that of plasma.

Function of Saliva for Oral Hygiene. Under basal awake conditions, about 0.5 milliliter of saliva, almost entirely of the mucous type, is secreted each minute; but during sleep, little secretion occurs. This secretion plays an exceedingly important role for maintaining healthy oral tissues. The mouth is loaded with pathogenic bacteria that can easily destroy tissues and cause dental caries. Saliva helps prevent the deteriorative processes in several ways.

*First,* the flow of saliva itself helps wash away pathogenic bacteria, as well as food particles that provide their metabolic support.

*Second*, saliva contains several factors that destroy bacteria. One of these is *thiocyanate ions* and another is several *proteolytic enzymes*—most important, *lysozyme*—that (a) attack the bacteria, (b) aid the thiocyanate ions in entering the bacteria where these ions in turn become bactericidal, and (c) digest food particles, thus helping further to remove the bacterial metabolic support.

*Third*, saliva often contains significant amounts of protein antibodies that can destroy oral bacteria, including some that cause dental caries. In the absence of salivation, oral tissues often become ulcerated and otherwise infected, and caries of the teeth can become rampant.

#### **Nervous Regulation of Salivary Secretion**

Figure 64-3 shows the parasympathetic nervous pathways for regulating salivation, demonstrating that the salivary

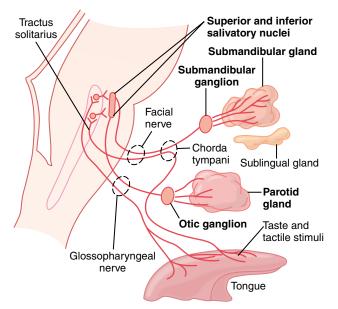


Figure 64-3 Parasympathetic nervous regulation of salivary secretion.

glands are controlled mainly by *parasympathetic nervous signals* all the way from the *superior* and *inferior saliva-tory nuclei* in the brain stem.

The salivatory nuclei are located approximately at the juncture of the medulla and pons and are excited by both taste and tactile stimuli from the tongue and other areas of the mouth and pharynx. Many taste stimuli, especially the sour taste (caused by acids), elicit copious secretion of saliva—often 8 to 20 times the basal rate of secretion. Also, certain tactile stimuli, such as the presence of smooth objects in the mouth (e.g., a pebble), cause marked salivation, whereas rough objects cause less salivation and occasionally even inhibit salivation.

Salivation can also be stimulated or inhibited by nervous signals arriving in the salivatory nuclei from higher centers of the central nervous system. For instance, when a person smells or eats favorite foods, salivation is greater than when disliked food is smelled or eaten. The *appetite area* of the brain, which partially regulates these effects, is located in proximity to the parasympathetic centers of the anterior hypothalamus, and it functions to a great extent in response to signals from the taste and smell areas of the cerebral cortex or amygdala.

Salivation also occurs in response to reflexes originating in the stomach and upper small intestines—particularly when irritating foods are swallowed or when a person is nauseated because of some gastrointestinal abnormality. The saliva, when swallowed, helps to remove the irritating factor in the gastrointestinal tract by diluting or neutralizing the irritant substances.

*Sympathetic stimulation* can also increase salivation a slight amount, much less so than does parasympathetic stimulation. The sympathetic nerves originate from the superior cervical ganglia and travel along the surfaces of the blood vessel walls to the salivary glands.

A secondary factor that also affects salivary secretion is the *blood supply to the glands* because secretion always requires adequate nutrients from the blood. The parasympathetic nerve signals that induce copious salivation also moderately dilate the blood vessels. In addition, salivation itself directly dilates the blood vessels, thus providing increased salivatory gland nutrition as needed by the secreting cells. Part of this additional vasodilator effect is caused by *kallikrein* secreted by the activated salivary cells, which in turn acts as an enzyme to split one of the blood proteins, an alpha2-globulin, to form *bradykinin*, a strong vasodilator.

#### **Esophageal Secretion**

The esophageal secretions are entirely mucous and mainly provide lubrication for swallowing. The main body of the esophagus is lined with many *simple mucous glands*. At the gastric end and to a lesser extent in the initial portion of the esophagus, there are also many *compound mucous glands*. The mucus secreted by the compound glands in the upper esophagus prevents mucosal excoriation by newly entering food, whereas the compound glands located near the esophagogastric junction protect the esophageal wall from digestion by acidic gastric juices that often reflux from the stomach back into the lower esophagus. Despite this protection, a peptic ulcer at times can still occur at the gastric end of the esophagus.

#### **Gastric Secretion**

#### **Characteristics of the Gastric Secretions**

In addition to mucus-secreting cells that line the entire surface of the stomach, the stomach mucosa has two important types of tubular glands: *oxyntic glands* (also called *gastric* glands) and *pyloric glands*. The oxyntic (acid-forming) glands secrete *hydrochloric acid, pepsinogen, intrinsic factor,* and *mucus*. The pyloric glands secrete mainly *mucus* for protection of the pyloric mucosa from the stomach acid. They also secrete the hormone *gastrin*.

The oxyntic glands are located on the inside surfaces of the body and fundus of the stomach, constituting the proximal 80 percent of the stomach. The pyloric glands are located in the antral portion of the stomach, the distal 20 percent of the stomach.

#### Secretions from the Oxyntic (Gastric) Glands

A typical stomach oxyntic gland is shown in Figure 64-4. It is composed of three types of cells: (1) *mucous neck cells*, which secrete mainly *mucus*; (2) *peptic* (or *chief*) cells, which secrete large quantities of *pepsinogen*; and (3) *parietal* (or *oxyntic*) *cells*, which secrete *hydrochloric acid* and *intrinsic factor*. Secretion of hydrochloric acid by the parietal cells involves special mechanisms, as follows.

**Basic Mechanism of Hydrochloric Acid Secretion.** When stimulated, the parietal cells secrete an acid solution that contains about 160 mmol/L of hydrochloric acid, which is nearly isotonic with the body fluids. The pH of this acid is about 0.8, demonstrating its extreme acidity. At this pH, the hydrogen ion concentration is about

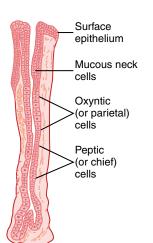


Figure 64-4 Oxyntic gland from the body of the stomach.

3 million times that of the arterial blood. To concentrate the hydrogen ions this tremendous amount requires more than 1500 calories of energy per liter of gastric juice. At the same time that hydrogen ions are secreted, bicarbonate ions diffuse into the blood so that gastric venous blood has a higher pH than arterial blood when the stomach is secreting acid.

Figure 64-5 shows schematically the functional structure of a parietal cell (also called *oxyntic cell*), demonstrating that it contains large branching intracellular *canaliculi*. The hydrochloric acid is formed at the villus-like projections inside these canaliculi and is then conducted through the canaliculi to the secretory end of the cell.

The main driving force for hydrochloric acid secretion by the parietal cells is a *hydrogen-potassium pump* ( $H^+$ - $K^+$ *ATPase*). The chemical mechanism of hydrochloric acid formation is shown in Figure 64-6 and consists of the following steps:

- Water inside the parietal cell becomes dissociated into H<sup>+</sup> and OH<sup>-</sup> in the cell cytoplasm. The H<sup>+</sup> is then actively secreted into the canaliculus in exchange for K<sup>+</sup>, an active exchange process that is catalyzed by H<sup>+</sup>-K<sup>+</sup> ATPase. Potassium ions transported into the cell by the Na<sup>+</sup>-K<sup>+</sup> ATPase pump on the basolateral (extracellular) side of the membrane tend to leak into the lumen but are recycled back into the cell by the H<sup>+</sup>-K<sup>+</sup> ATPase. The basolateral Na<sup>+</sup>-K<sup>+</sup> ATPase creates low intracellular Na<sup>+</sup>, which contributes to Na<sup>+</sup> reabsorption from the lumen of the canaliculus. Thus, most of the K<sup>+</sup> and Na<sup>+</sup> in the canaliculus is reabsorbed into the cell cytoplasm, and hydrogen ions take their place in the canaliculus.
- **2.** The pumping of H<sup>+</sup> out of the cell by the H<sup>+</sup>-K<sup>+</sup> ATPase permits OH<sup>-</sup> to accumulate and form HCO<sub>3</sub><sup>-</sup> from CO<sub>2</sub>, either formed during metabolism in the cell or entering

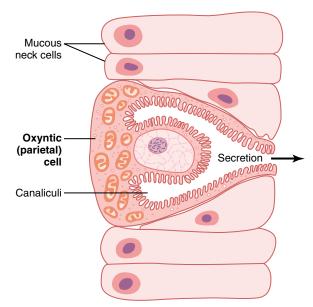


Figure 64-5 Schematic anatomy of the canaliculi in a parietal (oxyntic) cell.

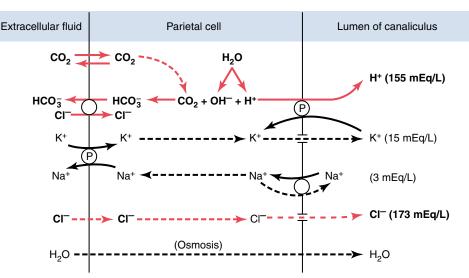


Figure 64-6 Postulated mechanism for secretion of hydrochloric acid. (The points labeled "P" indicate active pumps, and the dashed lines represent free diffusion and osmosis.)

the cell from the blood. This reaction is catalyzed by *carbonic anhydrase*. The  $HCO_3^-$  is then transported across the basolateral membrane into the extracellular fluid in exchange for chloride ions, which enter the cell and are secreted through chloride channels into the canaliculus, giving a strong solution of hydrochloric acid in the canaliculus. The hydrochloric acid is then secreted outward through the open end of the canaliculus into the lumen of the gland.

**3.** Water passes into the canaliculus by osmosis because of extra ions secreted into the canaliculus. Thus, the final secretion from the canaliculus contains water, hydrochloric acid at a concentration of about 150 to 160 mEq/L, potassium chloride at a concentration of 15 mEq/L, and a small amount of sodium chloride.

To produce a concentration of hydrogen ions as great as that found in gastric juice requires minimal back leak into the mucosa of the secreted acid. A major part of the stomach's ability to prevent back leak of acid can be attributed to the *gastric barrier* due to the formation of alkaline mucus and to tight junctions between epithelia cells as described later. If this barrier is damaged by toxic substances, such as occurs with excessive use of aspirin or alcohol, the secreted acid does leak down an electrochemical gradient into the mucosa, causing stomach mucosal damage.

Basic Factors That Stimulate Gastric Secretion Are Acetylcholine, Gastrin, and Histamine. Acetylcholine released by parasympathetic stimulation excites secretion of pepsinogen by peptic cells, hydrochloric acid by parietal cells, and mucus by mucous cells. In comparison, both gastrin and histamine strongly stimulate secretion of acid by parietal cells but have little effect on the other cells.

**Secretion and Activation of Pepsinogen.** Several slightly different types of pepsinogen are secreted by the

peptic and mucous cells of the gastric glands. Even so, all the pepsinogens perform the same functions.

When pepsinogen is first secreted, it has no digestive activity. However, as soon as it comes in contact with hydrochloric acid, it is activated to form active *pepsin*. In this process, the pepsinogen molecule, having a molecular weight of about 42,500, is split to form a pepsin molecule, having a molecular weight of about 35,000.

Pepsin functions as an active proteolytic enzyme in a highly acid medium (optimum pH 1.8 to 3.5), but above a pH of about 5 it has almost no proteolytic activity and becomes completely inactivated in a short time. Hydrochloric acid is as necessary as pepsin for protein digestion in the stomach, as discussed in Chapter 65.

**Secretion of Intrinsic Factor by Parietal Cells.** The substance *intrinsic factor*, essential for absorption of vitamin  $B_{12}$  in the ileum, is secreted by the *parietal cells* along with the secretion of hydrochloric acid. When the acid-producing parietal cells of the stomach are destroyed, which frequently occurs in chronic gastritis, the person develops not only *achlorhydria* (lack of stomach acid secretion) but often also *pernicious anemia* because of failure of maturation of the red blood cells in the absence of vitamin  $B_{12}$  stimulation of the bone marrow. This is discussed in detail in Chapter 32.

#### Pyloric Glands—Secretion of Mucus and Gastrin

The pyloric glands are structurally similar to the oxyntic glands but contain few peptic cells and almost no parietal cells. Instead, they contain mostly mucous cells that are identical with the mucous neck cells of the oxyntic glands. These cells secrete a small amount of pepsinogen, as discussed earlier, and an especially large amount of thin mucus that helps to lubricate food movement, as well as to protect the stomach wall from digestion by the gastric enzymes. The pyloric glands also secrete the hormone *gastrin*, which plays a key role in controlling gastric secretion, as we discuss shortly.

#### Surface Mucous Cells

The entire surface of the stomach mucosa between glands has a continuous layer of a special type of mucous cells called simply "surface mucous cells." They secrete large quantities of *viscid mucus* that coats the stomach mucosa with a gel layer of mucus often more than 1 millimeter thick, thus providing a major shell of protection for the stomach wall, as well as contributing to lubrication of food transport.

Another characteristic of this mucus is that *it is alkaline.* Therefore, the *normal* underlying stomach wall is not directly exposed to the highly acidic, proteolytic stomach secretion. Even the slightest contact with food or any irritation of the mucosa directly stimulates the surface mucous cells to secrete additional quantities of this thick, alkaline, viscid mucus.

#### Stimulation of Gastric Acid Secretion

Parietal Cells of the Oxyntic Glands Are the Only Cells That Secrete Hydrochloric Acid. The *parietal cells*, located deep in the oxyntic glands of the main body of the stomach, are the only cells that secrete hydrochloric acid. As noted earlier in the chapter, the acidity of the fluid secreted by these cells can be great, with pH as low as 0.8. However, secretion of this acid is under continuous control by both endocrine and nervous signals. Furthermore, the parietal cells operate in close association with another type of cell called *enterochromaffinlike cells* (ECL cells), the primary function of which is to secrete *histamine*.

The ECL cells lie in the deep recesses of the oxyntic glands and therefore release histamine in direct contact with the parietal cells of the glands. The rate of formation and secretion of hydrochloric acid by the parietal cells is directly related to the amount of histamine secreted by the ECL cells. In turn, the ECL cells are stimulated to secrete histamine by the hormonal substance *gastrin*, which is formed almost entirely in the antral portion of the stomach mucosa in response to proteins in the foods being digested. The ECL cells may also be stimulated by hormonal substances secreted by the enteric nervous system of the stomach wall. Let us discuss first the gastrin mechanism for control of the ECL cells and their subsequent control of parietal cell secretion of hydrochloric acid.

**Stimulation of Acid Secretion by Gastrin.** Gastrin is itself a hormone secreted by *gastrin cells,* also called *G cells.* These cells are located in the *pyloric glands* in the distal end of the stomach. Gastrin is a large polypeptide secreted in two forms: a large form called G-34, which contains 34 amino acids, and a smaller form, G-17, which contains 17 amino acids. Although both of these are important, the smaller is more abundant.

When meats or other protein-containing foods reach the antral end of the stomach, some of the proteins from these foods have a special stimulatory effect on the *gastrin cells in the pyloric glands* to cause release of *gastrin* into the blood to be transported to the ECL cells of the stomach. The vigorous mixing of the gastric juices transports the gastrin rapidly to the ECL cells in the body of the stomach, causing release of *histamine directly into the deep oxyntic glands*. The histamine then acts quickly to stimulate gastric hydrochloric acid secretion.

#### **Regulation of Pepsinogen Secretion**

Regulation of *pepsinogen* secretion by the peptic cells in the oxyntic glands occurs in response to two main types of signals: (1) stimulation of the peptic cells by acetylcholine released from the vagus nerves or from the gastric enteric nervous plexus, and (2) stimulation of peptic cell secretion in response to acid in the stomach. The acid probably does not stimulate the peptic cells directly but instead elicits additional enteric nervous reflexes that support the original nervous signals to the peptic cells. Therefore, the rate of secretion of pepsinogen, the precursor of the enzyme pepsin that causes protein digestion, is strongly influenced by the amount of acid in the stomach. In people who have lost the ability to secrete normal amounts of acid, secretion of pepsinogen is also decreased, even though the peptic cells may otherwise appear to be normal.

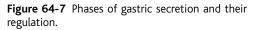
#### **Phases of Gastric Secretion**

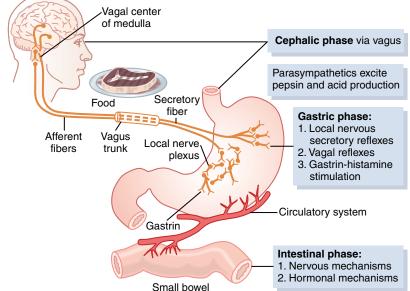
Gastric secretion is said to occur in three "phases" (as shown in Figure 64-7): a *cephalic phase*, a *gastric phase*, and an *intestinal phase*.

**Cephalic Phase.** The cephalic phase of gastric secretion occurs even before food enters the stomach, especially while it is being eaten. It results from the sight, smell, thought, or taste of food, and the greater the appetite, the more intense is the stimulation. Neurogenic signals that cause the cephalic phase of gastric secretion originate in the cerebral cortex and in the appetite centers of the amygdala and hypothalamus. They are transmitted through the dorsal motor nuclei of the vagi and thence through the vagus nerves to the stomach. This phase of secretion normally accounts for about 30 percent of the gastric secretion associated with eating a meal.

**Gastric Phase.** Once food enters the stomach, it excites (1) long vagovagal reflexes from the stomach to the brain and back to the stomach, (2) local enteric reflexes, and (3) the gastrin mechanism, all of which in turn cause secretion of gastric juice during several hours while food remains in the stomach. The gastric phase of secretion accounts for about 60 percent of the total gastric secretion associated with eating a meal and therefore accounts for most of the total daily gastric secretion of about 1500 milliliters.

Intestinal Phase. The presence of food in the upper portion of the small intestine, particularly in the duodenum, will continue to cause stomach secretion of small amounts of gastric juice, probably partly because of small amounts of gastrin released by the duodenal mucosa. This accounts for about 10 percent of the acid response to a meal.





#### Inhibition of Gastric Secretion by Other Post-Stomach Intestinal Factors

Although intestinal chyme slightly stimulates gastric secretion during the early intestinal phase of stomach secretion, it paradoxically inhibits gastric secretion at other times. This inhibition results from at least two influences.

- 1. The presence of food in the small intestine initiates a *reverse enterogastric reflex*, transmitted through the myenteric nervous system and extrinsic sympathetic and vagus nerves, that inhibits stomach secretion. This reflex can be initiated by distending the small bowel, by the presence of acid in the upper intestine, by the presence of protein breakdown products, or by irritation of the mucosa. This is part of the complex mechanism discussed in Chapter 63 for slowing stomach emptying when the intestines are already filled.
- 2. The presence of acid, fat, protein breakdown products, hyperosmotic or hypo-osmotic fluids, or any irritating factor in the upper small intestine causes release of several intestinal hormones. One of these is *secretin*, which is especially important for control of pancreatic secretion. However, secretin opposes stomach secretion. Three other hormones—*gastric inhibitory peptide (glucose-dependent insulinotropic peptide), vasoactive intestinal polypeptide,* and *somatostatin*—also have slight to moderate effects in inhibiting gastric secretion.

The functional purpose of intestinal factors that inhibit gastric secretion is presumably to slow passage of chyme from the stomach when the small intestine is already filled or already overactive. In fact, the enterogastric inhibitory reflexes plus inhibitory hormones usually also reduce stomach motility at the same time that they reduce gastric secretion, as was discussed in Chapter 63.

Gastric Secretion During the Interdigestive Period. The stomach secretes a few milliliters of gastric juice each hour during the "interdigestive period," when little or no digestion is occurring anywhere in the gut. The secretion that does occur is usually almost entirely of the nonoxyntic type, composed mainly of *mucus* but little pepsin and almost no acid. Unfortunately, emotional stimuli frequently increase interdigestive gastric secretion (highly peptic and acidic) to 50 milliliters or more per hour, in much the same way that the cephalic phase of gastric secretion excites secretion at the onset of a meal. This increase of secretion in response to emotional stimuli is believed to be one of the causative factors in development of peptic ulcers, as discussed in Chapter 66.

## Chemical Composition of Gastrin and Other Gastrointestinal Hormones

*Gastrin, cholecystokinin (CCK),* and *secretin* are all large polypeptides with approximate molecular weights, respectively, of 2000, 4200, and 3400. The terminal five amino acids in the gastrin and CCK molecular chains are the same. The functional activity of gastrin resides in the terminal four amino acids, and the activity for CCK resides in the terminal eight amino acids. All the amino acids in the secretin molecule are essential.

A synthetic gastrin, composed of the terminal four amino acids of natural gastrin plus the amino acid alanine, has all the same physiologic properties as the natural gastrin. This synthetic product is called *pentagastrin*.

#### **Pancreatic Secretion**

The pancreas, which lies parallel to and beneath the stomach (illustrated in Figure 64-10), is a large compound gland with most of its internal structure similar to that of the salivary glands shown in Figure 64-2. The pancreatic digestive enzymes are secreted by *pancreatic acini*, and large volumes of sodium bicarbonate solution are secreted by the small ductules and larger ducts leading from the acini. The combined product of enzymes and sodium bicarbonate then flows through a long *pancreatic duct* that normally joins the hepatic duct immediately

before it empties into the duodenum through the *papilla of Vater,* surrounded by the *sphincter of Oddi.* 

Pancreatic juice is secreted most abundantly in response to the presence of chyme in the upper portions of the small intestine, and the characteristics of the pancreatic juice are determined to some extent by the types of food in the chyme. (The pancreas also secretes *insulin*, but this is not secreted by the same pancreatic tissue that secretes intestinal pancreatic juice. Instead, insulin is secreted directly into the *blood*—not into the intestine— by the *islets of Langerhans* that occur in islet patches throughout the pancreas. These are discussed in detail in Chapter 78.)

### **Pancreatic Digestive Enzymes**

Pancreatic secretion contains multiple enzymes for digesting all of the three major types of food: proteins, carbohydrates, and fats. It also contains large quantities of bicarbonate ions, which play an important role in neutralizing the acidity of the chyme emptied from the stomach into the duodenum.

The most important of the pancreatic enzymes for digesting proteins are *trypsin, chymotrypsin,* and *carboxy-polypeptidase*. By far the most abundant of these is trypsin.

Trypsin and chymotrypsin split whole and partially digested proteins into peptides of various sizes but do not cause release of individual amino acids. However, carboxypolypeptidase splits some peptides into individual amino acids, thus completing digestion of some proteins all the way to the amino acid state.

The pancreatic enzyme for digesting carbohydrates is *pancreatic amylase*, which hydrolyzes starches, glycogen, and most other carbohydrates (except cellulose) to form mostly disaccharides and a few trisaccharides.

The main enzymes for fat digestion are (1) *pancreatic lipase*, which is capable of hydrolyzing neutral fat into fatty acids and monoglycerides; (2) *cholesterol esterase*, which causes hydrolysis of cholesterol esters; and (3) *phospholipase*, which splits fatty acids from phospholipids.

When first synthesized in the pancreatic cells, the proteolytic digestive enzymes are in the inactive forms *trypsinogen, chymotrypsinogen,* and *procarboxypolypepti-dase,* which are all inactive enzymatically. They become activated only after they are secreted into the intestinal tract. Trypsinogen is activated by an enzyme called *enter-okinase,* which is secreted by the intestinal mucosa when chyme comes in contact with the mucosa. Also, trypsinogen can be autocatalytically activated by trypsin that has already been formed from previously secreted trypsinogen. Chymotrypsinogen is activated by trypsin to form chymotrypsin, and procarboxypolypeptidase is activated in a similar manner.

Secretion of Trypsin Inhibitor Prevents Digestion of the Pancreas Itself. It is important that the proteolytic enzymes of the pancreatic juice not become activated until after they have been secreted into the intestine because the trypsin and the other enzymes would digest the pancreas itself. Fortunately, the same cells that secrete proteolytic enzymes into the acini of the pancreas secrete simultaneously another substance called *trypsin inhibitor*. This substance is formed in the cytoplasm of the glandular cells, and it prevents activation of trypsin both inside the secretory cells and in the acini and ducts of the pancreas. And, because it is trypsin that activates the other pancreatic proteolytic enzymes, trypsin inhibitor prevents activation of the others as well.

UNIT XII

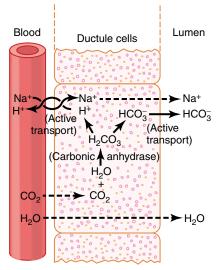
When the pancreas becomes severely damaged or when a duct becomes blocked, large quantities of pancreatic secretion sometimes become pooled in the damaged areas of the pancreas. Under these conditions, the effect of trypsin inhibitor is often overwhelmed, in which case the pancreatic secretions rapidly become activated and can literally digest the entire pancreas within a few hours, giving rise to the condition called *acute pancreatitis*. This is sometimes lethal because of accompanying circulatory shock; even if not lethal, it usually leads to a subsequent lifetime of pancreatic insufficiency.

### Secretion of Bicarbonate lons

Although the enzymes of the pancreatic juice are secreted entirely by the acini of the pancreatic glands, the other two important components of pancreatic juice, bicarbonate ions and water, are secreted mainly by the epithelial cells of the ductules and ducts that lead from the acini. When the pancreas is stimulated to secrete copious quantities of pancreatic juice, the bicarbonate ion concentration can rise to as high as 145 mEq/L, a value about five times that of bicarbonate ions in the plasma. This provides a large quantity of alkali in the pancreatic juice that serves to neutralize the hydrochloric acid emptied into the duodenum from the stomach.

The basic steps in the cellular mechanism for secreting sodium bicarbonate solution into the pancreatic ductules and ducts are shown in Figure 64-8. They are the following:

- **1.** Carbon dioxide diffuses to the interior of the cell from the blood and, under the influence of carbonic anhydrase, combines with water to form carbonic acid ( $H_2CO_3$ ). The carbonic acid in turn dissociates into bicarbonate ions and hydrogen ions ( $HCO_3^-$  and  $H^+$ ). Then the bicarbonate ions are actively transported in association with sodium ions (Na<sup>+</sup>) through the *luminal border* of the cell into the lumen of the duct.
- **2.** The hydrogen ions formed by dissociation of carbonic acid inside the cell are *exchanged for sodium ions through the blood border* of the cell by a secondary active transport process. This supplies the sodium ions (Na<sup>+</sup>) that are transported through the *luminal border* into the pancreatic duct lumen to provide electrical neutrality for the secreted bicarbonate ions.



**Figure 64-8** Secretion of isosmotic sodium bicarbonate solution by the pancreatic ductules and ducts.

**3.** The overall movement of sodium and bicarbonate ions from the blood into the duct lumen creates an osmotic pressure gradient that causes osmosis of water also into the pancreatic duct, thus forming an almost completely isosmotic bicarbonate solution.

### **Regulation of Pancreatic Secretion**

### Basic Stimuli That Cause Pancreatic Secretion

Three basic stimuli are important in causing pancreatic secretion:

- **1.** *Acetylcholine,* which is released from the parasympathetic vagus nerve endings and from other cholinergic nerves in the enteric nervous system
- **2.** *Cholecystokinin,* which is secreted by the duodenal and upper jejunal mucosa when food enters the small intestine
- **3.** *Secretin,* which is also secreted by the duodenal and jejunal mucosa when highly acidic food enters the small intestine

The first two of these stimuli, acetylcholine and cholecystokinin, stimulate the acinar cells of the pancreas, causing production of large quantities of pancreatic digestive enzymes but relatively small quantities of water and electrolytes to go with the enzymes. Without the water, most of the enzymes remain temporarily stored in the acini and ducts until more fluid secretion comes along to wash them into the duodenum. Secretin, in contrast to the first two basic stimuli, stimulates secretion of large quantities of water solution of sodium bicarbonate by the pancreatic ductal epithelium.

**Multiplicative Effects of Different Stimuli.** When all the different stimuli of pancreatic secretion occur at once, the total secretion is far greater than the sum of the secretions caused by each one separately. Therefore, the various stimuli are said to "multiply," or "potentiate," one another. Thus, pancreatic secretion normally results from the combined effects of the multiple basic stimuli, not from one alone.

### Phases of Pancreatic Secretion

Pancreatic secretion occurs in three phases, the same as for gastric secretion: the *cephalic phase*, the *gastric phase*, and the *intestinal phase*. Their characteristics are as follows.

**Cephalic and Gastric Phases.** During the cephalic phase of pancreatic secretion, the same nervous signals from the brain that cause secretion in the stomach also cause acetylcholine release by the vagal nerve endings in the pancreas. This causes moderate amounts of enzymes to be secreted into the pancreatic acini, accounting for about 20 percent of the total secretion of pancreatic enzymes after a meal. But little of the secretion flows immediately through the pancreatic ducts into the intestine because only small amounts of water and electrolytes are secreted along with the enzymes.

During the gastric phase, the nervous stimulation of enzyme secretion continues, accounting for another 5 to 10 percent of pancreatic enzymes secreted after a meal. But, again, only small amounts reach the duodenum because of continued lack of significant fluid secretion.

**Intestinal Phase.** After chyme leaves the stomach and enters the small intestine, pancreatic secretion becomes copious, mainly in response to the hormone *secretin*.

Secretin Stimulates Copious Secretion of Bicarbonate Ions, Which Neutralizes Acidic Stomach Chyme. Secretin is a polypeptide, containing 27 amino acids (molecular weight about 3400), present in an inactive form, prosecretin, in so-called S cells in the mucosa of the duodenum and jejunum. When acid chyme with pH less than 4.5 to 5.0 enters the duodenum from the stomach, it causes duodenal mucosal release and activation of secretin, which is then absorbed into the blood. The one truly potent constituent of chyme that causes this secretin release is the hydrochloric acid from the stomach.

Secretin in turn causes the pancreas to secrete large quantities of fluid containing a high concentration of bicarbonate ion (up to 145 mEq/L) but a low concentration of chloride ion. The secretin mechanism is especially important for two reasons: First, secretin begins to be released from the mucosa of the small intestine when the pH of the duodenal contents falls below 4.5 to 5.0, and its release increases greatly as the pH falls to 3.0. This immediately causes copious secretion of pancreatic juice containing abundant amounts of sodium bicarbonate. The net result is then the following reaction in the duodenum:

#### $HCl + NaHCO_3 \rightarrow NaCl + H_2CO_3$

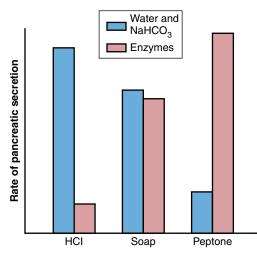
Then the carbonic acid immediately dissociates into carbon dioxide and water. The carbon dioxide is absorbed into the blood and expired through the lungs, thus leaving a neutral solution of sodium chloride in the duodenum. In this way, the acid contents emptied into the duodenum from the stomach become neutralized, so further peptic digestive activity by the gastric juices in the duodenum is immediately blocked. Because the mucosa of the small intestine cannot withstand the digestive action of acid gastric juice, this is an essential protective mechanism to prevent development of duodenal ulcers, as is discussed in further detail in Chapter 66.

Bicarbonate ion secretion by the pancreas provides an appropriate pH for action of the pancreatic digestive enzymes, which function optimally in a slightly alkaline or neutral medium, at a pH of 7.0 to 8.0. Fortunately, the pH of the sodium bicarbonate secretion averages 8.0.

Cholecystokinin—Its Contribution to Control of Digestive Enzyme Secretion by the Pancreas. The presence of food in the upper small intestine also causes a second hormone, *CCK*, a polypeptide containing 33 amino acids, to be released from yet another group of cells, the *I cells*, in the mucosa of the duodenum and upper jejunum. This release of CCK results especially from the presence of *proteoses* and *peptones* (products of partial protein digestion) and *long-chain fatty acids* in the chyme coming from the stomach.

CCK, like secretin, passes by way of the blood to the pancreas but instead of causing sodium bicarbonate secretion causes mainly secretion of still much more pancreatic digestive enzymes by the acinar cells. This effect is similar to that caused by vagal stimulation but even more pronounced, accounting for 70 to 80 percent of the total secretion of the pancreatic digestive enzymes after a meal.

The differences between the pancreatic stimulatory effects of secretin and CCK are shown in Figure 64-9, which demonstrates (1) intense sodium bicarbonate secretion in response to acid in the duodenum, stimulated by secretin; (2) a dual effect in response to soap (a fat); and (3) intense digestive enzyme secretion (when peptones enter the duodenum) stimulated by CCK.



**Figure 64-9** Sodium bicarbonate (NaHCO<sub>3</sub>), water, and enzyme secretion by the pancreas, caused by the presence of acid (HCl), fat (soap), or peptone solutions in the duodenum.

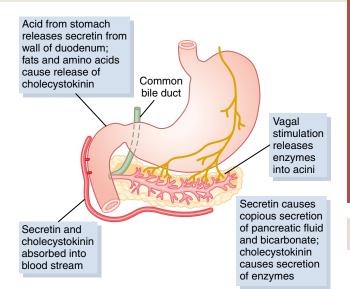


Figure 64-10 Regulation of pancreatic secretion.

Figure 64-10 summarizes the more important factors in the regulation of pancreatic secretion. The total amount secreted each day is about 1 liter.

### Secretion of Bile by the Liver; Functions of the Biliary Tree

One of the many functions of the liver is to secrete *bile*, normally between 600 and 1000 ml/day. Bile serves two important functions.

First, bile plays an important role in fat digestion and absorption, not because of any enzymes in the bile that cause fat digestion, but because *bile acids* in the bile do two things: (1) They help to emulsify the large fat particles of the food into many minute particles, the surface of which can then be attacked by lipase enzymes secreted in pancreatic juice, and (2) they aid in absorption of the digested fat end products through the intestinal mucosal membrane.

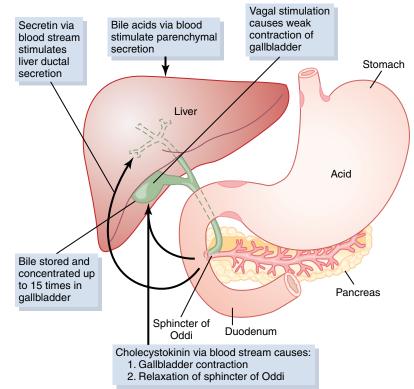
Second, bile serves as a means for excretion of several important waste products from the blood. These include especially *bilirubin*, an end product of hemoglobin destruction, and excesses of *cholesterol*.

### **Physiologic Anatomy of Biliary Secretion**

Bile is secreted in two stages by the liver: (1) The initial portion is secreted by the principal functional cells of the liver, the *hepatocytes;* this initial secretion contains large amounts of bile acids, cholesterol, and other organic constituents. It is secreted into minute *bile canaliculi* that originate between the hepatic cells.

(2) Next, the bile flows in the canaliculi toward the interlobular septa, where the canaliculi empty into *terminal bile ducts* and then into progressively larger ducts, finally reaching the *hepatic duct* and *common bile duct*.

**Figure 64-11** Liver secretion and gallbladder emptying.



From these the bile either empties directly into the duodenum or is diverted for minutes up to several hours through the *cystic duct* into the *gallbladder*, shown in Figure 64-11.

In its course through the bile ducts, a second portion of liver secretion is added to the initial bile. This additional secretion is a watery solution of sodium and bicarbonate ions secreted by secretory epithelial cells that line the ductules and ducts. This second secretion sometimes increases the total quantity of bile by as much as an additional 100 percent. The second secretion is stimulated especially by *secretin*, which causes release of additional quantities of bicarbonate ions to supplement the bicarbonate ions in pancreatic secretion (for neutralizing acid that empties into the duodenum from the stomach).

Storing and Concentrating Bile in the Gallbladder. Bile is secreted continually by the liver cells, but most of it is normally stored in the gallbladder until needed in the duodenum. The maximum volume that the gallbladder can hold is only 30 to 60 milliliters. Nevertheless, as much as 12 hours of bile secretion (usually about 450 milliliters) can be stored in the gallbladder because water, sodium, chloride, and most other small electrolytes are continually absorbed through the gallbladder mucosa, concentrating the remaining bile constituents that contain the bile salts, cholesterol, lecithin, and bilirubin.

Most of this gallbladder absorption is caused by active transport of sodium through the gallbladder epithelium, and this is followed by secondary absorption of chloride ions, water, and most other diffusible constituents. Bile is normally concentrated in this way about 5-fold, but it can be concentrated up to a maximum of 20-fold.

**Composition of Bile.** Table 64-2 gives the composition of bile when it is first secreted by the liver and then after it has been concentrated in the gallbladder. This table shows that by far the most abundant substances secreted in the bile are *bile salts*, which account for about one half of the total solutes also in the bile. Also secreted or excreted in large concentrations are *bilirubin, cholesterol, lecithin,* and the usual *electrolytes* of plasma.

#### Table 64-2 Composition of Bile

	Liver Bile	Gallbladder Bile
Water	97.5 g/dl	92 g/dl
Bile salts	1.1 g/dl	6 g/dl
Bilirubin	0.04 g/dl	0.3 g/dl
Cholesterol	0.1 g/dl	0.3 to 0.9 g/dl
Fatty acids	0.12 g/dl	0.3 to 1.2 g/dl
Lecithin	0.04 g/dl	0.3 g/dl
Na <sup>+</sup>	145 mEq/L	130 mEq/L
K+	5 mEq/L	12 mEq/L
Ca++	5 mEq/L	23 mEq/L
Cl-	100 mEq/L	25 mEq/L
HCO <sub>3</sub>	28 mEq/L	10 mEq/L

In the concentrating process in the gallbladder, water and large portions of the electrolytes (except calcium ions) are reabsorbed by the gallbladder mucosa; essentially all other constituents, especially the bile salts and the lipid substances cholesterol and lecithin, are not reabsorbed and, therefore, become highly concentrated in the gallbladder bile.

Emptying of the Gallbladder—Stimulatory Role of Cholecystokinin. When food begins to be digested in the upper gastrointestinal tract, the gallbladder begins to empty, especially when fatty foods reach the duodenum about 30 minutes after a meal. The mechanism of gallbladder emptying is rhythmical contractions of the wall of the gallbladder, but effective emptying also requires simultaneous relaxation of the *sphincter of Oddi*, which guards the exit of the common bile duct into the duodenum.

By far the most potent stimulus for causing the gallbladder contractions is the hormone *CCK*. This is the same CCK discussed earlier that causes increased secretion of digestive enzymes by the acinar cells of the pancreas. The stimulus for CCK entry into the blood from the duodenal mucosa is mainly the presence of fatty foods in the duodenum.

The gallbladder is also stimulated less strongly by acetylcholine-secreting nerve fibers from both the vagi and the intestinal enteric nervous system. They are the same nerves that promote motility and secretion in other parts of the upper gastrointestinal tract.

In summary, the gallbladder empties its store of concentrated bile into the duodenum mainly in response to the CCK stimulus that itself is initiated mainly by fatty foods. When fat is not in the food, the gallbladder empties poorly, but when significant quantities of fat are present, the gallbladder normally empties completely in about 1 hour. Figure 64-11 summarizes the secretion of bile, its storage in the gallbladder, and its ultimate release from the bladder to the duodenum.

### Function of Bile Salts in Fat Digestion and Absorption

The liver cells synthesize about 6 grams of *bile salts* daily. The precursor of the bile salts is *cholesterol*, which is either present in the diet or synthesized in the liver cells during the course of fat metabolism. The cholesterol is first converted to *cholic acid* or *chenodeoxycholic acid* in about equal quantities. These acids in turn combine principally with glycine and to a lesser extent with taurine to form *glyco-* and *tauro-conjugated bile acids*. The salts of these acids, mainly sodium salts, are then secreted in the bile.

The bile salts have two important actions in the intestinal tract:

First, they have a detergent action on the fat particles in the food. This decreases the surface tension of the particles and allows agitation in the intestinal tract to break the fat globules into minute sizes. This is called the *emulsifying* or *detergent function* of bile salts. Second, and even more important than the emulsifying function, bile salts help in the absorption of (1) fatty acids, (2) monoglycerides, (3) cholesterol, and (4) other lipids from the intestinal tract. They do this by forming small physical complexes with these lipids; the complexes are called *micelles*, and they are semisoluble in the chyme because of the electrical charges of the bile salts. The intestinal lipids are "ferried" in this form to the intestinal mucosa, where they are then absorbed into the blood, as will be described in detail in Chapter 65. Without the presence of bile salts in the intestinal tract, up to 40 percent of the ingested fats are lost into the feces and the person often develops a metabolic deficit because of this nutrient loss.

Enterohepatic Circulation of Bile Salts. About 94 percent of the bile salts are reabsorbed into the blood from the small intestine, about one half of this by *diffusion* through the mucosa in the early portions of the small intestine and the remainder by an *active transport* process through the intestinal mucosa in the distal ileum. They then enter the portal blood and pass back to the liver. On reaching the liver, on first passage through the venous sinusoids these salts are absorbed almost entirely back into the hepatic cells and then resecreted into the bile.

In this way, about 94 percent of all the bile salts are recirculated into the bile, so on the average these salts make the entire circuit some 17 times before being carried out in the feces. The small quantities of bile salts lost into the feces are replaced by new amounts formed continually by the liver cells. This recirculation of the bile salts is called the *enterohepatic circulation of bile salts*.

The quantity of bile secreted by the liver each day is highly dependent on the availability of bile salts—the greater the quantity of bile salts in the enterohepatic circulation (usually a total of only about 2.5 grams), the greater the rate of bile secretion. Indeed, ingestion of supplemental bile salts can increase bile secretion by several hundred milliliters per day.

If a bile fistula empties the bile salts to the exterior for several days to several weeks so that they cannot be reabsorbed from the ileum, the liver increases its production of bile salts 6- to 10-fold, which increases the rate of bile secretion most of the way back to normal. This demonstrates that the daily rate of liver bile salt secretion is actively controlled by the availability (or lack of availability) of bile salts in the enterohepatic circulation.

Role of Secretin in Controlling Bile Secretion. In addition to the strong stimulating effect of bile acids to cause bile secretion, the hormone *secretin* that also stimulates pancreatic secretion increases bile secretion, sometimes more than doubling its secretion for several hours after a meal. This increase in secretion is almost entirely secretion of a sodium bicarbonate—rich watery solution by the epithelial cells of the bile ductules and ducts, and not increased secretion by the liver parenchymal cells themselves. The bicarbonate in turn passes into the small intestine and joins the bicarbonate from the pancreas in neutralizing the hydrochloric acid from the stomach. Thus, the secretin feedback mechanism for neutralizing duodenal acid operates not only through its effects on pancreatic secretion but also to a lesser extent through its effect on secretion by the liver ductules and ducts.

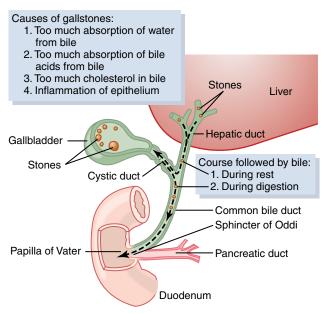


Figure 64-12 Formation of gallstones.

#### Liver Secretion of Cholesterol and Gallstone Formation

Bile salts are formed in the hepatic cells from cholesterol in the blood plasma. In the process of secreting the bile salts, about 1 to 2 grams of cholesterol are removed from the blood plasma and secreted into the bile each day.

Cholesterol is almost completely insoluble in pure water, but the bile salts and lecithin in bile combine physically with the cholesterol to form ultramicroscopic *micelles* in the form of a colloidal solution, as explained in more detail in Chapter 65. When the bile becomes concentrated in the gallbladder, the bile salts and lecithin become concentrated along with the cholesterol, which keeps the cholesterol in solution.

Under abnormal conditions, the cholesterol may precipitate in the gallbladder, resulting in the formation of *cholesterol gallstones*, as shown in Figure 64-12. The amount of cholesterol in the bile is determined partly by the quantity of fat that the person eats, because liver cells synthesize cholesterol as one of the products of fat metabolism in the body. For this reason, people on a high-fat diet over a period of years are prone to the development of gallstones.

Inflammation of the gallbladder epithelium, often resulting from low-grade chronic infection, may also change the absorptive characteristics of the gallbladder mucosa, sometimes allowing excessive absorption of water and bile salts but leaving behind the cholesterol in the gallbladder in progressively greater concentrations. Then the cholesterol begins to precipitate, first forming many small crystals of cholesterol on the surface of the inflamed mucosa, but then progressing to large gallstones.

### Secretions of the Small Intestine

### Secretion of Mucus by Brunner's Glands in the Duodenum

An extensive array of compound mucous glands, called *Brunner's glands*, is located in the wall of the first few centimeters of the duodenum, mainly between the pylorus of the stomach and the papilla of Vater, where pancreatic secretion and bile empty into the duodenum. These glands secrete large amounts of alkaline mucus in response to (1) tactile or irritating stimuli on the duodenal mucosa; (2) vagal stimulation, which causes increased Brunner's glands secretion concurrently with increase in stomach secretion; and (3) gastrointestinal hormones, especially *secretin*.

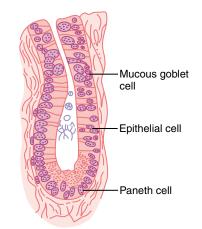
The function of the mucus secreted by Brunner's glands is to protect the duodenal wall from digestion by the highly acidic gastric juice emptying from the stomach. In addition, the mucus contains a large excess of bicarbonate ions, which add to the bicarbonate ions from pancreatic secretion and liver bile in neutralizing the hydrochloric acid entering the duodenum from the stomach.

Brunner's glands are inhibited by sympathetic stimulation; therefore, such stimulation in very excitable persons is likely to leave the duodenal bulb unprotected and is perhaps one of the factors that cause this area of the gastrointestinal tract to be the site of peptic ulcers in about 50 percent of ulcer patients.

### Secretion of Intestinal Digestive Juices by the Crypts of Lieberkühn

Located over the entire surface of the small intestine are small pits called *crypts of Lieberkühn*, one of which is illustrated in Figure 64-13. These crypts lie between the intestinal villi. The surfaces of both the crypts and the villi are covered by an epithelium composed of two types of cells: (1) a moderate number of *goblet cells*, which secrete *mucus* that lubricates and protects the intestinal surfaces, and (2) a large number of *enterocytes*, which, in the crypts, secrete large quantities of water and electrolytes and, over the surfaces of adjacent villi, reabsorb the water and electrolytes along with end products of digestion.

The intestinal secretions are formed by the enterocytes of the crypts at a rate of about 1800 ml/day. These secretions are almost pure extracellular fluid and have a slightly alkaline pH in the range of 7.5 to 8.0. The secretions are



**Figure 64-13** A *crypt of Lieberkühn*, found in all parts of the small intestine between the villi, which secretes almost pure extracellular fluid.

also rapidly reabsorbed by the villi. This flow of fluid from the crypts into the villi supplies a watery vehicle for absorption of substances from chyme when it comes in contact with the villi. Thus, the primary function of the small intestine is to absorb nutrients and their digestive products into the blood.

Mechanism of Secretion of the Watery Fluid. The exact mechanism that controls the marked secretion of watery fluid by the crypts of Lieberkühn is still unclear, but it is believed to involve at least two active secretory processes: (1) active secretion of chloride ions into the crypts and (2) active secretion of bicarbonate ions. The secretion of both ions causes electrical drag of positively charged sodium ions through the membrane and into the secreted fluid as well. Finally, all these ions together cause osmotic movement of water.

Digestive Enzymes in the Small Intestinal Secretion. When secretions of the small intestine are collected without cellular debris, they have almost no enzymes. The enterocytes of the mucosa, especially those that cover the villi, contain digestive enzymes that digest specific food substances *while they are being absorbed* through the epithelium. These enzymes are the following: (1) several *peptidases* for splitting small peptides into amino acids; (2) four enzymes—*sucrase, maltase, isomaltase,* and *lactase*—for splitting disaccharides into monosaccharides; and (3) small amounts of *intestinal lipase* for splitting neutral fats into glycerol and fatty acids.

The epithelial cells deep in the crypts of Lieberkühn continually undergo mitosis, and new cells migrate along the basement membrane upward out of the crypts toward the tips of the villi, thus continually replacing the villus epithelium and also forming new digestive enzymes. As the villus cells age, they are finally shed into the intestinal secretions. The life cycle of an intestinal epithelial cell is about 5 days. This rapid growth of new cells also allows rapid repair of excoriations that occur in the mucosa.

### Regulation of Small Intestine Secretion—Local Stimuli

By far the most important means for regulating small intestine secretion are local enteric nervous reflexes, especially reflexes initiated by tactile or irritative stimuli from the chyme in the intestines.

### Secretion of Mucus by the Large Intestine

**Mucus Secretion.** The mucosa of the large intestine, like that of the small intestine, has many crypts of Lieberkühn; however, unlike the small intestine, there are no villi. The epithelial cells secrete almost no digestive enzymes. Instead, they contain mucous cells that secrete only *mucus*. This mucus contains moderate amounts of bicarbonate ions secreted by a few non-mucus-secreting epithelial cells. The rate of secretion of mucus is regulated principally by direct, tactile stimulation of the epithelial cells lining the large intestine and by local nervous reflexes to the mucous cells in the crypts of Lieberkühn.

Stimulation of the *pelvic nerves* from the spinal cord, which carry *parasympathetic innervation* to the distal one half to two thirds of the large intestine, also can cause marked increase in mucus secretion. This occurs along with increase in peristaltic motility of the colon, which was discussed in Chapter 63.

During extreme parasympathetic stimulation, often caused by emotional disturbances, so much mucus can occasionally be secreted into the large intestine that the person has a bowel movement of ropy mucus as often as every 30 minutes; this mucus often contains little or no fecal material.

Mucus in the large intestine protects the intestinal wall against excoriation, but in addition, it provides an adherent medium for holding fecal matter together. Furthermore, it protects the intestinal wall from the great amount of bacterial activity that takes place inside the feces, and, finally, the mucus plus the alkalinity of the secretion (pH of 8.0 caused by large amounts of sodium bicarbonate) provides a barrier to keep acids formed in the feces from attacking the intestinal wall.

**Diarrhea Caused by Excess Secretion of Water and Electrolytes in Response to Irritation.** Whenever a segment of the large intestine becomes intensely irritated, as occurs when bacterial infection becomes rampant during *enteritis*, the mucosa secretes extra large quantities of water and electrolytes in addition to the normal viscid alkaline mucus. This acts to dilute the irritating factors and to cause rapid movement of the feces toward the anus. The result is *diarrhea*, with loss of large quantities of water and electrolytes. But the diarrhea also washes away irritant factors, which promotes earlier recovery from the disease than might otherwise occur.

### Bibliography

- Allen A, Flemström G: Gastroduodenal mucus bicarbonate barrier: protection against acid and pepsin, *Am J Physiol Cell Physiol* 288:C1, 2005.
- Barrett KE: New ways of thinking about (and teaching about) intestinal epithelial function, *Adv Physiol Educ* 32:25, 2008.
- Barrett KE, Keely SJ: Chloride secretion by the intestinal epithelium: molecular basis and regulatory aspects, *Annu Rev Physiol* 62:535, 2000.
- Chen D, Aihara T, Zhao CM, Håkanson R, Okabe S: Differentiation of the gastric mucosa. I. Role of histamine in control of function and integrity of oxyntic mucosa: understanding gastric physiology through disruption of targeted genes, *Am J Physiol Gastrointest Liver Physiol* 291:G539, 2006.
- Dockray GJ: Cholecystokinin and gut-brain signalling, *Regul Pept* 155:6, 2009.
- Dockray GJ, Varro A, Dimaline R, Wang T: The gastrins: their production and biological activities, *Annu Rev Physiol* 63:119, 2001.
- Flemstrom G, Isenberg JI: Gastroduodenal mucosal alkaline secretion and mucosal protection, *News Physiol Sci* 16:23, 2001.
- Flemström G, Sjöblom M: Epithelial cells and their neighbors. II. New perspectives on efferent signaling between brain, neuroendocrine cells,

and gut epithelial cells, *Am J Physiol Gastrointest Liver Physiol* 289:G377, 2005.

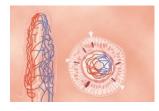
- Heitzmann D, Warth R: Physiology and pathophysiology of potassium channels in gastrointestinal epithelia, *Physiol Rev* 88:1119, 2008.
- Hocker M: Molecular mechanisms of gastrin-dependent gene regulation, *Ann N Y Acad Sci* 1014:97, 2004.
- Hylemon PB, Zhou H, Pandak WM, Ren S, Gil G, Dent P: Bile acids as regulatory molecules, J Lipid Res 50:1509, 2009.
- Jain RN, Samuelson LC: Differentiation of the gastric mucosa. II. Role of gastrin in gastric epithelial cell proliferation and maturation, *Am J Physiol Gastrointest Liver Physiol* 291:G762, 2006.
- Laine L, Takeuchi K, Tarnawski A: Gastric mucosal defense and cytoprotection: bench to bedside, *Gastroenterology* 135:41, 2008.
- Lefebvre P, Cariou B, Lien F, et al: Role of bile acids and bile acid receptors in metabolic regulation, *Physiol Rev* 89:147, 2009.

- Portincasa P, Di Ciaula A, Wang HH, et al: Coordinate regulation of gallbladder motor function in the gut-liver axis, *Hepatology* 47:2112, 2008.
- Portincasa P, Moschetta A, Palasciano G: Cholesterol gallstone disease, Lancet 368:230, 2006.
- Russell DW: Fifty years of advances in bile acid synthesis and metabolism, *J Lipid Res* 50(Suppl):S120, 2009.
- Trauner M, Boyer JL: Bile salt transporters: molecular characterization, function, and regulation, *Physiol Rev* 83:633, 2003.
- Wallace JL: Prostaglandins, NSAIDs, and gastric mucosal protection: why doesn't the stomach digest itself? *Physiol Rev* 88:1547, 2008.
- Williams JA, Chen X, Sabbatini ME: Small G proteins as key regulators of pancreatic digestive enzyme secretion, Am J Physiol Endocrinol Metab 296:E405, 2009.

Zanner R, Gratzl M, Prinz C: Circle of life of secretory vesicles in gastric enterochromaffin-like cells, *Ann N Y Acad Sci* 971:389, 2002.

### **CHAPTER 65**

# Digestion and Absorption in the Gastrointestinal Tract



The major foods on which the body lives (with the exception of small quantities of substances such as vitamins and minerals) can be classified as *carbohydrates, fats,* and *proteins.* 

They generally cannot be absorbed in their natural forms through the gastrointestinal mucosa and, for this reason, are useless as nutrients without preliminary digestion. Therefore, this chapter discusses the processes by which carbohydrates, fats, and proteins are digested into small enough compounds for absorption and the mechanisms by which the digestive end products, as well as water, electrolytes, and other substances, are absorbed.

### Digestion of the Various Foods by Hydrolysis

**Hydrolysis of Carbohydrates.** Almost all the carbohydrates of the diet are either large *polysaccharides* or *disaccharides*, which are combinations of *monosaccharides* bound to one another by *condensation*. This means that a hydrogen ion ( $H^+$ ) has been removed from one of the monosaccharides, and a hydroxyl ion (-OH) has been removed from the next one. The two monosaccharides then combine with each other at these sites of removal, and the hydrogen and hydroxyl ions combine to form water ( $H_2O$ ).

When carbohydrates are digested, the above process is reversed and the carbohydrates are converted into monosaccharides. Specific enzymes in the digestive juices of the gastrointestinal tract return the hydrogen and hydroxyl ions from water to the polysaccharides and thereby separate the monosaccharides from each other. This process, called *hydrolysis*, is the following (in which R"-R' is a disaccharide):  $R''-R' + H_2O \xrightarrow{\text{digestive}} R''OH + R'H$ 

**Hydrolysis of Fats.** Almost the entire fat portion of the diet consists of triglycerides (neutral fats), which are combinations of three *fatty acid* molecules condensed with a single *glycerol* molecule. During condensation, three molecules of water are removed.

Digestion of the triglycerides consists of the reverse process: the fat-digesting enzymes return three molecules of water to the triglyceride molecule and thereby split the fatty acid molecules away from the glycerol. Here again, the digestive process is one of *hydrolysis*.

**Hydrolysis of Proteins.** Proteins are formed from multiple *amino acids* that are bound together by *pep-tide linkages.* At each linkage, a hydroxyl ion has been removed from one amino acid and a hydrogen ion has been removed from the succeeding one; thus, the successive amino acids in the protein chain are also bound together by condensation, and digestion occurs by the reverse effect: hydrolysis. That is, the proteolytic enzymes return hydrogen and hydroxyl ions from water molecules to the protein molecules to split them into their constituent amino acids.

Therefore, the chemistry of digestion is simple because, in the case of all three major types of food, the same basic process of *hydrolysis* is involved. The only difference lies in the types of enzymes required to promote the hydrolysis reactions for each type of food.

All the digestive enzymes are proteins. Their secretion by the different gastrointestinal glands was discussed in Chapter 64.

### **Digestion of Carbohydrates**

**Carbohydrate Foods of the Diet.** Only three major sources of carbohydrates exist in the normal human diet. They are *sucrose*, which is the disaccharide known popularly as cane sugar; *lactose*, which is a disaccharide

found in milk; and *starches*, which are large polysaccharides present in almost all nonanimal foods, particularly in potatoes and different types of grains. Other carbohydrates ingested to a slight extent are *amylose*, *glycogen*, *alcohol*, *lactic acid*, *pyruvic acid*, *pectins*, *dextrins*, and minor quantities of *carbohydrate derivatives in meats*.

The diet also contains a large amount of cellulose, which is a carbohydrate. However, no enzymes capable of hydrolyzing cellulose are secreted in the human digestive tract. Consequently, cellulose cannot be considered a food for humans.

Digestion of Carbohydrates in the Mouth and Stomach. When food is chewed, it is mixed with saliva, which contains the digestive enzyme *ptyalin* (an  $\alpha$ -amylase) secreted mainly by the parotid glands. This enzyme hydrolyzes starch into the disaccharide *maltose* and other small polymers of glucose that contain three to nine glucose molecules, as shown in Figure 65-1. However, the food remains in the mouth only a short time, so probably not more than 5 percent of all the starches will have become hydrolyzed by the time the food is swallowed.

However, starch digestion sometimes continues in the body and fundus of the stomach for as long as 1 hour before the food becomes mixed with the stomach secretions. Then activity of the salivary amylase is blocked by acid of the gastric secretions because the amylase is essentially nonactive as an enzyme once the pH of the medium falls below about 4.0. Nevertheless, on the average, before food and its accompanying saliva do become completely mixed with the gastric secretions, as much as 30 to 40 percent of the starches will have been hydrolyzed mainly to form *maltose*.

### Digestion of Carbohydrates in the Small Intestine

Digestion by Pancreatic Amylase. Pancreatic secretion, like saliva, contains a large quantity of  $\alpha$ -amylase that is almost identical in its function with the  $\alpha$ -amylase of saliva but is several times as powerful. Therefore, within 15 to 30 minutes after the chyme empties from the stomach into the duodenum and mixes with pancreatic juice, virtually all the carbohydrates will have become digested.

In general, the carbohydrates are almost totally converted into *maltose* and/or *other small glucose polymers* before passing beyond the duodenum or upper jejunum. Hydrolysis of Disaccharides and Small Glucose Polymers into Monosaccharides by Intestinal Epithelial Enzymes. The enterocytes lining the villi of the small intestine contain four enzymes (*lactase, sucrase, maltase,* and  $\alpha$ -*dextrinase*), which are capable of splitting the disaccharides lactose, sucrose, and maltose, plus other small glucose polymers, into their constituent monosaccharides. These enzymes are located *in the enterocytes* covering *the intestinal microvilli brush border*, so the disaccharides are digested as they come in contact with these enterocytes.

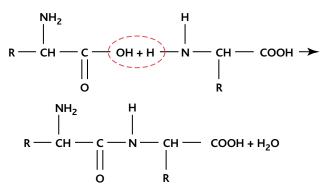
Lactose splits into a molecule of *galactose* and a molecule of *glucose*. Sucrose splits into a molecule of *fructose* and a molecule of *glucose*. Maltose and other small glucose polymers all split into *multiple molecules of glucose*. Thus, the final products of carbohydrate digestion are all monosaccharides. They are all water soluble and are absorbed immediately into the portal blood.

In the ordinary diet, which contains far more starches than all other carbohydrates combined, glucose represents more than 80 percent of the final products of carbohydrate digestion, and galactose and fructose each represent seldom more than 10 percent.

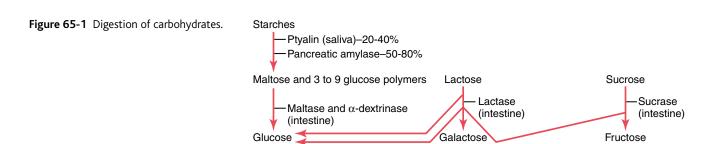
The major steps in carbohydrate digestion are summarized in Figure 65-1.

### **Digestion of Proteins**

**Proteins of the Diet.** The dietary proteins are chemically long chains of amino acids bound together by *peptide linkages*. A typical linkage is the following:



The characteristics of each protein are determined by the types of amino acids in the protein molecule and by the sequential arrangements of these amino acids. The physical and chemical characteristics of different proteins important in human tissues are discussed in Chapter 69.



**Digestion of Proteins in the Stomach.** *Pepsin,* the important peptic enzyme of the stomach, is most active at a pH of 2.0 to 3.0 and is inactive at a pH above about 5.0. Consequently, for this enzyme to cause digestion of protein, the stomach juices must be acidic. As explained in Chapter 64, the gastric glands secrete a large quantity of hydrochloric acid. This hydrochloric acid is secreted by the parietal (oxyntic) cells in the glands at a pH of about 0.8, but by the time it is mixed with the stomach contents and with secretions from the nonoxyntic glandular cells of the stomach, the pH then averages around 2.0 to 3.0, a highly favorable range of acidity for pepsin activity.

One of the important features of pepsin digestion is its ability to digest the protein *collagen*, an albuminoid type of protein that is affected little by other digestive enzymes. Collagen is a major constituent of the intercellular connective tissue of meats; therefore, for the digestive enzymes of the digestive tract to penetrate meats and digest the other meat proteins, it is necessary that the collagen fibers be digested. Consequently, in persons who lack pepsin in the stomach juices, the ingested meats are less well penetrated by the other digestive enzymes and, therefore, may be poorly digested.

As shown in Figure 65-2, pepsin only initiates the process of protein digestion, usually providing only 10 to 20 percent of the total protein digestion to convert the protein to proteoses, peptones, and a few polypeptides. This splitting of proteins occurs as a result of hydrolysis at the peptide linkages between amino acids.

Most Protein Digestion Results from Actions of Pancreatic Proteolytic Enzymes. Most protein digestion occurs in the upper small intestine, in the duodenum and jejunum, under the influence of proteolytic enzymes from pancreatic secretion. Immediately on entering the small intestine from the stomach, the partial breakdown products of the protein foods are attacked by major proteolytic pancreatic enzymes: *trypsin*, *chymotrypsin*, *carboxypolypeptidase*, and *proelastase*, as shown in Figure 65-2.

Both trypsin and chymotrypsin split protein molecules into small polypeptides; carboxypolypeptidase then cleaves individual amino acids from the carboxyl ends of the polypeptides. *Proelastase*, in turn, is converted into *elastase*, which then digests elastin fibers that partially hold meats together.

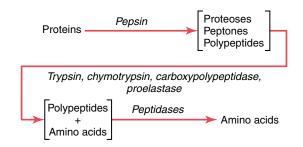


Figure 65-2 Digestion of proteins.

Only a small percentage of the proteins are digested all the way to their constituent amino acids by the pancreatic juices. Most remain as dipeptides and tripeptides.

Digestion of Peptides by Peptidases in the Enterocytes That Line the Small Intestinal Villi. The last digestive stage of the proteins in the intestinal lumen is achieved by the enterocytes that line the villi of the small intestine, mainly in the duodenum and jejunum. These cells have a *brush border* that consists of hundreds of *microvilli* projecting from the surface of each cell. In the membrane of each of these microvilli are multiple *peptidases* that protrude through the membranes to the exterior, where they come in contact with the intestinal fluids.

Two types of peptidase enzymes are especially important, *aminopolypeptidase* and several *dipeptidases*. They succeed in splitting the remaining larger polypeptides into tripeptides and dipeptides and a few into amino acids. Both the amino acids plus the dipeptides and tripeptides are easily transported through the microvillar membrane to the interior of the enterocyte.

Finally, inside the cytosol of the enterocyte are multiple other peptidases that are specific for the remaining types of linkages between amino acids. Within minutes, virtually all the last dipeptides and tripeptides are digested to the final stage to form single amino acids; these then pass on through to the other side of the enterocyte and thence into the blood.

More than 99 percent of the final protein digestive products that are absorbed are individual amino acids, with only rare absorption of peptides and very, very rare absorption of whole protein molecules. Even these few absorbed molecules of whole protein can sometimes cause serious allergic or immunologic disturbances, as discussed in Chapter 34.

#### **Digestion of Fats**

**Fats of the Diet.** By far the most abundant fats of the diet are the neutral fats, also known as *triglycerides*, each molecule of which is composed of a glycerol nucleus and three fatty acid side chains, as shown in Figure 65-3.

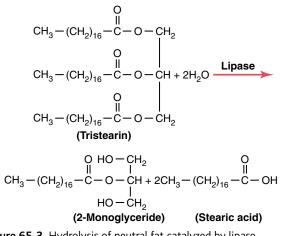


Figure 65-3 Hydrolysis of neutral fat catalyzed by lipase.

Neutral fat is a major constituent in food of animal origin but much, much less so in food of plant origin.

In the usual diet are also small quantities of phospholipids, cholesterol, and cholesterol esters. The phospholipids and cholesterol esters contain fatty acid and therefore can be considered fats. Cholesterol, however, is a sterol compound that contains no fatty acid, but it does exhibit some of the physical and chemical characteristics of fats; plus, it is derived from fats and is metabolized similarly to fats. Therefore, cholesterol is considered, from a dietary point of view, a fat.

**Digestion of Fats in the Intestine.** A small amount of triglycerides is digested *in the stomach* by *lingual lipase* that is secreted by lingual glands in the mouth and swallowed with the saliva. This amount of digestion is less than 10 percent and generally unimportant. Instead, essentially all fat digestion occurs in the small intestine as follows.

The First Step in Fat Digestion Is Emulsification by Bile Acids and Lecithin. The first step in fat digestion is physically to break the fat globules into small sizes so that the water-soluble digestive enzymes can act on the globule surfaces. This process is called *emulsification of the fat*, and it begins by agitation in the stomach to mix the fat with the products of stomach digestion.

Then, most of the emulsification occurs in the duodenum under the influence of *bile*, the secretion from the liver that does not contain any digestive enzymes. However, bile does contain a large quantity of bile salts, as well as the phospholipid lecithin. Both of these, but especially the lecithin, are extremely important for emulsification of the fat. The polar parts (the points where ionization occurs in water) of the bile salts and lecithin molecules are highly soluble in water, whereas most of the remaining portions of their molecules are highly soluble in fat. Therefore, the fat-soluble portions of these liver secretions dissolve in the surface layer of the fat globules, with the polar portions projecting. The polar projections, in turn, are soluble in the surrounding watery fluids, which greatly decreases the interfacial tension of the fat and makes it soluble as well.

When the interfacial tension of a globule of nonmiscible fluid is low, this nonmiscible fluid, on agitation, can be broken up into many tiny particles far more easily than it can when the interfacial tension is great. Consequently, a major function of the bile salts and lecithin, especially the lecithin, in the bile is to make the fat globules readily fragmentable by agitation with the water in the small bowel. This action is the same as that of many detergents that are widely used in household cleaners for removing grease.

Each time the diameters of the fat globules are significantly decreased as a result of agitation in the small intestine, the total surface area of the fat increases manyfold. Because the average diameter of the fat particles in the intestine after emulsification has occurred is less than 1 micrometer, this represents an increase of as much as 1000-fold in total surface areas of the fats caused by the emulsification process. The lipase enzymes are water-soluble compounds and can attack the fat globules only on their surfaces. Consequently, this detergent function of bile salts and lecithin is very important for digestion of fats.

**Triglycerides Are Digested by Pancreatic Lipase.** By far the most important enzyme for digestion of the triglycerides is *pancreatic lipase*, present in enormous quantities in pancreatic juice, enough to digest within 1 minute all triglycerides that it can reach. In addition, the enterocytes of the small intestine contain additional lipase, known as *enteric lipase*, but this is usually not needed.

End Products of Fat Digestion Are Free Fatty Acids. Most of the triglycerides of the diet are split by pancreatic lipase into *free fatty acids* and *2-monoglycerides*, as shown in Figure 65-4.

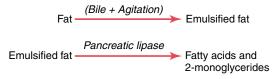


Figure 65-4 Digestion of fats.

Bile Salts Form Micelles That Accelerate Fat Digestion. The hydrolysis of triglycerides is a highly reversible process; therefore, accumulation of monoglycerides and free fatty acids in the vicinity of digesting fats quickly blocks further digestion. But the bile salts play the additional important role of removing the monoglycerides and free fatty acids from the vicinity of the digesting fat globules almost as rapidly as these end products of digestion are formed. This occurs in the following way.

Bile salts, when in high enough concentration in water, have the propensity to form *micelles*, which are small spherical, cylindrical globules 3 to 6 nanometers in diameter composed of 20 to 40 molecules of bile salt. These develop because each bile salt molecule is composed of a sterol nucleus that is highly fat-soluble and a polar group that is highly water-soluble. The sterol nucleus encompasses the fat digestate, forming a small fat globule in the middle of a resulting micelle, with polar groups of bile salts projecting outward to cover the surface of the micelle. Because these polar groups are negatively charged, they allow the entire micelle globule to dissolve in the water of the digestive fluids and to remain in stable solution until the fat is absorbed into the blood.

The bile salt micelles also act as a transport medium to carry the monoglycerides and free fatty acids, both of which would otherwise be relatively insoluble, to the brush borders of the intestinal epithelial cells. There the monoglycerides and free fatty acids are absorbed into the blood, as discussed later, but the bile salts themselves are released back into the chyme to be used again and again for this "ferrying" process.

Digestion of Cholesterol Esters and Phospholipids. Most cholesterol in the diet is in the form of cholesterol esters, which are combinations of free cholesterol and one molecule of fatty acid. Phospholipids also contain fatty acid within their molecules. Both the cholesterol esters and the phospholipids are hydrolyzed by two other lipases in the pancreatic secretion that free the fatty acids—the enzyme *cholesterol ester hydrolase* to hydrolyze the cholesterol ester, and *phospholipase* A<sub>2</sub> to hydrolyze the phospholipid.

The bile salt micelles play the same role in "ferrying" free cholesterol and phospholipid molecule digestates that they play in "ferrying" monoglycerides and free fatty acids. Indeed, essentially no cholesterol is absorbed without this function of the micelles.

## Basic Principles of Gastrointestinal Absorption

It is suggested that the reader review the basic principles of transport of substances through cell membranes discussed in Chapter 4. The following paragraphs present specialized applications of these transport processes during gastrointestinal absorption.

### Anatomical Basis of Absorption

The total quantity of fluid that must be absorbed each day by the intestines is equal to the ingested fluid (about 1.5 liters) plus that secreted in the various gastrointestinal secretions (about 7 liters). This comes to a total of 8 to 9 liters. All but about 1.5 liters of this is absorbed in the small intestine, leaving only 1.5 liters to pass through the ileocecal valve into the colon each day.

The stomach is a poor absorptive area of the gastrointestinal tract because it lacks the typical villus type of absorptive membrane, and also because the junctions between the epithelial cells are tight junctions. Only a few highly lipid-soluble substances, such as alcohol and some drugs like aspirin, can be absorbed in small quantities.

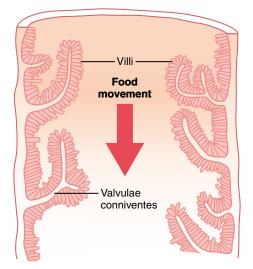
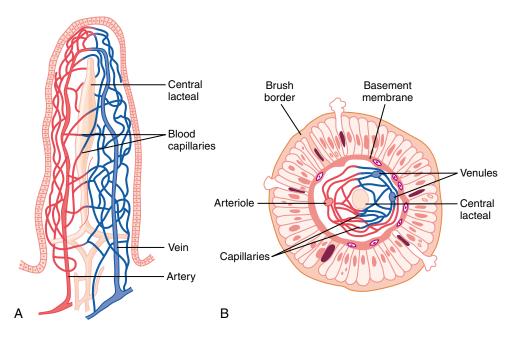


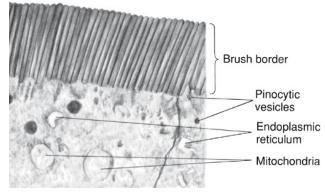
Figure 65-5 Longitudinal section of the small intestine, showing the valvulae conniventes covered by villi.

Folds of Kerckring, Villi, and Microvilli Increase the Mucosal Absorptive Area by Nearly 1000-Fold. Figure 65-5 demonstrates the absorptive surface of the small intestinal mucosa, showing many folds called *valvulae conniventes* (or *folds of Kerckring*), which increase the surface area of the absorptive mucosa about threefold. These folds extend circularly most of the way around the intestine and are especially well developed in the duodenum and jejunum, where they often protrude up to 8 millimeters into the lumen.

Also located on the epithelial surface of the small intestine all the way down to the ileocecal valve are millions of small *villi*. These project about 1 millimeter from the surface of the mucosa, as shown on the surfaces of the valvulae conniventes in Figure 65-5 and in individual detail in Figure 65-6. The villi lie so close to one another in the upper small intestine that they touch in most areas,



**Figure 65-6** Functional organization of the villus. *A*, Longitudinal section. *B*, Cross section showing a basement membrane beneath the epithelial cells and a brush border at the other ends of these cells.



**Figure 65-7** Brush border of a gastrointestinal epithelial cell, showing also absorbed pinocytic vesicles, mitochondria, and endoplasmic reticulum lying immediately beneath the brush border. (Courtesy Dr. William Lockwood.)

but their distribution is less profuse in the distal small intestine. The presence of villi on the mucosal surface enhances the total absorptive area another 10-fold.

Finally, each intestinal epithelial cell on each villus is characterized by a *brush border*, consisting of as many as 1000 *microvilli* 1 micrometer in length and 0.1 micrometer in diameter protruding into the intestinal chyme; these microvilli are shown in the electron micrograph in Figure 65-7. This increases the surface area exposed to the intestinal materials at least another 20-fold.

Thus, the combination of the folds of Kerckring, the villi, and the microvilli increases the total absorptive area of the mucosa perhaps 1000-fold, making a tremendous total area of 250 or more square meters for the entire small intestine—about the surface area of a tennis court.

Figure 65-6A shows in longitudinal section the general organization of the villus, emphasizing (1) the advantageous arrangement of the vascular system for absorption of fluid and dissolved material into the portal blood and (2) the arrangement of the *"central lacteal"* lymph vessel for absorption into the lymph. Figure 65-6B shows a cross section of the villus, and Figure 65-7 shows many small *pinocytic vesicles*, which are pinched-off portions of infolded enterocyte membrane forming vesicles of absorbed fluids that have been entrapped. Small amounts of substances are absorbed by this physical process of *pinocytosis*.

Extending from the epithelial cell body into each microvillus of the brush border are multiple actin filaments that contract rhythmically to cause continual movement of the microvilli, keeping them constantly exposed to new quantities of intestinal fluid.

### Absorption in the Small Intestine

Absorption from the small intestine each day consists of several hundred grams of carbohydrates, 100 or more grams of fat, 50 to 100 grams of amino acids, 50 to 100 grams of ions, and 7 to 8 liters of water. The absorptive *capacity* of the normal small intestine is far greater than this: as much as several kilograms of carbohydrates per day, 500 grams of fat per day, 500 to 700 grams of proteins per day, and 20 or more liters of water per day. The *large* intestine can absorb still additional water and ions, although very few nutrients.

#### Absorption of Water by Osmosis

**Isosmotic Absorption.** Water is transported through the intestinal membrane entirely by *diffusion*. Furthermore, this diffusion obeys the usual laws of osmosis. Therefore, when the chyme is dilute enough, water is absorbed through the intestinal mucosa into the blood of the villi almost entirely by osmosis.

Conversely, water can also be transported in the opposite direction—from plasma into the chyme. This occurs especially when hyperosmotic solutions are discharged from the stomach into the duodenum. Within minutes, sufficient water usually will be transferred by osmosis to make the chyme isosmotic with the plasma.

#### Absorption of lons

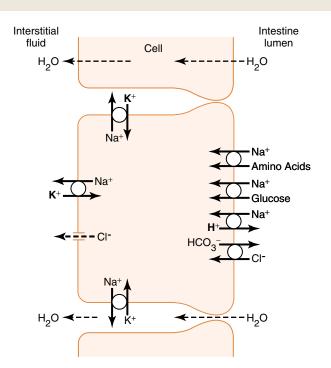
Sodium Is Actively Transported Through the Intestinal Membrane. Twenty to 30 grams of sodium are secreted in the intestinal secretions each day. In addition, the average person eats 5 to 8 grams of sodium each day. Therefore, to prevent net loss of sodium into the feces, the intestines must absorb 25 to 35 grams of sodium each day, which is equal to about one seventh of all the sodium present in the body.

Whenever significant amounts of intestinal secretions are lost to the exterior, as in extreme diarrhea, the sodium reserves of the body can sometimes be depleted to lethal levels within hours. Normally, however, less than 0.5 percent of the intestinal sodium is lost in the feces each day because it is rapidly absorbed through the intestinal mucosa. Sodium also plays an important role in helping to absorb sugars and amino acids, as subsequent discussions reveal.

The basic mechanism of sodium absorption from the intestine is shown in Figure 65-8. The principles of this mechanism, discussed in Chapter 4, are also essentially the same as for absorption of sodium from the gallbladder and renal tubules as discussed in Chapter 27.

The motive power for sodium absorption is provided by active transport of sodium from inside the epithelial cells through the basal and lateral walls of these cells into paracellular spaces. This active transport obeys the usual laws of active transport: It requires energy, and the energy process is catalyzed by appropriate adenosine triphosphatase (ATP) enzymes in the cell membrane (see Chapter 4). Part of the sodium is absorbed along with chloride ions; in fact, the negatively charged chloride ions are mainly passively "dragged" by the positive electrical charges of the sodium ions.

Active transport of sodium through the basolateral membranes of the cell reduces the sodium concentration inside the cell to a low value ( $\approx 50 \text{ mEq/L}$ ), as shown



**Figure 65-8** Absorption of sodium, chloride, glucose, and amino acids through the intestinal epithelium. Note also osmotic absorption of water (i.e., water "follows" sodium through the epithelial membrane).

in Figure 65-8. Because the sodium concentration in the chyme is normally about 142 mEq/L (i.e., about equal to that in plasma), sodium moves down this steep electrochemical gradient from the chyme through the brush border of the epithelial cell into the epithelial cell cytoplasm. Sodium is also co-transported through the brush border membrane by several specific carrier proteins, including (1) sodium-glucose co-transporter, (2) sodiumamino acid co-transporters, and (3) sodium-hydrogen exchanger. These transporters function similarly as in the renal tubules, described in Chapter 27, and provide still more sodium ions to be transported by the epithelial cells into the paracellular spaces. At the same time they also provide secondary active absorption of glucose and amino acids, powered by the active Na<sup>+</sup>-K<sup>+</sup> ATPase pump on the basolateral membrane.

Osmosis of the Water. The next step in the transport process is osmosis of water by transcellular and paracellular pathways. This occurs because a large osmotic gradient has been created by the elevated concentration of ions in the paracellular space. Much of this osmosis occurs through the tight junctions between the apical borders of the epithelial cells (paracellular pathway), but much also occurs through the cells themselves (transcellular pathway). And osmotic movement of water creates flow of fluid into and through the paracellular spaces and, finally, into the circulating blood of the villus.

Aldosterone Greatly Enhances Sodium Absorption. When a person becomes dehydrated, large amounts of aldosterone almost always are secreted by the cortices of the adrenal glands. Within 1 to 3 hours this aldosterone causes increased activation of the enzyme and transport mechanisms for all aspects of sodium absorption by the intestinal epithelium. And the increased sodium absorption in turn causes secondary increases in absorption of chloride ions, water, and some other substances.

This effect of aldosterone is especially important in the colon because it allows virtually no loss of sodium chloride in the feces and also little water loss. Thus, the function of aldosterone in the intestinal tract is the same as that achieved by aldosterone in the renal tubules, which also serves to conserve sodium chloride and water in the body when a person becomes dehydrated.

Absorption of Chloride lons in the Small Intestine. In the upper part of the small intestine, chloride ion absorption is rapid and occurs mainly by diffusion (i.e., absorption of sodium ions through the epithelium creates electronegativity in the chyme and electropositivity in the paracellular spaces between the epithelial cells). Then chloride ions move along this electrical gradient to "follow" the sodium ions. Chloride is also absorbed across the brush border membrane of parts of the ileum and large intestine by a brush border membrane chloridebicarbonate exchanger; chloride exits the cell on the basolateral membrane through chloride channels.

Absorption of Bicarbonate lons in the Duodenum and Jejunum. Often large quantities of bicarbonate ions must be reabsorbed from the upper small intestine because large amounts of bicarbonate ions have been secreted into the duodenum in both pancreatic secretion and bile. The bicarbonate ion is absorbed in an indirect way as follows: When sodium ions are absorbed, moderate amounts of hydrogen ions are secreted into the lumen of the gut in exchange for some of the sodium. These hydrogen ions in turn combine with the bicarbonate ions to form carbonic acid (H<sub>2</sub>CO<sub>2</sub>), which then dissociates to form water and carbon dioxide. The water remains as part of the chyme in the intestines, but the carbon dioxide is readily absorbed into the blood and subsequently expired through the lungs. Thus, this is so-called "active absorption of bicarbonate ions." It is the same mechanism that occurs in the tubules of the kidneys.

### Secretion of Bicarbonate Ions in the Ileum and Large Intestine—Simultaneous Absorption of Chloride Ions

The epithelial cells on the surfaces of the villi in the ileum, as well as on all surfaces of the large intestine, have a special capability of secreting bicarbonate ions in exchange for absorption of chloride ions (see Figure 65-8). This is important because it provides alkaline bicarbonate ions that neutralize acid products formed by bacteria in the large intestine.

Extreme Secretion of Chloride Ions, Sodium Ions, and Water from the Large Intestine Epithelium in Some Types of Diarrhea. Deep in the spaces between the intestinal epithelial folds are immature epithelial cells that continually divide to form new epithelial cells. These in turn spread outward over the luminal surfaces of the intestines. While still in the deep folds, the epithelial cells secrete sodium chloride and water into the intestinal lumen. This secretion in turn is reabsorbed by the older epithelial cells outside the folds, thus providing flow of water for absorbing intestinal digestates.

The toxins of cholera and of some other types of diarrheal bacteria can stimulate the epithelial fold secretion so greatly that this secretion often becomes much greater than can be reabsorbed, thus sometimes causing loss of 5 to 10 liters of water and sodium chloride as *diarrhea* each day. Within 1 to 5 days, many severely affected patients die from this loss of fluid alone.

Extreme diarrheal secretion is initiated by entry of a subunit of cholera toxin into the epithelial cells. This stimulates formation of excess cyclic adenosine monophosphate, which opens tremendous numbers of chloride channels, allowing chloride ions to flow rapidly from inside the cell into the intestinal crypts. In turn, this is believed to activate a sodium pump that pumps sodium ions into the crypts to go along with the chloride ions. Finally, all this extra sodium chloride causes extreme osmosis of water from the blood, thus providing rapid flow of fluid along with the salt. All this excess fluid washes away most of the bacteria and is of value in combating the disease, but too much of a good thing can be lethal because of serious dehydration of the whole body that might ensue. In most instances, the life of a cholera victim can be saved by administration of tremendous amounts of sodium chloride solution to make up for the loss.

Active Absorption of Calcium, Iron, Potassium, Magnesium, and Phosphate. *Calcium ions* are actively absorbed into the blood, especially from the duodenum, and the amount of calcium ion absorption is exactly controlled to supply the daily need of the body for calcium. One important factor controlling calcium absorption is *parathyroid hormone* secreted by the parathyroid glands, and another is *vitamin D*. Parathyroid hormone activates vitamin D, and the activated vitamin D in turn greatly enhances calcium absorption. These effects are discussed in Chapter 79.

*Iron ions* are also actively absorbed from the small intestine. The principles of iron absorption and regulation of its absorption in proportion to the body's need for iron, especially for the formation of hemoglobin, are discussed in Chapter 32.

*Potassium, magnesium, phosphate,* and probably *still* other ions can also be actively absorbed through the intestinal mucosa. In general, the monovalent ions are absorbed with ease and in great quantities. Conversely, bivalent ions are normally absorbed in only small amounts; for example, maximum absorption of calcium ions is only 1/50 as great as the normal absorption of sodium ions. Fortunately, only small quantities of the bivalent ions are normally required daily by the body.

### **Absorption of Nutrients**

### Carbohydrates Are Mainly Absorbed as Monosaccharides

Essentially all the carbohydrates in the food are absorbed in the form of monosaccharides; only a small fraction is absorbed as disaccharides and almost none as larger carbohydrate compounds. By far the most abundant of the absorbed monosaccharides is *glucose*, usually accounting for more than 80 percent of carbohydrate calories absorbed. The reason for this is that glucose is the final digestion product of our most abundant carbohydrate food, the starches. The remaining 20 percent of absorbed monosaccharides is composed almost entirely of *galactose* and *fructose*, the galactose derived from milk and the fructose as one of the monosaccharides digested from cane sugar.

Virtually all the monosaccharides are absorbed by an active transport process. Let us first discuss the absorption of glucose.

**Glucose Is Transported by a Sodium Co-Transport Mechanism.** In the absence of sodium transport through the intestinal membrane, virtually no glucose can be absorbed. The reason is that glucose absorption occurs in a co-transport mode with active transport of sodium (see Figure 65-8).

There are two stages in the transport of sodium through the intestinal membrane. First is active transport of sodium ions through the basolateral membranes of the intestinal epithelial cells into the blood, thereby depleting sodium inside the epithelial cells. Second, decrease of sodium inside the cells causes sodium from the intestinal lumen to move through the brush border of the epithelial cells to the cell interiors by a process of secondary active transport. That is, a sodium ion combines with a transport protein, but the transport protein will not transport the sodium to the interior of the cell until the protein also combines with some other appropriate substance such as glucose. Intestinal glucose also combines simultaneously with the same transport protein and then both the sodium ion and glucose molecule are transported together to the interior of the cell. Thus, the low concentration of sodium inside the cell literally "drags" sodium to the interior of the cell and along with it the glucose at the same time. Once inside the epithelial cell, other transport proteins and enzymes cause facilitated diffusion of the glucose through the cell's basolateral membrane into the paracellular space and from there into the blood.

To summarize, it is the initial active transport of sodium through the basolateral membranes of the intestinal epithelial cells that provides the eventual motive force for moving glucose also through the membranes.

**Absorption of Other Monosaccharides.** Galactose is transported by almost exactly the same mechanism as glucose. Conversely, fructose transport does not occur by the sodium co-transport mechanism. Instead, fructose is transported by facilitated diffusion all the way through the intestinal epithelium but not coupled with sodium transport.

Much of the fructose, on entering the cell, becomes phosphorylated, then converted to glucose, and finally transported in the form of glucose the rest of the way into the blood. Because fructose is not co-transported with sodium, its overall rate of transport is only about one half that of glucose or galactose.

### Absorption of Proteins as Dipeptides, Tripeptides, or Amino Acids

As explained earlier in the chapter, most proteins, after digestion, are absorbed through the luminal membranes of the intestinal epithelial cells in the form of dipeptides, tripeptides, and a few free amino acids. The energy for most of this transport is supplied by a sodium co-transport mechanism in the same way that sodium co-transport of glucose occurs. That is, most peptide or amino acid molecules bind in the cell's microvillus membrane with a specific transport protein that requires sodium binding before transport can occur. After binding, the sodium ion then moves down its electrochemical gradient to the interior of the cell and pulls the amino acid or peptide along with it. This is called *co-transport* (or secondary active transport) of the amino acids and peptides (see Figure 65-8). A few amino acids do not require this sodium co-transport mechanism but instead are transported by special membrane transport proteins in the same way that fructose is transported, by facilitated diffusion.

At least five types of transport proteins for transporting amino acids and peptides have been found in the luminal membranes of intestinal epithelial cells. This multiplicity of transport proteins is required because of the diverse binding properties of different amino acids and peptides.

### Absorption of Fats

Earlier in this chapter, it was pointed out that when fats are digested to form monoglycerides and free fatty acids, both of these digestive end products first become dissolved in the central lipid portions of bile micelles. Because the molecular dimensions of these micelles are only 3 to 6 nanometers in diameter, and because of their highly charged exterior, they are soluble in chyme. In this form, the monoglycerides and free fatty acids are carried to the surfaces of the microvilli of the intestinal cell brush border and then penetrate into the recesses among the moving, agitating microvilli. Here, both the monoglycerides and fatty acids diffuse immediately out of the micelles and into the interior of the epithelial cells, which is possible because the lipids are also soluble in the epithelial cell membrane. This leaves the bile micelles still in the chyme, where they function again and again to help absorb still more monoglycerides and fatty acids.

Thus, the micelles perform a "ferrying" function that is highly important for fat absorption. In the presence of an abundance of bile micelles, about 97 percent of the fat is absorbed; in the absence of the bile micelles, only 40 to 50 percent can be absorbed.

After entering the epithelial cell, the fatty acids and monoglycerides are taken up by the cell's smooth endoplasmic reticulum; here, they are mainly used to form new triglycerides that are subsequently released in the form of *chylomicrons* through the base of the epithelial cell, to flow upward through the thoracic lymph duct and empty into the circulating blood. **Direct Absorption of Fatty Acids into the Portal Blood.** Small quantities of short- and medium-chain fatty acids, such as those from butterfat, are absorbed directly into the portal blood rather than being converted into triglycerides and absorbed by way of the lymphatics. The cause of this difference between short- and long-chain fatty acid absorption is that the short-chain fatty acids are more water-soluble and mostly are not reconverted into triglycerides by the endoplasmic reticulum. This allows direct diffusion of these short-chain fatty acids from the intestinal epithelial cells directly into the capillary blood of the intestinal villi.

### Absorption in the Large Intestine: Formation of Feces

About 1500 milliliters of chyme normally pass through the ileocecal valve into the large intestine each day. Most of the water and electrolytes in this chyme are absorbed in the colon, usually leaving less than 100 milliliters of fluid to be excreted in the feces. Also, essentially all the ions are absorbed, leaving only 1 to 5 mEq each of sodium and chloride ions to be lost in the feces.

Most of the absorption in the large intestine occurs in the proximal one half of the colon, giving this portion the name *absorbing colon*, whereas the distal colon functions principally for feces storage until a propitious time for feces excretion and is therefore called the *storage colon*.

Absorption and Secretion of Electrolytes and Water. The mucosa of the large intestine, like that of the small intestine, has a high capability for active absorption of sodium, and the electrical potential gradient created by absorption of the sodium causes chloride absorption as well. The tight junctions between the epithelial cells of the large intestinal epithelium are much tighter than those of the small intestine. This prevents significant amounts of back-diffusion of ions through these junctions, thus allowing the large intestinal mucosa to absorb sodium ions far more completely—that is, against a much higher concentration gradient—than can occur in the small intestine. This is especially true when large quantities of aldosterone are available because aldosterone greatly enhances sodium transport capability.

In addition, as occurs in the distal portion of the small intestine, the mucosa of the large intestine secretes *bicarbonate ions* while it simultaneously absorbs an equal number of chloride ions in an exchange transport process that has already been described. The bicarbonate helps neutralize the acidic end products of bacterial action in the large intestine.

Absorption of sodium and chloride ions creates an osmotic gradient across the large intestinal mucosa, which in turn causes absorption of water.

**Maximum Absorption Capacity of the Large Intestine.** The large intestine can absorb a maximum of 5 to 8 liters of fluid and electrolytes each day. When the

total quantity entering the large intestine through the ileocecal valve or by way of large intestine secretion exceeds this amount, the excess appears in the feces as diarrhea. As noted earlier in the chapter, toxins from cholera or certain other bacterial infections often cause the crypts in the terminal ileum and in the large intestine to secrete 10 or more liters of fluid each day, leading to severe and sometimes lethal diarrhea.

Bacterial Action in the Colon. *Numerous bacteria, especially colon bacilli, are present even normally in the absorbing colon.* They are capable of digesting small amounts of cellulose, in this way providing a few calories of extra nutrition for the body. In herbivorous animals, this source of energy is significant, although it is of negligible importance in human beings.

Other substances formed as a result of bacterial activity are vitamin K, vitamin  $B_{12}$ , thiamine, riboflavin, and various gases that contribute to *flatus* in the colon, especially carbon dioxide, hydrogen gas, and methane. The bacteria-formed vitamin K is especially important because the amount of this vitamin in the daily ingested foods is normally insufficient to maintain adequate blood coagulation.

**Composition of the Feces.** The feces normally are about three-fourths *water* and one-fourth *solid matter* that is composed of about 30 percent *dead bacteria*, 10 to 20 percent *fat*, 10 to 20 percent *inorganic matter*, 2 to 3 percent *protein*, and 30 percent *undigested roughage* from the food and dried constituents of digestive juices, such as bile pigment and sloughed epithelial cells. The brown color of feces is caused by *stercobilin* and *urobilin*, derivatives of bilirubin. The odor is caused principally by products of bacterial action; these products vary from one person to another, depending on each person's colonic bacterial flora and on the type of food eaten. The actual odoriferous products include *indole, skatole, mercaptans*, and *hydrogen sulfide*.

### Bibliography

Barrett KE: New ways of thinking about (and teaching about) intestinal epithelial function, *Adv Physiol Educ* 32:25, 2008.

- Barrett KE, Keely SJ: Chloride secretion by the intestinal epithelium: molecular basis and regulatory aspects, *Annu Rev Physiol* 62:535, 2000.
- Black DD: Development and physiological regulation of intestinal lipid absorption. I. Development of intestinal lipid absorption: cellular events in chylomicron assembly and secretion, *Am J Physiol Gastrointest Liver Physiol* 293:G519, 2007.
- Bröer S: Amino acid transport across mammalian intestinal and renal epithelia, *Physiol Rev* 88:249, 2008.
- Bröer S: Apical transporters for neutral amino acids: physiology and pathophysiology, *Physiology (Bethesda)* 23:95, 2008.
- Bronner F: Recent developments in intestinal calcium absorption, *Nutr Rev* 67:109, 2009.
- Daniel H: Molecular and integrative physiology of intestinal peptide transport, Annu Rev Physiol 66:361, 2004.
- Field M: Intestinal ion transport and the pathophysiology of diarrhea, J Clin Invest 111:931, 2003.
- Hui DY, Labonté ED, Howles PN: Development and physiological regulation of intestinal lipid absorption. III. Intestinal transporters and cholesterol absorption, *Am J Physiol Gastrointest Liver Physiol* 294:G839, 2008.
- Iqbal J, Hussain MM: Intestinal lipid absorption, Am J Physiol Endocrinol Metab 296:E1183, 2009.
- Kullak-Ublick GA, Stieger B, Meier PJ: Enterohepatic bile salt transporters in normal physiology and liver disease, *Gastroenterology* 126:322, 2004.
- Kunzelmann K, Mall M: Electrolyte transport in the mammalian colon: mechanisms and implications for disease, *Physiol Rev* 82:245, 2002.
- Leturque A, Brot-Laroche E, Le Gall M: GLUT2 mutations, translocation, and receptor function in diet sugar managing, *Am J Physiol Endocrinol Metab* 296:E985, 2009.
- Mansbach CM 2nd, Gorelick F: Development and physiological regulation of intestinal lipid absorption. II. Dietary lipid absorption, complex lipid synthesis, and the intracellular packaging and secretion of chylomicrons, *Am J Physiol Gastrointest Liver Physiol* 293:G645, 2007.
- Pacha J: Development of intestinal transport function in mammals, *Physiol Rev* 80:1633, 2000.
- Rothman S, Liebow C, Isenman L: Conservation of digestive enzymes, *Physiol Rev* 82:1, 2002.
- Schulzke JD, Ploeger S, Amasheh M, et al: Epithelial tight junctions in intestinal inflammation, Ann NYAcad Sci 1165:294, 2009.
- Stevens CE, Hume ID: Contributions of microbes in vertebrate gastrointestinal tract to production and conservation of nutrients, *Physiol Rev* 78:393, 1998.
- West AR, Oates PS: Mechanisms of heme iron absorption: current questions and controversies, *World J Gastroenterol* 14:4101, 2008.
- Williams KJ: Molecular processes that handle—and mishandle—dietary lipids, J Clin Invest 118:3247, 2008.
- Zachos NC, Kovbasnjuk O, Donowitz M: Regulation of intestinal electroneutral sodium absorption and the brush border Na<sup>+</sup>/H<sup>+</sup> exchanger by intracellular calcium, *Ann N YAcad Sci* 1165:240, 2009.